

Asset Management Directory 2020

IN-DEPTH ANALYSIS

INVESTORS' SEARCHES ON CAMRADATA
LIVE BY ASSET CLASS.

COMPREHENSIVE LISTINGS

A GLOBAL DIRECTORY OF 350+
ASSET MANAGEMENT FIRMS.

PLUS...

NEWS AND A ROUND-UP OF ASSET
CLASSES FROM AROUND THE WORLD.



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An invaluable resource

On behalf of everyone at CAMRADATA, welcome to our new 2020 Asset Manager Directory.

As well as giving you high-level, detailed information on a large number of asset managers around the world, we also provide you with search activity analysis on asset classes searched on by institutional investors using CAMRADATA Live. Our online asset manager database is an invaluable resource for carrying out manager research, performance monitoring and manager ranking, and to read and hear about the latest views and opinions from the investment industry.

CAMRADATA Live is available free of charge to all types of institutional investors – including, but not limited to, investment consultants, pension schemes, insurance firms, family offices, charities and multi-managers.

CAMRADATA has been gathering, analysing and distributing asset manager data to institutional investors since 2003. We continue to provide valuable insights on asset managers and their capabilities to a growing number of clients each year. Moreover, our annual CAMRADATA IQ Awards, now in their sixth year, have become one of the most sought-after accolades in the asset management field, recognising the contribution asset manager funds deliver on behalf of institutional investors. To find out more about these firms – to learn about their ESG policies, their D&I processes, their capabilities, the strategies they manage and much more – please log in to CAMRADATA Live.

If you do not already have complimentary access to our online asset manager database, then please click on CAMRADATA Live Login Registration. With one simple move, you can start enjoying the many benefits that membership of CAMRADATA Live provides.

Sean Thompson
Managing Director, CAMRADATA

For further information, please contact me or Amy Richardson at info@camradata.com.



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REALIZING POTENTIAL IN PRIVATE MARKETS

CONTENTS

06

CAMRADATA LIVE ACTIVITY

An overview of diversified growth funds in Q2

08

CAMRADATA LIVE ANALYSIS

Investors' 12-month search activity for 11 separate asset classes

20

DIRECTORY

Full details of more than 360 asset managers worldwide

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Exceptional quarterly performance helps claw back DGF losses

DIVERSIFIED GROWTH FUNDS CONTINUED TO EXPERIENCE OUTFLOWS IN Q2, DESPITE AN IMPROVEMENT IN RETURNS, WRITES SEAN THOMPSON.

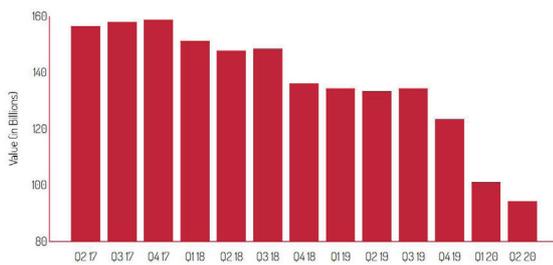
THE DGF SECTOR saw its 12th consecutive quarter of outflows in Q2 2020, despite achieving one of the best quarterly returns since Q1 2019, according to the CAMRADATA investment research report for the period.

To put that into perspective, though, it came straight after the sector's worst quarterly performance to date, following the outbreak of Covid-19. The turnaround helped claw back some of the losses experienced in Q1 2020, with the cash +3% to 5% median performance producing a positive return of 7.99% in Q2. However, the one-year and three-year medians return to June 30 are still way below its cash +3% to 5% pa target, having only produced 0.49% and 2.32% pa respectively.

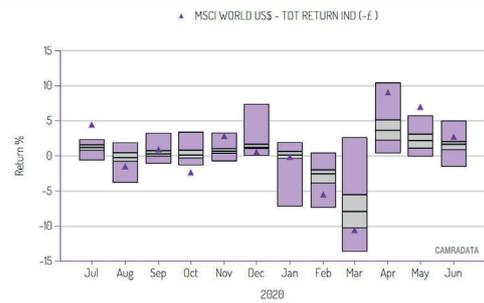
That said, a number of asset managers have managed to achieve their target returns whilst keeping within their risk parameters, highlighting the importance of manager research in the selection process and the continuous monitoring of asset managers in this sector.



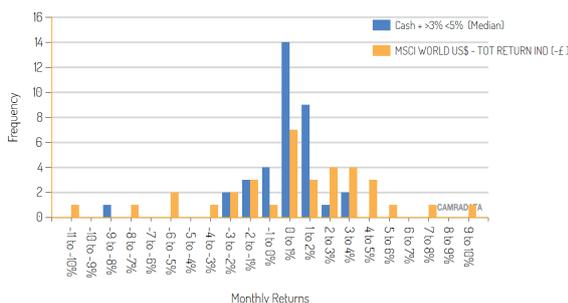
AuM of DGF universe



Monthly Returns – Cash + 3% to 5%



Distribution of monthly returns – Cash + 3% to 5%



3 years risk-return – all DGF funds





GROSS MEDIAN RETURNS IN THE DGF UNIVERSE

	3 MONTHS (%)	1 YEAR (%)	3 YEARS (%) (ANNUALISED)
Cash +3% to 5% (GBP)	7.99	0.49	2.32
Cash +5% to 7% (GBP)	2.96	2.09	1.66

Source: CAMRADATA

NUMBER OF PRODUCTS OVER TIME

Less than 1 year	0
1 to 2 years	2
2 to 3 years	2
3 to 5 years	9
5 to 7 years	23
7 or more years	57

Source: CAMRADATA

TOP 5 ASSET MANAGER INFLOWS DURING Q2 2020

1 Fidelity International
2 LGT Capital Partners
3 Amundi Asset Management
4 Lombard Odier Investment Managers
5 Fulcrum Asset Management LLP

Source: CAMRADATA

TOP 5 MANAGER ASSET FLOWS (PERCENTAGE OF AUM) DURING Q2 2020

1 Fidelity International
2 Lombard Odier Investment Managers
3 EFG Asset Management Limited
4 Sanlam Investments UK
5 State Street Global Advisors Limited

Source: CAMRADATA

PERFORMANCE SPREADS OF VEHICLES IN THE DGF CASH +3% TO 5% UNIVERSE

Q2 2020	-0.48% to +22.68%
1 year	-5.93% to +12.12%
3 years (annualised)	-4.44% to +9.00%

Source: CAMRADATA

PERFORMANCE SPREADS OF VEHICLES IN THE DGF CASH +5% TO 7% UNIVERSE

Q2 2020	-16.10% to +17.41%
1 year	-25.53% to +7.82%
3 years (annualised)	-13.32% to +6.31%

Source: CAMRADATA

“A NUMBER OF ASSET MANAGERS HAVE MANAGED TO ACHIEVE THEIR TARGET RETURNS WHILST KEEPING WITHIN THEIR RISK PARAMETERS, HIGHLIGHTING THE IMPORTANCE OF RESEARCH IN THE SELECTION PROCESS.”

▶ Sean Thompson is managing director of CAMRADATA. All figures are for three months to June 30, 2020 unless otherwise stated.

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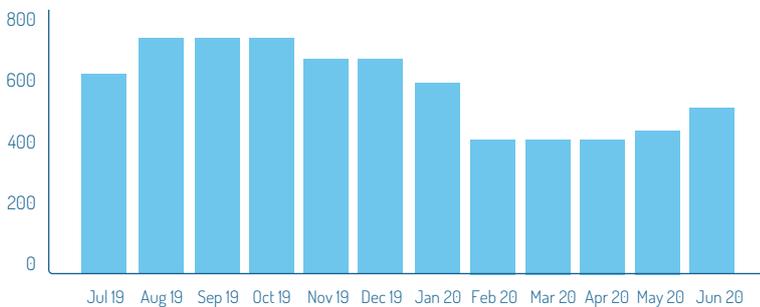
Search activity by investors searching on asset class

IN THE SECTION THAT FOLLOWS, WE BREAK DOWN THE FIGURES FOR INDIVIDUAL ASSET CLASSES AND IN EACH CASE, SHOWCASE A NEWS STORY THAT ILLUMINATES CURRENT INVESTMENT TRENDS. WE START BELOW WITH **ASIA EX JAPAN EQUITY**.

▶ BUSTLING – Seoul, South Korea

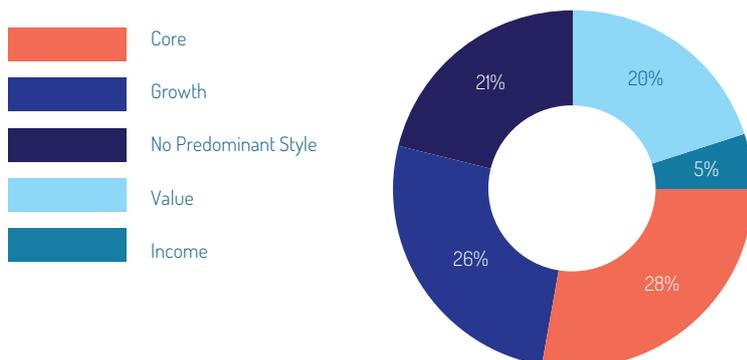


Total activity in CAMRADATA Live by asset class (12 month rolling)



▶ SOURCE: CAMRADATA LIVE

CAMRADATA Live – Total activity by style (%)



▶ SOURCE: CAMRADATA LIVE

ABERDEEN STANDARD LAUNCHES ASIA ESG FUND

Aberdeen Standard Investments (ASI) has become the latest asset manager looking to capitalise on the popularity of ESG investment within the Asia market with a new fund launch.

The Asian Sustainable Development Equity Fund is aligned with the United Nations Sustainable Development Goals

“THIS NEW FUND SEEKS TO DELIVER BOTH ATTRACTIVE RETURNS AND A POSITIVE SOCIETAL IMPACT.”

and will look to invest in a portfolio of 30–60 Asian stocks.

The fund will be structured as a Sicav, domiciled in Luxembourg and available to professional investors in a select number of Asia–Pacific markets.

“While some progress has been made towards achieving the UN SDGs by 2030, people in many Asian countries are still not benefiting from growth and progress and are increasingly vulnerable to economic, social and environmental risks,” said David Smith, ASI’s head of corporate governance for Asia–Pacific.

“By investing in companies based or operating in Asia–Pacific economies, which are strongly aligned to the UN’s SDGs, this new fund seeks to deliver both attractive return for our clients and a positive societal impact – where it matters most.”

USING DATA FROM CAMRADATA LIVE, WE EXAMINE INVESTORS' APPROACH TO THE **CHINA EQUITY** ASSET CLASS – AND REPORT ON HOW LOMBARD ODIER HAS ADDED A NEW CHINA-CENTRED STRATEGY TO ITS GLOBAL HIGH CONVICTION EQUITY FUND RANGE.

NEW CHINA STRATEGY FROM LOMBARD ODIER

Lombard Odier Investment Managers (LOIM) has expanded its global high conviction equity fund range with the addition of a new China strategy.

The strategy employs what the Swiss firm claims is a “disciplined approach involving fundamental research and forward-looking analysis” designed to capitalise on the growth of the Chinese

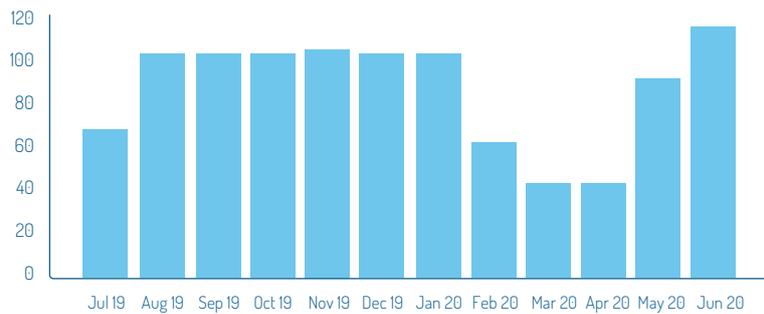
“CHINA’S PROLONGED GROWTH AND EXTENSIVE DIVERSIFICATION INTO DOMESTICALLY DRIVEN SECTORS WILL CONTINUE TO TRANSFORM THE INVESTMENT UNIVERSE.”

economy over the past 20 years and the shift towards high-quality, domestically driven growth. It will include a diversified portfolio of 30–50 stocks spread across three buckets – quality value, high growth and corporate events – and will be managed by Zhikai Chen and his Asia investment team.

“The opportunity in China is unparalleled in our view. Traditional equity indices have consistently under-represented Chinese companies and we believe it is still an untapped and high-potential market,” said Didier Rabattu, head of equities at LOIM.

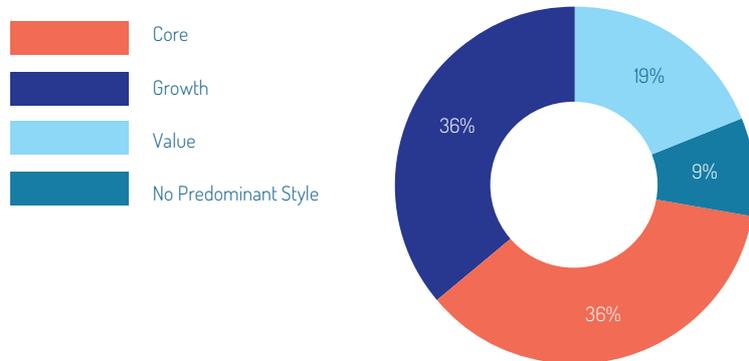
“China’s prolonged growth and extensive diversification into domestically driven sectors will continue to transform the investment universe and this strategy is perfectly placed to capture these opportunities.”

Total activity in CAMRADATA Live by asset class (12 month rolling)



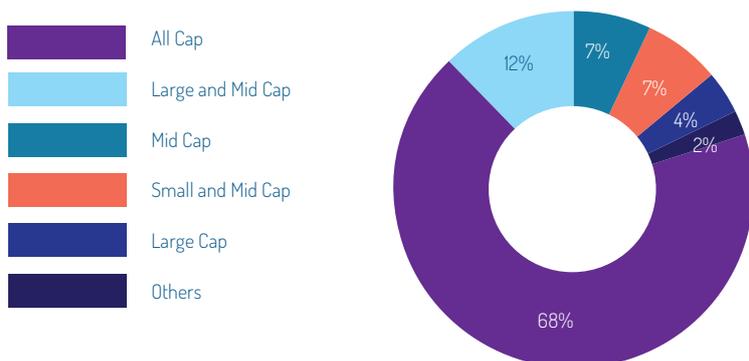
» SOURCE: CAMRADATA LIVE

CAMRADATA Live – Total activity by style (%)



» SOURCE: CAMRADATA LIVE

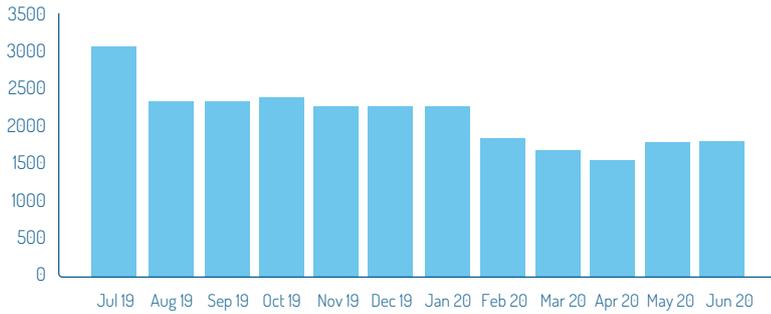
CAMRADATA Live – Total activity by size (%)



» SOURCE: CAMRADATA LIVE

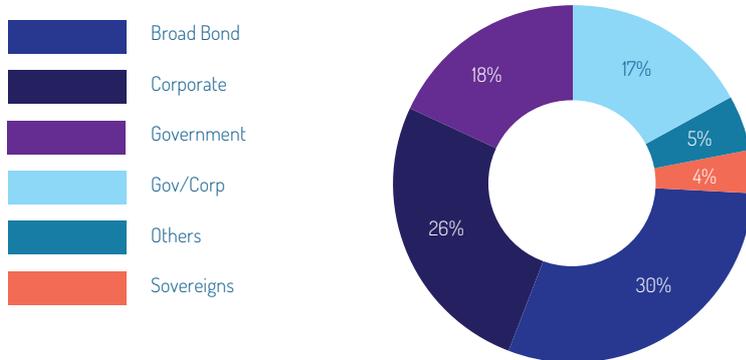
CRUNCHING THE NUMBERS FOR **EMERGING MARKETS FIXED INCOME**, WE LOOK AT CAMRADATA LIVE ACTIVITY FROM THREE PERSPECTIVES AND SIFT THROUGH THE RESULTS OF BANK OF AMERICA'S MOST RECENT FUND MANAGER SURVEY.

Total activity in CAMRADATA Live by asset class (12 month rolling)

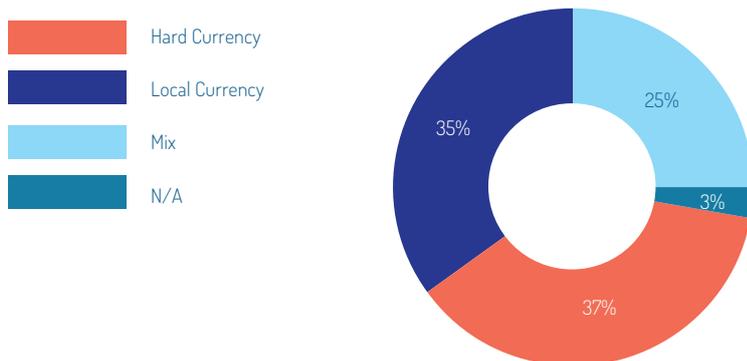


» SOURCE: CAMRADATA LIVE

CAMRADATA Live – Total activity by strategy (%)



CAMRADATA Live – Total activity by currency exposure (%)



» SOURCE: CAMRADATA LIVE

FUND MANAGERS ARE BULLISH FOR A W-SHAPED RECOVERY

Fund managers are at their most bullish since February and say there is no longer a bear market rally.

However, only 17% expect a V-shaped recovery, with most (37%) predicting a W-shaped recovery.

The figures are from the latest Fund Manager Survey published by Bank of America, which had 203 respondents

“EXPOSURE TO VALUE AND SMALL-CAPS IS LOW, WITH US TECH AND GOLD THE MOST CROWDED TRADES, THE REPORT FOUND.”

who manage \$518 billion (€436.8 billion) of assets in total.

Bullishness is “far from dangerously bullish”, said the report, which reveals there was more investment in EU and emerging market stocks in August. Eurozone stocks were highest overweight region, though allocations are still “stubbornly” skewed to US growth stocks. Similarly, the survey also notes exposure to value and small-caps is low, with US tech and gold the most crowded trades.

Nearly 80% of the managers expect higher growth – the highest reading since December 2009 – suggesting there is no longer a bear market rally.

An announcement about a vaccine for Covid-19 is generally expected in the first quarter of 2021, the survey revealed.

However, chief investment officers want CEOs to reduce debt (57%), not expand capital expenditure (30%), and investors believe stocks, bond and gold are the most overvalued since 2008.

WHAT'S THE STATE OF THE **EMERGING MARKETS EQUITY** ASSET CLASS? THE LONG-TERM OUTLOOK SEEMS TO BE POSITIVE, ACCORDING TO A VONTOBEL ASSET MANAGEMENT SURVEY OF 300 INSTITUTIONAL INVESTORS IN ASIA.

ASIAN INVESTORS MORE OPTIMISTIC ABOUT EMERGING MARKETS

Asia-based institutional investors expect to increase their allocations to emerging market assets, according to a survey from Vontobel Asset Management.

The Swiss firm's study of emerging markets investing surveyed 300 institutional investors and discretionary wealth managers in North America, Europe and Asia-Pacific in the second quarter of 2020.

It found that Asian investors are more optimistic about the long-term outlook for emerging markets rather than its short-term prospects.

Whereas 57% of respondents expect to increase their allocation to emerging markets equities and fixed income assets over the next five years, a smaller number (20%) plan to do the same in the next 12 months.

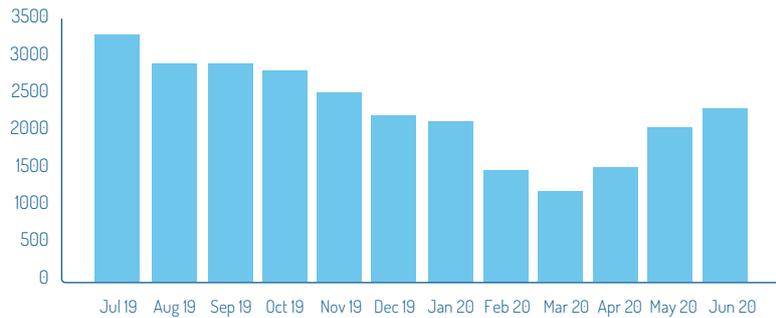
The two top factors cited by Asian investors were declining volatility over the long term in emerging markets and the expectation that global investment indices will rebalance towards them.

Other findings included the fact that more than half (53%) of Asian investors believe that China should be treated as a standalone market rather than part of a broader emerging market investment universe. There is also a greater preference for active investment among Asian investors when it comes to investing in emerging markets, mainly because of the elevated volatility.

"In emerging markets, where you have a generally less developed set of economies and companies, you have an index construct that is lopsided in many ways," said Matthew Benkendorf, chief investment officer at Vontobel.

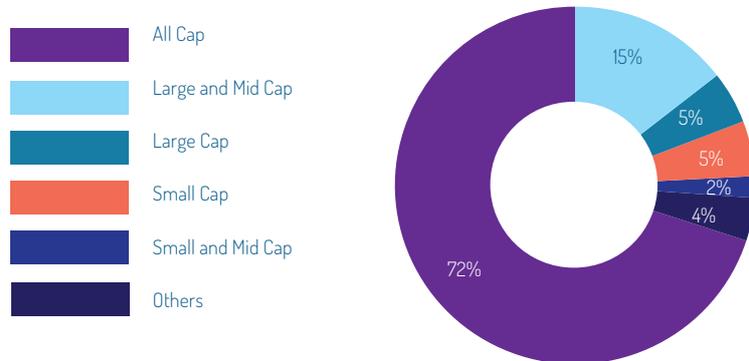
"The index is a less optimal way to buy the really great companies that will compound long-term wealth with the lowest relative risk."

Total activity in CAMRADATA Live by asset class (12 month rolling)



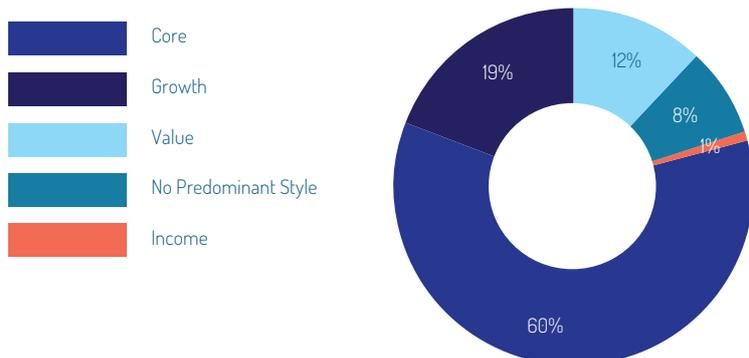
» SOURCE: CAMRADATA LIVE

CAMRADATA Live – Total activity by size (%)



» SOURCE: CAMRADATA LIVE

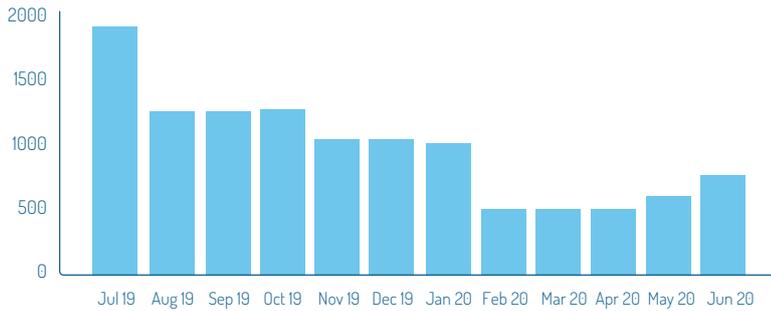
CAMRADATA Live – Total activity by style (%)



» SOURCE: CAMRADATA LIVE

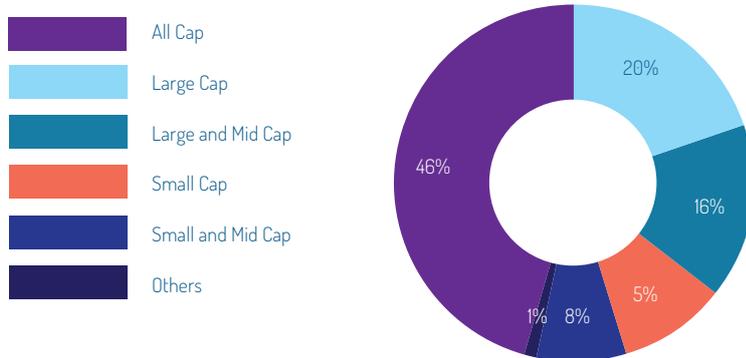
WE REPORT ON ACTIVITY LEVELS FOR THE **EUROPEAN INC. UK EQUITY** ASSET CLASS, ALONGSIDE DETAILS OF STATE STREET GLOBAL MARKETS' GLOBAL INVESTOR CONFIDENCE INDEX IN EUROPE, ASIA AND THE US.

Total activity in CAMRADATA Live by asset class (12 month rolling)

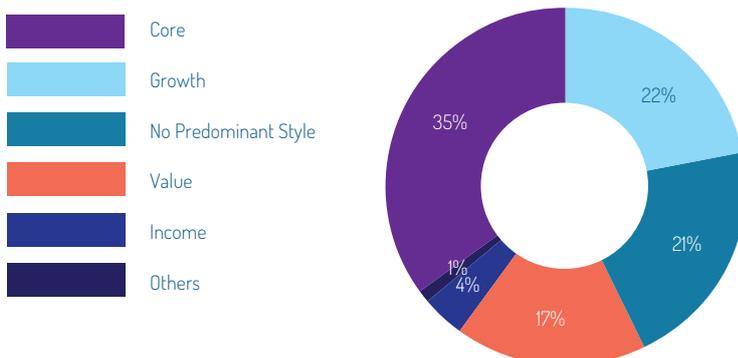


» SOURCE: CAMRADATA LIVE

CAMRADATA Live – Total activity by size (%)



CAMRADATA Live – Total activity by Style (%)



» SOURCE: CAMRADATA LIVE

EUROPEANS LEAD INVESTOR CONFIDENCE INDEX IN AUGUST

European investors showed the highest level of confidence in August – way ahead of investors in the US and Asia, despite apparent signs of a second wave of Covid-19 cases.

State Street Global Markets Global Investor Confidence Index (ICI) shows that European investors registered a reading of 122.6 points.

A figure above 100 means that they are buying risk assets more than selling them.

US and Asian investors were at 77.6 points and 89.9 points, respectively, suggesting there is more selling than buying going on.

However, confidence moved upwards between July and August in all regions – none more so than in Europe, where the European sub-index of investor confidence increased by 4.8 points (compared to 1 point in the US and 3.3 points in Asia).

Yet at the global level, risk appetite remained largely unchanged at 86.1 points in August. Rajeev Bhargava, head of investor behaviour research at State Street Associates, said investors continued to monitor closely the number of new Covid-19 cases and their impact on global growth.

“While US equity markets hit new highs, institutional investors seem more guarded, expressing a larger degree of restraint in August as the US ICI inched up only slightly to 77.6,” he added.

“Interestingly, Europe experienced a more pronounced uptick in sentiment despite weaker economic data and the onset of what appears to be the start of a second wave of Covid-19 in certain parts.

“A more constructive fiscal policy regionally is likely driving this response.”

AS WELL AS LOOKING AT THE INTEREST SHOWN IN **GLOBAL EQUITY** BY USERS OF CAMRADATA LIVE, WE EXAMINE STATE STREET GLOBAL ADVISORS' NEW ETF, WHICH TRACKS THE MSCI WORLD VALUE EXPOSURE SELECT INDEX.

SSGA BRINGS OUT GLOBAL DEVELOPED MARKETS EQUITIES ETF

State Street Global Advisors has launched an exchange-traded fund (ETF) that will track global developed market equities.

The SPDR MSCI World Value Ucits ETF will apply a higher weighting to equities with low valuation characteristics.

“INVESTORS USING VALUE STRATEGIES TO TAKE ADVANTAGE OF CHEAP STOCKS NEED TO PROTECT THEIR PORTFOLIOS AGAINST STOCKS THAT ARE ‘CHEAP FOR A REASON.’”

The ETF will track the MSCI World Value Exposure Select Index, which includes large and mid-cap securities across 23 developed markets.

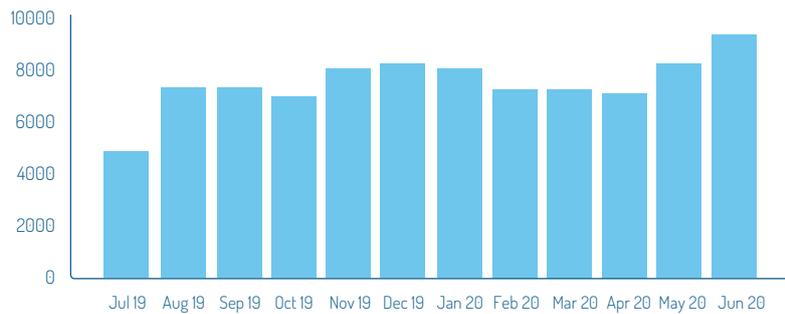
The index is designed to represent the performance of companies that exhibit relatively higher value exposure within the parent index while also seeking to avoid poor-quality companies and “value traps”.

Matteo Andreetto, head of SPDR ETF business for Emea, said: “Investors using value strategies to take advantage of cheap stocks need to protect their portfolios against stocks that are ‘cheap for a reason’.

“Our suite of ETFs tracking the MSCI Value Exposure Select Indices enables investors to access strong value factor exposure, while seeking to avoid value traps using a light quality touch.”

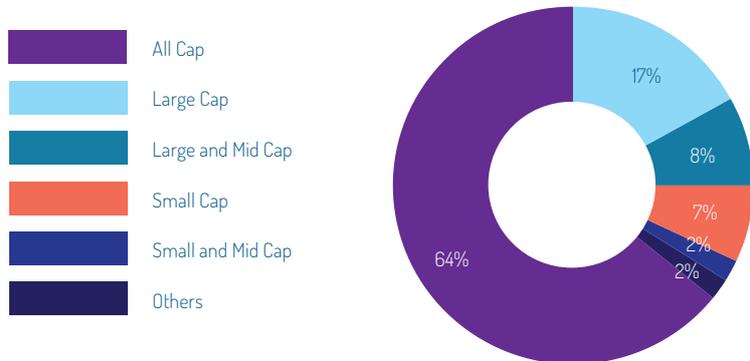
The fund will have a TER of 0.25%.

Total activity in CAMRADATA Live by asset class (12 month rolling)



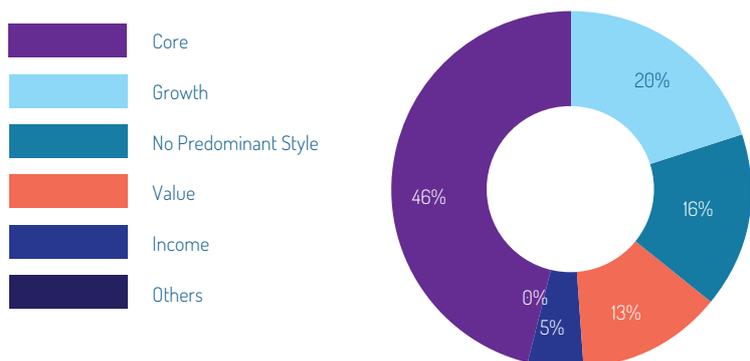
› SOURCE: CAMRADATA LIVE

CAMRADATA Live – Total activity by size (%)



› SOURCE: CAMRADATA LIVE

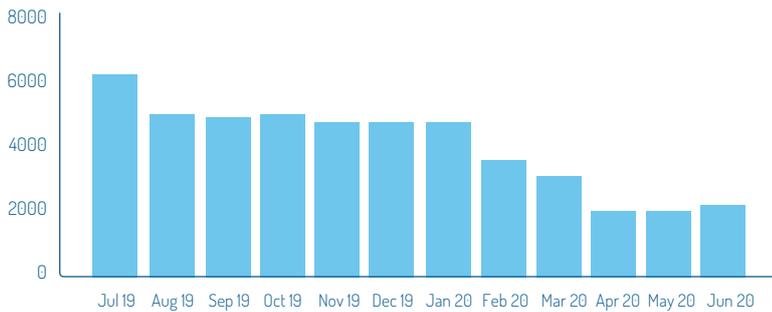
CAMRADATA Live – Total activity by style (%)



› SOURCE: CAMRADATA LIVE

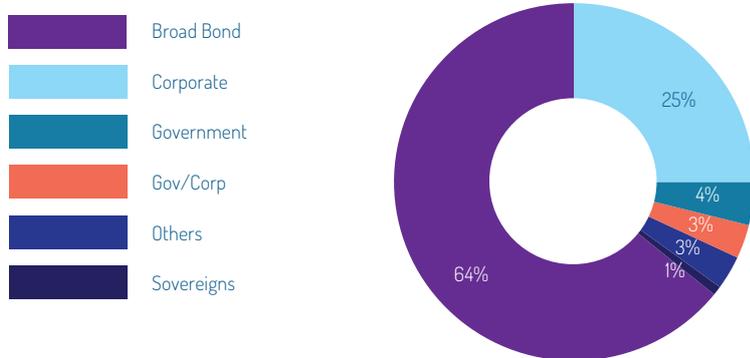
AN OVERVIEW OF THE **GLOBAL FIXED INCOME** ASSET CLASS, MONITORING CAMRADATA LIVE ACTIVITY BY STRATEGY AND CURRENCY EXPOSURE. IN ADDITION TO THAT, WE REPORT ON THE NEW UCITS-COMPLIANT PICTET STRATEGIC CREDIT FUND.

Total activity in CAMRADATA Live by asset class (12 month rolling)

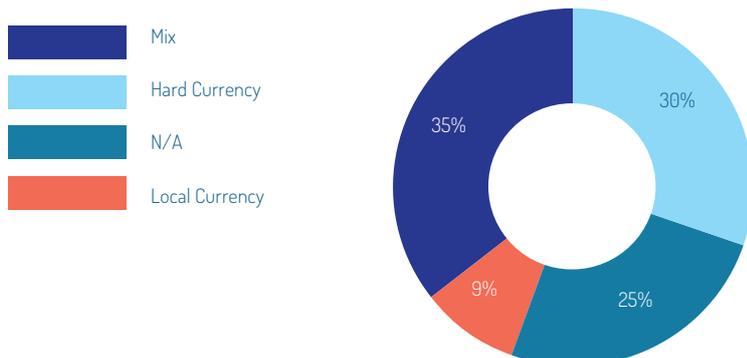


SOURCE: CAMRADATA LIVE

CAMRADATA Live – Total activity by strategy (%)



CAMRADATA Live – Total activity by currency exposure (%)



SOURCE: CAMRADATA LIVE

PICTET LAUNCHES UNCONSTRAINED GLOBAL CREDIT FUND

Geneva-based Pictet Asset Management has launched an unconstrained global credit fund.

The Ucits-compliant Pictet Strategic Credit Fund aims to provide risk-adjusted returns for long-term investors through a diversified portfolio designed to reduce risks, protect capital and capture higher yields.

The strategy also aims to achieve a low

“WHEN THE CREDIT MARKETS ARE DELIVERING EQUITY-TYPE RETURNS AT BOND-TYPE RISKS – IN OTHER WORDS, WHEN THERE HAS BEEN A PANIC – IT MAKES SENSE TO BUY INTO POCKETS OF VALUE.”

correlation to traditional risky assets by actively allocating assets across global credit markets.

Jon Mawby, head of investment grade credit at Pictet Asset Management and lead manager for the fund, said:

“When the credit markets are delivering equity-type returns at bond-type risks – in other words, when there has been a panic – it makes sense to buy into pockets of value.

“And when investor confidence gets ahead of itself, when credit starts to deliver bond-type returns for equity-type risk, then it’s important to rebalance and retrench until the next cycle hits.”

IN THE **GLOBAL MULTI-ASSET** FIELD, WE ASSESS CAMRADATA LIVE ACTIVITY BY STYLE AND STRATEGY TYPE WHILE EXAMINING BLACKROCK'S RECENTLY LAUNCHED TRADABLE ESG PORTFOLIOS, MADE UP OF EXCHANGE-TRADED FUNDS.

BLACKROCK UNVEILS ESG MULTI-ASSET ETFs

BlackRock has launched what it claims is Europe's first range of tradable multi-asset ESG portfolios built with exchange-traded funds (ETFs).

The firm says this is in response to ongoing market uncertainty, which has led to "more people looking to put their savings to work in a cost-efficient, transparent and sustainable way".

"THE CHOICE OF THREE READY-BUILT PORTFOLIOS HAS BEEN DESIGNED TO HELP MORE PEOPLE INVEST TOWARDS LONG-TERM GOALS."

Each of the three Ucits funds is made up of iShares ETFs to provide access to a wide universe of stocks and bonds. The funds are constructed according to three predefined risk profiles – Conservative, Moderate and Growth – with the aim of delivering a total return.

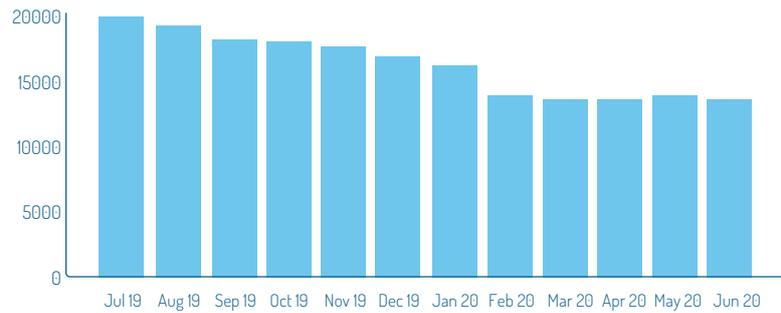
They will be managed by BlackRock's multi-asset team, with Rafael Iborra and John Wang as co-managers.

Joe Parkin, head of banks and digital channels in the UK at BlackRock, said: "There has never been a more important time for people to take control of their financial wellbeing.

"This choice of three ready-built portfolios has been designed to help more people invest money towards their long-term financial goals with ease, while benefiting from investment expertise across BlackRock."

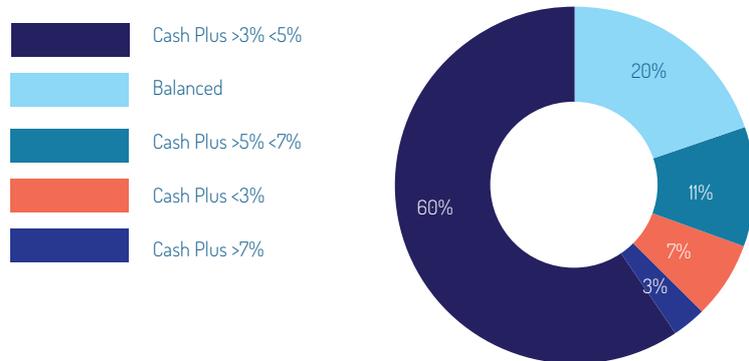
The new products are all priced with an ongoing charges figure of 0.25% and are available through both fund platforms and advisers.

Total activity in CAMRADATA Live by asset class (12 month rolling)



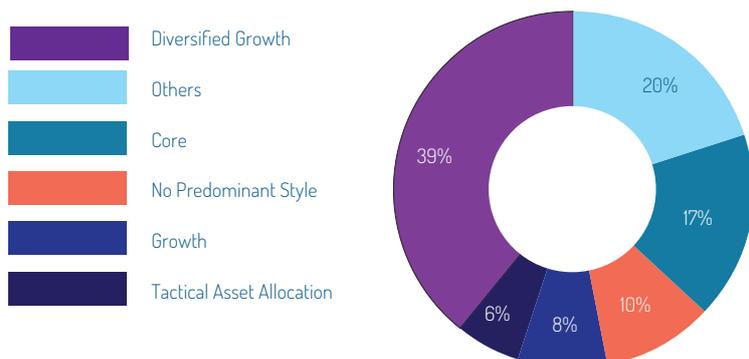
» SOURCE: CAMRADATA LIVE

CAMRADATA Live – Total activity by style (%)



» SOURCE: CAMRADATA LIVE

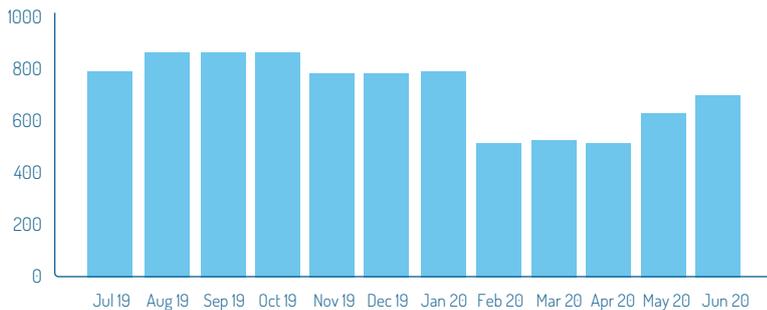
CAMRADATA Live – Total activity by strategy type (%)



» SOURCE: CAMRADATA LIVE

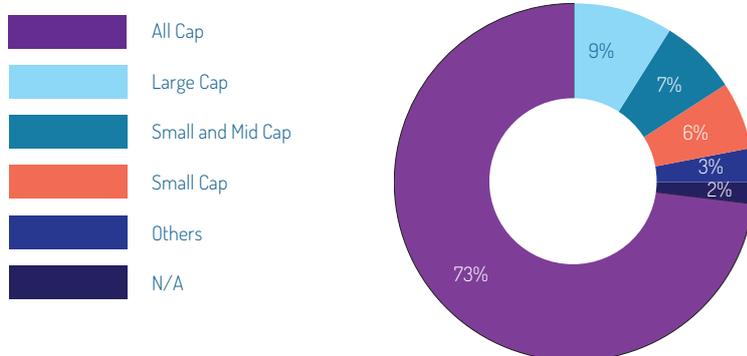
IN AN OVERVIEW OF THE **JAPAN EQUITY** ASSET CLASS, WE CONSIDER SUMI TRUST'S RECENTLY LAUNCHED JAPAN GROWTH OPPORTUNITY FUND, WHICH AIMS TO OUTPERFORM THE BENCHMARK TOPIX INDEX BY 4% ANNUALLY.

Total activity in CAMRADATA Live by asset class (12 month rolling)

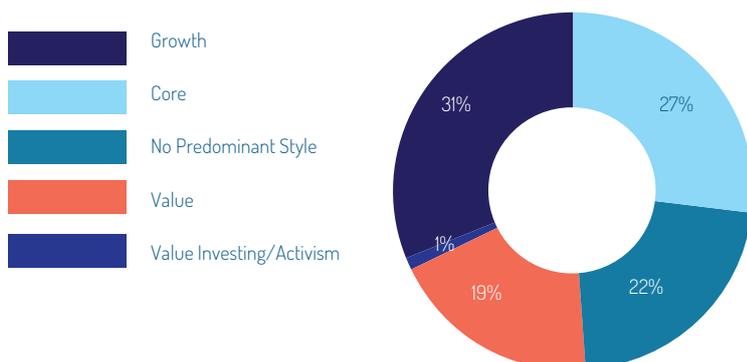


» SOURCE: CAMRADATA LIVE

CAMRADATA Live – Total activity by size (%)



CAMRADATA Live – Total activity by style (%)



» SOURCE: CAMRADATA LIVE

SUMI TRUST TARGETS HIGH-GROWTH JAPANESE COMPANIES

Sumitomo Mitsui Trust Asset Management (Sumi Trust) has launched a Japan equity fund that aims to outperform its index by 4% annually.

The Japan Growth Opportunity fund will hold 50–80 Japanese companies with high growth potential, the firm said.

Referencing the Topix index, the fund is managed by Hiroyasu Sato and will focus on the beneficiaries of long-term

“TOP HOLDINGS INCLUDE THE FOOD MANUFACTURER KIKKOMAN CORPORATION AND SHOEI, WHICH MAKES MOTORCYCLE HELMETS.”

trends in the economy, such as Japan’s ageing population, combined with a “catalyst strategy”, which is to buy companies that generate momentum through M&A or restructuring.

Sumi Trust says top holdings in the fund include Kikkoman Corporation, a Japanese food manufacturer, Shoei, a manufacturer of motorcycle and motorsport helmets, and Nihon M&A Center, a company providing strategic M&A advice and support services to small and medium-sized business.

Japan has more than 3,000 separate stocks in total.

The fund is currently overweight in industrials and information technology services and is underweight in financial services companies.

Sato said Covid-19 has accelerated existing trends in the Japanese market and created new opportunities.

AS WE WEIGH UP THE FORTUNES OF **UK EQUITY**, WE LOOK AT THE SIZEABLE INFLOWS INTO SUSTAINABLE STOCK MARKET FUNDS IN JULY – NOTING THAT FLOWS WERE HEADING IN THE OPPOSITE DIRECTION WHEN IT CAME TO EQUITIES OVERALL.

UK SUSTAINABLE EQUITY FUNDS ENJOY JULY INFLOWS

Sustainable stock market funds in the UK enjoyed positive flows in July, despite net flows into equities overall sinking into the red through the course of the month.

Net flows into sustainable vehicles reached £1.2 billion, with nearly 60% of this going to equity vehicles. Growth

“BAILLIE GIFFORD HAD ITS HIGHEST MONTHLY NET INFLOW EVER WITH £991 MILLION OF NEW INVESTMENT.”

funds were also popular, but this was not enough to offset the net £830 million (€695.3 million) from equities overall, according to data from Morningstar.

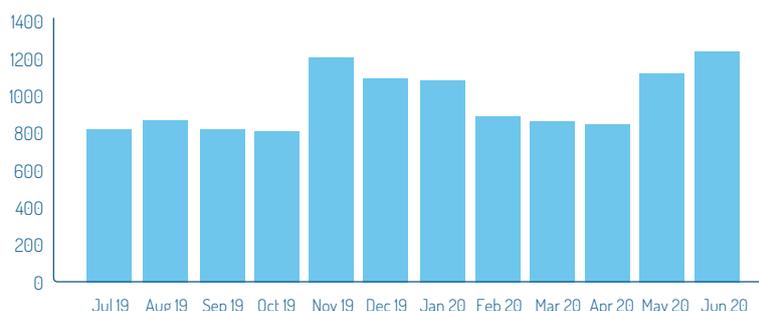
Across all asset classes, excluding money market funds, net inflows totalled £2.4 billion, despite the redemptions from equity funds – driven largely by strong flows into bonds nearly hitting the £2 billion mark.

Drilling down, sterling and global sterling-hedged bond funds were the favoured choice for investors.

In terms of individual firms, fund manager Baillie Gifford had its highest monthly net inflow on record with £991 million of new investment. “Its growth house style has been firmly in favour with investors,” Morningstar said.

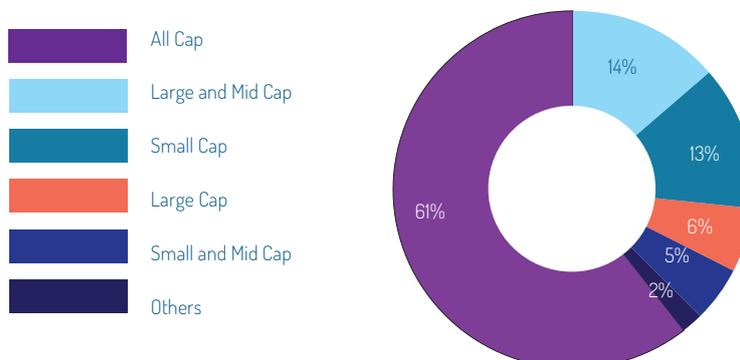
BlackRock also enjoyed inflows to the tune of £776 million. The main contributor to this was its world ESG equity tracker strategy – a fund said to be popular with institutional investors looking to increase the overall sustainability profile of their portfolios.

Total activity in CAMRADATA Live by asset class (12 month rolling)



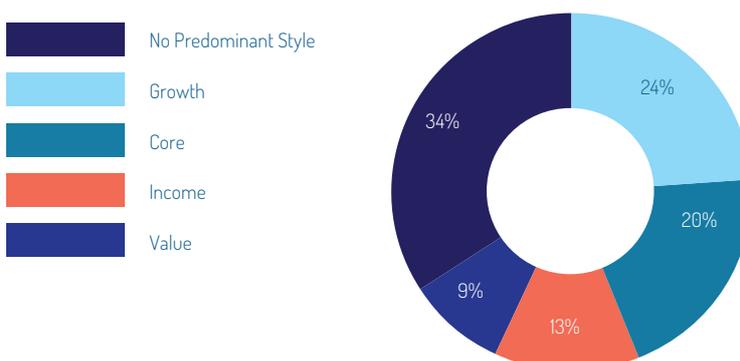
» SOURCE: CAMRADATA LIVE

CAMRADATA Live – Total activity by size (%)



» SOURCE: CAMRADATA LIVE

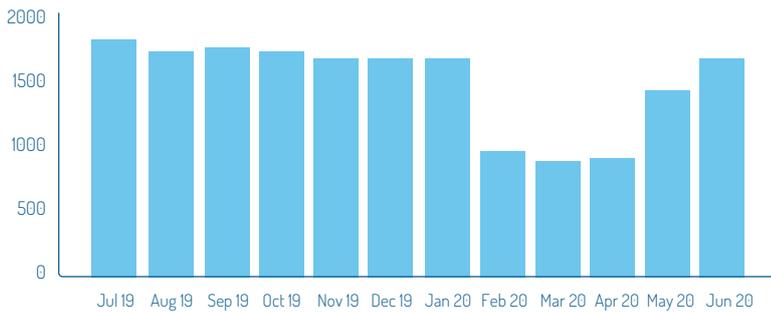
CAMRADATA Live – Total activity by style (%)



» SOURCE: CAMRADATA LIVE

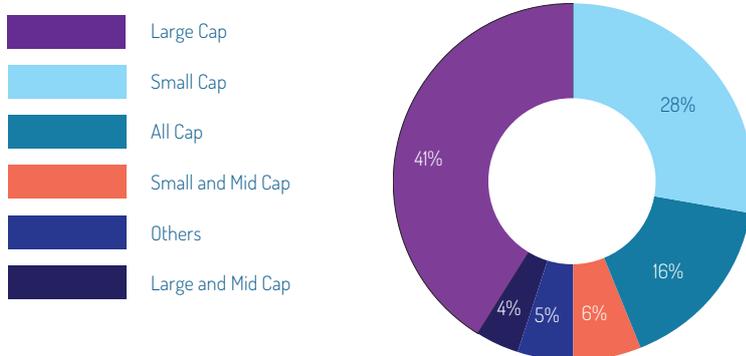
COMPLETING OUR ANALYSES WITH A ROUND-UP OF THE **US EQUITY** ASSET CLASS, WE REPORT ON A *FUNDS EUROPE* WEBINAR WHERE TWO AMERICAN PORTFOLIO MANAGERS DELIVERED AN ASSESSMENT OF THE MOST PROMISING SMALL-CAP STOCKS IN THE LONG TERM.

Total activity in CAMRADATA Live by asset class (12 month rolling)

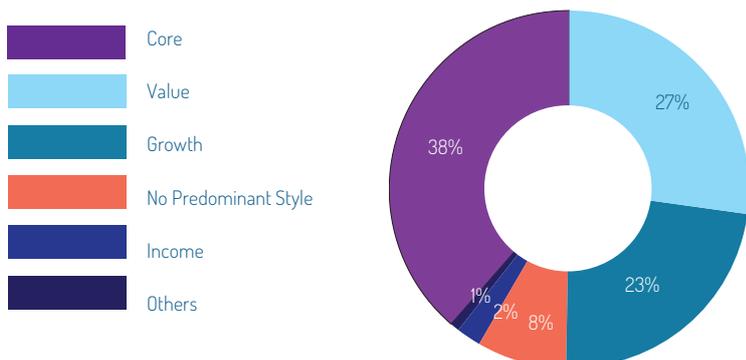


» SOURCE: CAMRADATA LIVE

CAMRADATA Live – Total activity by size (%)



CAMRADATA Live – Total activity by style (%)



» SOURCE: CAMRADATA LIVE

A FEW SMALL-CAPS ‘CAN MEAN OUTSIZED RETURNS’

Only a few US small-cap stocks are worth picking – but they produce outsized returns compared to the many ‘zombie’ companies in their universe, Eagle Asset Management’s Matt McGeary and Jason Wulff told a *Funds Europe* webinar.

Covid-19 has ravaged economies, but McGeary, portfolio manager at the US-based firm, said certain small-caps are excelling as the American economy stabilises. He and his fellow portfolio manager, Wulff, believe in the long-term value of small-cap stocks as long as risk management is tight, and they say a risk-adjusted, low-volatility approach gives investors the chance to diversify portfolios within a wider universe of stocks outside of the large-cap indices.

Most developed economies have seen a slower economic growth rate due to ageing populations and falling birth rates. This has led authorities to pursue a compulsive stimulus model. For investors, this means long periods of subdued volatility followed by short periods of extreme and erratic volatility. During these periods, active managers can have a bench of stocks available to invest in at a moment’s notice.

Secondly, passive investing and algorithmic trading are both on the rise, creating opportunities as the more “elastic” investors leave the market.

Finally, a bifurcation is occurring in the small-cap indices. To wit, loss-making companies now make up nearly 40% of the Russell 2000 Index and present a challenge for investors. Meanwhile, a small, durable and differentiated segment of the small-cap market has had an outsized impact on returns over the years. Understanding these risks and opportunities is critical to success in the US small-cap asset class.



We go way beyond lending, adding alpha for investors by using our experience to support our partners' growth ambitions

Alternative Fixed Income Opportunities

Prestige Asset Management Limited

36 Spital Square, London, E1 6DY, United Kingdom

T: +44 (0) 203 178 4055

F: +44 (0) 203 004 9690

E: info@prestigefunds.com

www.prestigeassetmanagement.co.uk

www.prestigefunds.com

Authorised and regulated by the Financial Conduct Authority (FCA)
Member of the Alternative Investment Management Association (AIMA)
Member of the Chartered Institute for Securities & Investment (CISI)



AB (ALLIANCEBERNSTEIN)

Primary address

50 Berkeley Street, London W1J 8HA, UK

Website

www.alliancebernstein.com

Contact email

michelle.inskip@alliancebernstein.com

Contact telephone

44-20-7470-0100

Founding year

1971

Description of organisation

AllianceBernstein is a leading global investment management and research firm providing diversified investment management services to a broad spectrum of clients including institutional, retail, and private client investors, worldwide. We are dedicated to combining our breadth of investment expertise, insights and innovations to develop the most appropriate solutions for our clients, delivered with the highest level of service. AllianceBernstein is singularly focused on asset management and research.

Total assets under management (as at 31 December 2019) – \$m

622,915

Assets under management by asset class (as at 31 December 2019) – \$m

Fixed Income	294,682.80
Equity	163,132.60
Other	165,100.00

Assets under management by client type (as at 31 December 2019) – \$m

Charity/Endowments	4,719.90
Other Clients	150,194.70
Pension Funds	127,775.00
Private Clients	101,059.30

Retail	239,166.40
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Assets under management by client domicile (as at 31 December 2019) – \$m

US	405,874.00
UK	11,109.90
Europe ex-UK	115,058.70
Middle East	4,084.70
Pacific ex-Japan	36,821.10
Japan	34,195.60
Other	15,771.30

Staff analysis (as at 31 December 2019)

Portfolio Managers	138
Research Analysts	285
Dealing	38
Marketing	47
Investment Administration/Legal/Compliance/Operations	707
Total Investment Prof Staff	524
Total Non-Investment Prof Staff	3,287

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	81
North America	352
Europe	8
Japan	11
Pacific ex-Japan	69
Other	3.00

ESG

Signatory to PRI: Yes

ABERDEEN STANDARD INVESTMENTS

Primary address

6 St Andrew Square, Edinburgh EH2 2AH, UK

Website

www.aberdeenstandard.com

Contact email

rfpservices-EMEA@aberdeenstandard.com

Contact telephone

+44 (0)131 245 0055

Founding year

2017

Description of organisation

Aberdeen Standard Investments is the brand name for the investment management business of Standard Life Aberdeen plc. Standard Life Aberdeen plc was created in 2017 from the merger of Standard Life plc and Aberdeen Asset Management PLC (Aberdeen PLC).

Total assets under management (as at 31 December 2019) – £m

486,536

Assets under management by asset class (as at 31 December 2019) – £m

Fixed Income	134,842.70
Equity	119,310.20
Property	37,098.60
Cash/Deposit	47,044.40
Other	148,239.70

Assets under management by client domicile (as at 31 December 2019) – £m

US	46,220.90
UK	345,440.30
Europe ex-UK	68,115.00
Middle East	2,265.70
Pacific ex-Japan	19,948.00
Japan	1,946.10
Other	2,599.70

Staff analysis (as at 31 December 2019)

Portfolio Managers	267
Research Analysts	199
Combined Manager/Analysts	144
Dealing	57
Marketing	1,335
Investment Administration/Legal/Compliance/Operations	3718
Total Investment Prof Staff	1,016
Total Non-Investment Prof Staff	5,053

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	640
North America	117
Europe	140
Japan	12
Pacific ex-Japan	129
Other	6.00

ESG

Signatory to PRI: Yes

ACADIAN ASSET MANAGEMENT (UK) LTD

Primary address

260 Franklin Street, Boston, MA 02110, USA

Website

www.acadian-asset.com

Contact email

acadianconsultantdatabase@acadian-asset.com

Contact telephone

617-850-3500

Founding year

2006

Description of organisation

Acadian Asset Management LLC is a US Securities and Exchange Commission-registered investment adviser and Boston-headquartered investment management firm

that, along with its wholly-owned Singapore, Japan, Australia, and UK affiliates, specialises in systematic, active global and regional equity strategies.

Total assets under management (as at 31 December 2019) – \$m

101,232

Assets under management by asset class (as at 31 December 2019) – \$m

Equity	101,232.20
Other	0.10

Assets under management by client type (as at 31 December 2019) – \$m

Other Clients	101,232.30
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Assets under management by client domicile (as at 31 December 2019) – \$m

US	62,934.10
UK	1,619.70
Europe ex-UK	17,222.40
Middle East	89.90
Pacific ex-Japan	11,519.90
Japan	763.50
Other	7,082.80

Staff analysis (as at 31 December 2019)

Portfolio Managers	32
Research Analysts	57
Dealing	28
Marketing	44
Investment Administration/Legal/Compliance/Operations	227
Total Investment Prof Staff	117
Total Non-Investment Prof Staff	271

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	2
North America	103
Japan	1
Pacific ex-Japan	11

ESG

Signatory to PRI: Yes

ACTIAM N.V.

Primary address

Graadt van Roggenweg 250, Utrecht, Netherlands 3531 AH

Website

www.actiam.com

Contact email

marcom@actiam.nl

Contact telephone

+31-20-543 6777

Founding year

1997

Description of organisation

ACTIAM delivers relevant investment solutions, maximising longer-term financial, environmental and social returns. ACTIAM encourages companies and countries to prepare for the new challenges they are facing. In this way, we are helping to pave the pathway towards a sustainable society while creating longer-term financial returns to our clients. ACTIAM offers a complete range of responsible investment strategies and solutions (both actively and passively managed). ACTIAM sets strict requirements on its investments and follows a robust and careful selection process.

Total assets under management (as at 31 December 2019) – €m

63,829

Assets under management by asset class (as at 31 December 2019) – €m

Fixed Income	47,831.00
Equity	13,457.00
Property	1,149.00
Cash/Deposit	1,086.00
Other	306.00

Assets under management by client type (as at 31 December 2019) – €m

Charity/Endowments	132.00
Life Insurance	53,378.00
Other Clients	6,373.00
Pension Funds	3,945.00

Assets under management by client domicile (as at 31 December 2019) – €m

Europe ex-UK	63,829.00
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Staff analysis (as at 31 December 2019)

Portfolio Managers	35
Research Analysts	9
Combined Manager/Analysts	2
Dealing	6
Marketing	21
Investment Administration/Legal/Compliance/Operations	23
Total Investment Prof Staff	52
Total Non-Investment Prof Staff	44

Geographical breakdown of Investment Professionals (as at 31 December 2019)

Europe	52
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ESG

Signatory to PRI: Yes

AEGON INVESTMENT MANAGEMENT B.V.

Primary address

Aegonplein 50, 2591 TV, The Hague, The Netherlands

Website

www.aegonassetmanagement.com

Contact telephone

+31 (0)70 344 3210

Founding year

1968

Total assets under management (as at 31 December 2019) – €m

111,719

Assets under management by asset class (as at 31 December 2019) – €m

Fixed Income	80,816.40
Equity	22,582.70
Property	2,056.30
Other	6,263.60

ESG

Signatory to PRI: Yes

AEGON INVESTMENT MANAGEMENT (PTY) LTD

Website

www.aeonim.co.za

Contact email

funds@aeonim.co.za

Founding year

2005

Description of organisation

Aeon Investment Management is a B-BBEE Level One boutique investment company based in Claremont, Cape Town, South Africa, established in December 2005 by Asief Mohamed, an award-winning investment professional. The firm is a signatory of the United Nations Principle of Responsible Investment (UNPRI) since 2014 with excellent ratings relative to our peers globally. The firm manages equity-only and multi-asset class portfolios.

Total assets under management (as at 31 December 2019) – Rm

12,417

Assets under management by asset class (as at 31 December 2019) – Rm

Equity	11,493.00
Other	924.10

Assets under management by client type (as at 31 December 2019) – Rm

Pension Funds	12,062.10
Private Clients	355.00

Assets under management by client domicile (as at 31 December 2019) – Rm

Other	12,417.10
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Staff analysis (as at 31 December 2019)

Portfolio Managers	3
Research Analysts	2
Marketing	1
Investment Administration/Legal/ Compliance/Operations	2
Total Investment Prof Staff	8

Geographical breakdown of Investment Professionals (as at 31 December 2019)

Other	8.00
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ESG

Signatory to PRI: Yes

AEW UK INVESTMENT MANAGEMENT LLP**Primary address**

33 Jermyn Street, London SW1Y 6DN, UK

Website

www.aewuk.co.uk

Contact email

aewukinvestorrelations@eu.aew.com

Contact telephone

+44 207 016 4880

Founding year

2011

Description of organisation

AEW Global is one of the largest global real estate asset managers, with €71.2 billion of AuM (31 March 2020) across the US, Europe and Asia. AEW UK is part of the wider AEW Group which comprises AEW in Europe, and AEW Capital Management L.P. AEW UK was launched as a 50:50 JV between AEW UK's management team and AEW in Europe.

Total assets under management (as at 31 December 2019) – £m

27,931

Assets under management by asset class (as at 31 December 2019) – £m

Property	27,931.00
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Assets under management by client type (as at 31 December 2019) – £m

Charity/Endowments	17.00
Life Insurance	12,549.00
Other Clients	6,782.00
Pension Funds	4,766.00
Retail	3,053.00

Assets under management by client domicile (as at 31 December 2019) – £m

US	223.00
UK	861.00

Europe ex-UK	23,541.00
Middle East	717.00
Pacific ex-Japan	1,224.00
Japan	166.00
Other	435.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	5
Research Analysts	3
Marketing	2
Total Investment Prof Staff	16
Total Non-Investment Prof Staff	12

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	16
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ESG

Signatory to PRI: Yes

AGF INVESTMENTS**Website**

www.agf.com/institutional

Founding year

1957

Description of organisation

As an independent and diversified global asset management firm, AGF brings a disciplined approach to delivering excellence in investment management and to providing an exceptional client experience.

Being an independent firm has allowed us to make strategic acquisitions that improve our client service experience and enable us to offer new and innovative products, while enhancing our research capabilities.

Our suite of diverse investment solutions extends globally to a wide range of clients, from individual investors and financial advisers to institutions.

Total assets under management (as at 31 December 2019) – €m

18,930

Assets under management by asset class (as at 31 December 2019) – €m

Fixed Income	3,566.20
Equity	12,721.00
Other	2,643.10

Assets under management by client type (as at 31 December 2019) – €m

Charity/Endowments	2.30
Other Clients	5,314.90
Pension Funds	1,598.90
Private Clients	0.50
Retail	12,013.70

Assets under management by client domicile (as at 31 December 2019) – €m

US	483.90
UK	609.20
Europe ex-UK	374.40
Middle East	501.60
Pacific ex-Japan	58.70
Other	16,902.50

Staff analysis (as at 31 December 2019)

Portfolio Managers	9
Research Analysts	26
Total Investment Prof Staff	35

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	31
Pacific ex-Japan	4

ESG

Signatory to PRI: Yes

ALATUS CAPITAL SA**Primary address**

Rue Vallin 2, CH-1201, Geneva, Switzerland

Website

www.alatus.ch

Contact email

info@alatus.ch

Contact telephone

41.22.735.0011

Founding year

2007

Total assets under management (as at 31 December 2019) – €m

1,559

Assets under management by asset class (as at 31 December 2019) – €m

Equity	1,558.50
--------	----------

Staff analysis (as at 31 December 2019)

Portfolio Managers	2
Research Analysts	3
Marketing	1
Investment Administration/Legal/ Compliance/Operations	5
Total Investment Prof Staff	5
Total Non-Investment Prof Staff	6

Geographical breakdown of Investment Professionals (as at 31 December 2019)

Europe	5
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ALCENTRA GROUP**Website**

www.alcentra.com

Contact email

alcentra@vestment.com

Founding year

2002

Total assets under management (as at 31 December 2019) – \$m

41,378

ESG

Signatory to PRI: Yes

ALL SEASONS CAPITAL MANAGEMENT**Primary address**

Suite 103, Grand Baie Business Park, Mauritius

Website

www.ascm.com

Contact email

reporting@ascm.com

Contact telephone

+230 263 2082

Founding year

2007

Total assets under management (as at 31 December 2019) – \$m

1,003

Assets under management by asset class (as at 31 December 2019) – \$m

Equity	984.50
Cash/Deposit	18.40

Assets under management by client type (as at 31 December 2019) – \$m

Pension Funds	989.80
Private Clients	13.10

Assets under management by client domicile (as at 31 December 2019) – \$m

Other	1,002.90
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ALLAN GRAY PROPRIETARY LIMITED**Primary address**

1 Silo Square, V&A Waterfront, Cape Town, 8001, South Africa

Website

www.allangray.co.za

Contact email

info@allangray.co.za

Contact telephone

+27 (0)21 415 2300

Founding year

1973

Total assets under management (as at 31 December 2019) – Rm

544,842

ESG

Signatory to PRI: Yes

ALLIANZ GLOBAL INVESTORS**Primary address**

199 Bishopsgate, London EC2M 3TY, UK

Website

www.allianzgi.com

Contact email

corinne.crawford@allianzgi.com

Contact telephone

+44 207 859 9000

Founding year

1955

Description of organisation

AllianzGI offers a diversified range of active investment strategies covering the developed and emerging markets, public and private markets. We offer single-country and global strategies, and thematic and sector portfolios, as well as investment advisory services via our specialist risklab unit.

We believe active management offers unique advantages in today's investment environment – whatever the asset class, geographic scope or investment style.

Total assets under management (as at 31 December 2019) – £m

477,010

Assets under management by asset class (as at 31 December 2019) – £m

Fixed Income	166,326.31
Equity	115,577.58
Other	195,106.14

Assets under management by client type (as at 31 December 2019) – £m

Charity/Endowments	5,749.18
Non Life Insurance	169,087.13
Other Clients	212,346.52
Pension Funds	82,255.50
Private Clients	7,571.70

Assets under management by client domicile (as at 31 December 2019) – £m

US	60,013.66
UK	9,324.42
Europe ex-UK	367,611.28
Middle East	4,631.81

Pacific ex-Japan	20,596.72
Japan	9,339.08
Other	5,493.05

Staff analysis (as at 31 December 2019)

Portfolio Managers	436
Research Analysts	153
Dealing	62
Marketing	128
Investment Administration/Legal/Compliance/Operations	2204
Total Investment Prof Staff	802
Total Non-Investment Prof Staff	2,181

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	112
North America	190
Europe	370
Japan	13
Pacific ex-Japan	117

ESG

Signatory to PRI: Yes

ALPHABEE ASSET MANAGEMENT**Primary address**

30, Dernier Sol, Luxembourg 2543

Website

www.alphabee.fund

Contact email

info@alphabee.fund

Contact telephone

+35220301570

Founding year

2017

Description of organisation

AlphaBee is a regulated and audited Luxembourg-based investment fund. Its investment philosophy is to protect and grow capital through low volatility, monthly liquidity and attractive risk-adjusted returns. AlphaBee identifies, analyses and invests in global liquid alternative niche strategies. AlphaBee constructs and actively manages liquid multi-asset portfolios of audited, uncorrelated investment strategies and possesses methodologies to allocate capital among those.

Total assets under management (as at 31 December 2019) – \$m

49

Assets under management by asset class (as at 31 December 2019) – \$m

Other	49.00
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Assets under management by client type (as at 31 December 2019) – \$m

Charity/Endowments	3.50
Private Clients	45.50

ALPHATHORN**Website**

www.alphathorn.com

Contact email

david@alphathorn.com

Founding year

2012

Description of organisation

Family office owned business which uses an AI-driven algorithm to capture price movements in the spot gold price.

Total assets under management (as at 31 December 2019) – AUD \$m

80

Assets under management by asset class (as at 31 December 2019) – AUD \$m

Other	80.00
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Assets under management by client type (as at 31 December 2019) – AUD \$m

Private Clients	80.00
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Assets under management by client domicile (as at 31 December 2019) – AUD \$m

Pacific ex-Japan	80.00
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Staff analysis (as at 31 December 2019)

Portfolio Managers	1
Marketing	2
Investment Administration/Legal/Compliance/Operations	3
Total Investment Prof Staff	1
Total Non-Investment Prof Staff	5

Geographical breakdown of Investment Professionals (as at 31 December 2019)

Pacific ex-Japan	1
------------------	---

ALPHINITY INVESTMENT MANAGEMENT PTY LIMITED**Primary address**

Level 12, 179 Elizabeth Street, Sydney, NSW 2000, Australia

Website

www.alphinity.com.au

Contact email

contact@alphinity.com.au

Founding year

2010

Total assets under management (as at 31 December 2019) – AUD \$m

9,974

Assets under management by asset class (as at 31 December 2019) – AUD \$m

Equity	9,974.10
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Assets under management by client type (as at 31 December 2019) – AUD \$m

Life Insurance	784.30
Other Clients	5,317.80
Pension Funds	3,438.10
Retail	433.90

Assets under management by client domicile (as at 31 December 2019) – AUD \$m

Pacific ex-Japan	9,974.10
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ESG

Signatory to PRI: Yes

ALQUITY INVESTMENT MANAGEMENT LIMITED**Primary address**

9 Kingsway, Holborn, London WC2B 6XF, UK

Website

www.alquity.com

Contact email

info@alquity.com

Contact telephone

+44 207 557 7850

Founding year

2009

Description of organisation

London-based equity manager with five Emerging Markets Funds (Latin America, Africa, Asia, India and GEM) with an ESG approach.

Total assets under management (as at 31 December 2019) – \$m

171

Assets under management by asset class (as at 31 December 2019) – \$m

Equity	171.16
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Staff analysis (as at 31 December 2019)

Portfolio Managers	3
Research Analysts	4
Marketing	6
Investment Administration/Legal/Compliance/Operations	4
Total Investment Prof Staff	7

Total Non-Investment Prof Staff 10

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	7
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ESG

Signatory to PRI: Yes

ALTRINISIC GLOBAL ADVISORS, LLC**Primary address**

8 Sound Shore Drive, Greenwich, CT 06830, USA

Website

www.altrinsic.com

Contact email

pgrabowski@altrinsic.com

Contact telephone

(203) 661-0030

Founding year

2000

Total assets under management (as at 31 December 2019) – \$m

7,397

Assets under management by asset class (as at 31 December 2019) – \$m

Equity	7,397.20
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Assets under management by client type (as at 31 December 2019) – \$m

Charity/Endowments	191.10
Non Life Insurance	1.70
Other Clients	2,638.40
Pension Funds	4,565.90

Assets under management by client domicile (as at 31 December 2019) – \$m

US	3,383.60
UK	564.70
Middle East	14.20
Pacific ex-Japan	677.00
Japan	21.20
Other	2,736.50

Staff analysis (as at 31 December 2019)

Research Analysts	6
Combined Manager/Analysts	5
Dealing	2
Marketing	4
Investment Administration/Legal/Compliance/Operations	17
Total Investment Prof Staff	13
Total Non-Investment Prof Staff	21

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	13
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AMERICAN CENTURY INVESTMENTS

Primary address

4500 Main Street, Kansas City, MO 64111, USA

Website

www.americancentury-global.com

Contact email

global-info@americancentury.com

Founding year

1958

Total assets under management (as at 31 December 2019) - \$m

178,050

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	40,621.90
Equity	134,763.40
Other	2,664.80

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	864.90
Life Insurance	4,605.90
Other Clients	52,882.60
Pension Funds	21,497.80
Retail	98,198.90

Assets under management by client domicile (as at 31 December 2019) - \$m

US	146,904.60
UK	211.20
Europe ex-UK	940.90
Middle East	14,633.40
Pacific ex-Japan	4,554.50
Japan	3,325.60
Other	7,479.90

Staff analysis (as at 31 December 2019)

Portfolio Managers	79
Research Analysts	72
Dealing	14
Marketing	51
Investment Administration/Legal/Compliance/Operations	63
Total Investment Prof Staff	194
Total Non-Investment Prof Staff	1,250

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	4
North America	190

ESG

Signatory to PRI: Yes

AMP CAPITAL INVESTORS

Primary address

AMP Centre, 33 Alfred Street, Sydney,

NSW 2000, Australia

Website

www.ampcapital.com

Contact email

clientservices@ampcapital.com

Contact telephone

+61 2 8048 8230

Founding year

1849

Total assets under management (as at 31 December 2019) - AUD \$m

203,095

Assets under management by asset class (as at 31 December 2019) - AUD \$m

Fixed Income	68,558.96
Equity	74,724.30
Property	29,754.00
Other	30,057.70

Assets under management by client domicile (as at 31 December 2019) - AUD \$m

US	2,419.30
UK	2,631.80
Europe ex-UK	9,247.79
Middle East	1,794.60
Pacific ex-Japan	11,071.83
Japan	6,505.70
Other	169,423.88

Staff analysis (as at 31 December 2019)

Portfolio Managers	106
Research Analysts	104
Dealing	18
Investment Administration/Legal/Compliance/Operations	1341
Total Investment Prof Staff	270
Total Non-Investment Prof Staff	1,299

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	46
North America	26
Europe	3
Pacific ex-Japan	195

ESG

Signatory to PRI: Yes

AMUNDI ASSET MANAGEMENT

Primary address

41 Lothbury, London EC2R 7HF, UK

Website

www.amundi.com

Contact email

consultantrelations@amundi.com

Contact telephone

0207 074 9300

Founding year

1950

Description of organisation

Amundi provides investment solutions tailored to the requirements of its retail, institutional and corporate clients from over 30 countries. The firm has over 5,000 employees globally. Amundi covers the main markets and investment regions throughout the world. With a strong local presence, it is committed to offering its clients a relationship defined by both proximity and a long-term view.

Total assets under management (as at 31 December 2019) - €m

1,653,392

Assets under management by asset class (as at 31 December 2019) - €m

Fixed Income	787,854.50
Equity	283,571.10
Property	32,512.70
Other	322,403.00

Assets under management by client domicile (as at 31 December 2019) - €m

US	89,156.30
UK	1,126.40
Europe ex-UK	743,532.70
Japan	8,207.60
Other	811,368.60

ESG

Signatory to PRI: Yes

ANCHOR CAPITAL

Primary address

Two International Place, 21st Floor, Boston MA 02110, USA

Website

www.anchorcapital.com

Contact email

aca@anchorcapital.com

Contact telephone

(617) 338-3800

Founding year

1983

Total assets under management (as at 31 December 2019) - \$m

9,499

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	87.00
Equity	5,998.40

Property	14.10
Other	3,399.80

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	209.60
Other Clients	1,506.30
Pension Funds	7,783.40

Assets under management by client domicile (as at 31 December 2019) - \$m

US	9,499.30
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Staff analysis (as at 31 December 2019)

Portfolio Managers	5
Research Analysts	4
Dealing	5
Marketing	18
Investment Administration/Legal/Compliance/Operations	14
Total Investment Prof Staff	14
Total Non-Investment Prof Staff	32

ANGEL OAK CAPITAL ADVISORS, LLC

Website

www.angeloakcapital.com

Contact email

info@angeloakcapital.com

Founding year

2009

Total assets under management (as at 31 December 2019) - \$m

11,011

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	10,424.50
Other	586.90

Assets under management by client type (as at 31 December 2019) - \$m

Non Life Insurance	374.20
Other Clients	2,386.00
Other Mutual	46.50
Pension Funds	26.80
Retail	8,177.90

Assets under management by client domicile (as at 31 December 2019) - \$m

US	10,281.00
Europe ex-UK	730.40

Staff analysis (as at 31 December 2019)

Portfolio Managers	13
Research Analysts	7
Marketing	4
Investment Administration/Legal/Compliance/Operations	49
Total Investment Prof Staff	24
Total Non-Investment Prof Staff	49

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	24
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APPLIED FUNDAMENTAL RESEARCH, LLC

Website

www.appliedfr.com

Founding year

2010

Description of organisation

Applied Fundamental Research (AFR) is a privately held investment manager based in Cambridge, Massachusetts. As value investors first and foremost, the firm aims to compound capital on behalf of high-net-worth individuals and families, endowments and foundations, and institutional partners in a risk-averse manner. AFR utilises a defined research methodology to identify, analyse and value fundamentally mispriced investment opportunities. At the core of this process is a proprietary research engine, comprising both qualitative and quantitative elements.

Total assets under management (as at 31 December 2019) - \$m

25

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	25.40
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Assets under management by client domicile (as at 31 December 2019) - \$m

US	25.40
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APS ASSET MANAGEMENT PTE LTD

Primary address

APS Asset Management Pte. Ltd, 3 Anson Road, #23-01 Springleaf Tower, Singapore 079909

Website

www.aps.com.sg

Contact email

cs@aps.com.sg

Contact telephone

+65 6333 8600

Founding year

1995

Total assets under management (as at 31 December 2019) - \$m

2,364

Assets under management by asset class

(as at 31 December 2019) - \$m

Equity	2,364.00
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AQR CAPITAL MANAGEMENT

Primary address

Charles House 5-11 Regent Street London United Kingdom SW1Y 4LR

Website

www.aqr.com

Contact email

TeamEurope@aqr.com

Contact telephone

+44 (0)20 3130 7800

Founding year

1998

Description of organisation

AQR (Applied Quantitative Research) is a global investment management firm built at the intersection of financial theory and practical application. We strive to deliver superior, long-term results for our clients by seeking to filter out market noise to identify and isolate what matters most, and to develop ideas that stand up to rigorous testing.

Total assets under management (as at 31 December 2019) - \$m

186,020

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	4,724.20
Equity	110,025.90
Other	71,269.70

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	5,655.40
Other Clients	30,696.80
Other Mutual	22,246.70
Pension Funds	127,127.60
Private Clients	293.30

Assets under management by client domicile (as at 31 December 2019) - \$m

US	102,996.90
UK	11,149.80
Europe ex-UK	27,352.40
Middle East	11,401.90
Pacific ex-Japan	27,523.00
Japan	209.60
Other	5,386.20

ESG

Signatory to PRI: Yes

ARDEA INVESTMENT MANAGEMENT

Primary address

Level 2, 5 Martin Place, Sydney, NSW 2000, Australia

Website

www.ardea.com.au

Contact email

institutional@fidante.com.au

Founding year

2008

Description of organisation

Ardea is a boutique fixed income investment manager with a focus on delivering stable, risk-controlled returns to investors. With extensive capabilities across inflation-linked and nominal bonds, as well as credit and cash markets, Ardea has a long and successful track record in managing fixed income portfolios from traditional, defensive products, inflation-linked bonds, and also benchmark unaware and objectives-based solutions.

Total assets under management (as at 31 December 2019) - AUD \$m

13,419

Assets under management by asset class (as at 31 December 2019) - AUD \$m

Fixed Income	13,418.61
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Assets under management by client type (as at 31 December 2019) - AUD \$m

Non Life Insurance	1,627.40
Other Clients	4,189.20
Pension Funds	3,259.11
Private Clients	3,480.90
Retail	862.00

Assets under management by client domicile (as at 31 December 2019) - AUD \$m

Pacific ex-Japan	13,418.61
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ESG

Signatory to PRI: Yes

ARDEVORA ASSET MANAGEMENT LLP**Primary address**

6 New Bridge Street, London EC4V 6AB, UK

Website

www.ardevora.com

Contact email

clientservice@ardevora.com

Contact telephone

+44 (0)20 7842 0630

Founding year

2010

Description of organisation

Ardevora is a global equities boutique which was founded in January 2010 by William Pattisson and Jeremy Lang. 2010 was spent establishing the firm and fine-tuning the investment process. The fund management team began managing money in the first quarter of 2011 with the launch of Dublin-based Ucits funds.

Total assets under management (as at 31 December 2019) - £m

5,932

Assets under management by asset class (as at 31 December 2019) - £m

Equity	5,931.90
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Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	24.20
Non Life Insurance	191.40
Other Clients	100.90
Pension Funds	4,943.20
Private Clients	3.80
Retail	668.40

Assets under management by client domicile (as at 31 December 2019) - £m

US	276.43
UK	1,269.77
Europe ex-UK	866.07
Middle East	222.87
Pacific ex-Japan	2,659.14
Japan	409.32
Other	228.27

Staff analysis (as at 31 December 2019)

Portfolio Managers	3
Research Analysts	9
Dealing	2
Marketing	8
Investment Administration/Legal/Compliance/Operations	16
Total Investment Prof Staff	3
Total Non-Investment Prof Staff	35

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	3
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ESG

Signatory to PRI: Yes

ARGA INVESTMENT MANAGEMENT**Primary address**

1010 Washington Boulevard, 6th Floor, Stamford, CT 06901, USA

Website

www.argainvest.com

Contact email

info@argainvest.com

Contact telephone

1-203-614-0800

Founding year

2010

Total assets under management (as at 31 December 2019) - \$m

4,973

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	4,973.00
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	94.50
Other Clients	3,643.40
Pension Funds	1,120.40
Private Clients	114.80

Assets under management by client domicile (as at 31 December 2019) - \$m

US	3,968.60
Europe ex-UK	33.90
Middle East	946.60
Other	24.00

Staff analysis (as at 31 December 2019)

Research Analysts	5
Combined Manager/Analysts	6
Dealing	3
Marketing	8
Investment Administration/Legal/Compliance/Operations	26
Total Investment Prof Staff	28
Total Non-Investment Prof Staff	34

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	13
Other	12.00

ESG

Signatory to PRI: Yes

ARGUS INVESTORS' COUNSEL, INC.**Primary address**

Soundview Plaza, 1266 East Main Street, Stamford, CT 06902, USA

Website

www.argusinvest.com

Contact email

clesko@argusinvest.com

Contact telephone

203 316 9000

Founding year

1960

Total assets under management (as at 31

December 2019) - \$m

223

Assets under management by asset class (as at 31 December 2019) - \$m

Equity 223.00

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	22.60
Other Clients	133.70
Pension Funds	60.80
Private Clients	5.90

Assets under management by client domicile (as at 31 December 2019) - \$m

US 223.00

ARISAIG PARTNERS (ASIA) PTE LTD**Primary address**

6 Lorong Telok, #02-01 Singapore 049019

Website

www.arisaig.com.sg

Contact email

investorrelations@arisaig.com.sg

Contact telephone

+65 6532 3378

Founding year

1996

Description of organisation

Arisaig Partners is an independent, employee-owned boutique established in 1996. We are long-only investors investing in the highest-quality dominant consumer franchises in emerging markets. These businesses derive revenues from high-frequency, low unit price, repeatable transactions in large, fast-growing markets.

ESG

Signatory to PRI: Yes

ARK INVESTMENT MANAGEMENT LLC**Primary address**

3 East 28th Street, 7th Floor, New York, NY 10016, USA

Website

ark-invest.com

Contact telephone

+1-212-426-7040

Founding year

2014

Total assets under management (as at 31 December 2019) - \$m

11,073

Assets under management by asset class (as at 31 December 2019) - \$m

Equity 11,072.80

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	13.60
Other Clients	6,500.80
Retail	4,558.50

Assets under management by client domicile (as at 31 December 2019) - \$m

US	3,972.80
Europe ex-UK	2,519.80
Pacific ex-Japan	68.60
Japan	4,494.50
Other	17.20

Staff analysis (as at 31 December 2019)

Portfolio Managers	1
Research Analysts	9
Dealing	2
Marketing	3
Investment Administration/Legal/Compliance/Operations	9
Total Investment Prof Staff	15
Total Non-Investment Prof Staff	9

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America 15

ARROWSMITH CAPITAL, LIMITED PARTNERSHIP**Primary address**

200 Clarendon Street, Lvl 30, Boston, MA 02116, USA

Website

www.arrowstreetcapital.com

Contact email

info@arrowstreetcapital.com

Contact telephone

+1 617 919 0000

Founding year

1999

Total assets under management (as at 31 December 2019) - \$m

106,168

Assets under management by asset class (as at 31 December 2019) - \$m

Equity 106,168.10

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	2,385.20
Non Life Insurance	101.20
Other Clients	3,957.30
Pension Funds	99,724.30

Assets under management by client domicile (as at 31 December 2019) - \$m

US	72,179.30
UK	5,521.70
Europe ex-UK	10,835.00
Pacific ex-Japan	9,969.40
Other	7,662.70

Staff analysis (as at 31 December 2019)

Portfolio Managers	7
Research Analysts	13
Dealing	3
Marketing	9
Investment Administration/Legal/Compliance/Operations	278
Total Investment Prof Staff	23
Total Non-Investment Prof Staff	287

ESG

Signatory to PRI: Yes

ARTEMIS INVESTMENT MANAGEMENT LLP**Primary address**

57 St James's Street, London SW1A 1LD, UK

Website

www.artemisfunds.com

Contact email

institutionalteam@artemisfunds.com

Contact telephone

020 7399 6207

Founding year

1997

Total assets under management (as at 31 December 2019) - £m

28,121

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	3,167.00
Equity	23,512.30
Other	1,441.92

Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	281.50
Non Life Insurance	405.80
Other Clients	4,522.80
Pension Funds	1,622.40
Retail	21,288.70

Assets under management by client domicile (as at 31 December 2019) - £m

UK	26,060.20
Europe ex-UK	1,942.20

Pacific ex-Japan	114.30
Other	4.40

Staff analysis (as at 31 December 2019)

Portfolio Managers	32
Research Analysts	13
Dealing	6
Total Investment Prof Staff	45

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	45
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ESG

Signatory to PRI: Yes

ARTISAN PARTNERS LIMITED PARTNERSHIP

Primary address

25 St James's Street, 3rd Floor, London SW1A 1HA, UK

Website

www.artisanpartners.com

Contact telephone

44-20-7766-7110

Founding year

1994

Total assets under management (as at 31 December 2019) - \$m

121.016

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	3,849.70
Equity	117,165.90

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	4,239.50
Non Life Insurance	946.20
Other Clients	38,644.90
Other Mutual	47,810.60
Pension Funds	19,420.50
Private Clients	4,785.50
Retail	5,168.30

Assets under management by client domicile (as at 31 December 2019) - \$m

US	95,938.40
UK	11,041.70
Europe ex-UK	6,710.20
Middle East	1,263.40
Pacific ex-Japan	3,656.30
Japan	142.50
Other	2,263.20

Staff analysis (as at 31 December 2019)

Portfolio Managers	23
Research Analysts	60
Dealing	18

Marketing	110
Investment Administration/Legal/Compliance/Operations	228
Total Investment Prof Staff	102
Total Non-Investment Prof Staff	337

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	3
North America	123
Pacific ex-Japan	6

ESG

Signatory to PRI: Yes

ASHMORE GROUP

Primary address

61 Aldwych, London WC2B 4AE, UK

Website

www.ashmoregroup.com

Contact email

ashmail@ashmoregroup.com

Contact telephone

+44 203 077 6000

Founding year

1999

Description of organisation

Ashmore is a specialist active Emerging Markets investment manager with almost 30 years' experience of investing solely across these markets. Investment themes include External Debt, Local Currency, Corporate Debt, Equities and Alternatives.

Total assets under management (as at 31 December 2019) - \$m

98,363

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	83,539.00
Equity	5,120.00
Cash/Deposit	7,711.00
Other	1,993.00

Assets under management by client type (as at 31 December 2019) - \$m

Non Life Insurance	12,924.00
Other Clients	29,315.00
Pension Funds	43,295.00
Private Clients	1,150.00
Retail	12,829.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	22,711.00
UK	8,958.00
Europe ex-UK	26,859.00
Middle East	16,441.00

Pacific ex-Japan	14,721.00
Japan	7,523.00
Other	1,150.00

Staff analysis (as at 31 December 2019)

Combined Manager/Analysts	96
Marketing	14
Investment Administration/Legal/Compliance/Operations	200
Total Investment Prof Staff	96
Total Non-Investment Prof Staff	214

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	38
North America	7
Pacific ex-Japan	15
Other	36.00

ESG

Signatory to PRI: Yes

ASIA FRONTIER CAPITAL

Website

www.asiafrontiercapital.com

Contact email

info@asiafrontiercapital.com

Founding year

2013

Description of organisation

Asia Frontier Capital Ltd is a pioneering fund management company based in Hong Kong that specialises in investing in high-growth Asian frontier economies by managing the AFC Asia Frontier Fund, AFC Iraq Fund, AFC Uzbekistan Fund, and the AFC Vietnam Fund.

Total assets under management (as at 31 December 2019) - £m

57

Assets under management by asset class (as at 31 December 2019) - £m

Equity	57.00
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Staff analysis (as at 31 December 2019)

Portfolio Managers	5
Combined Manager/Analysts	5
Marketing	2
Investment Administration/Legal/Compliance/Operations	1
Total Investment Prof Staff	5
Total Non-Investment Prof Staff	4

ASSET MANAGEMENT ONE INTERNATIONAL LTD

Primary address

Mizuho House, 30 Old Bailey, London EC4M 7AU, UK

Website

www.am-one-int.co.uk

Contact email

info@am-one-int.co.uk

Contact telephone

+44 207 329 3777

Founding year

2016

Description of organisation

Headquartered in Tokyo, Asset Management One is one of the largest asset managers in Asia and maintains a leading position amongst both institutional and retail clients in Japan.

Total assets under management (as at 31 December 2019) - \$m

490,837

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	176,092.00
Equity	243,849.00
Property	18,495.00
Cash/Deposit	4,533.00
Other	42,835.00

Assets under management by client type (as at 31 December 2019) - \$m

Other Clients	141,060.00
Pension Funds	266,425.00
Retail	83,353.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	39.00
Europe ex-UK	1,333.00
Pacific ex-Japan	4,862.00
Japan	481,980.00
Other	2,623.00

Staff analysis (as at 31 December 2019)

Total Investment Prof Staff	283
Total Non-Investment Prof Staff	726

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	8
North America	15
Japan	256
Pacific ex-Japan	4

ESG

Signatory to PRI: Yes

ATLANTIS INVESTMENT MANAGEMENT LIMITED**Primary address**

Atlantis Investment Management, 35/F The Centrium, 60 Wyndham Street, Hong Kong

Website

www.atlantis-investment.com

Contact email

investment@atlantis-investment.com

Contact telephone

+852 2110 6320

Founding year

1994

AVENIR CAPITAL PTY LIMITED**Primary address**

Level 2, 5 Martin Place Sydney, NSW 2000, Australia

Website

www.avenircapital.com.au

Contact email

info@fidante.com.au

Contact telephone

+61 2 13 51 53

Founding year

2011

Description of organisation

Avenir is a high-conviction, global investor, focused on building a concentrated portfolio of undervalued equity securities. Avenir's approach is centred on benchmark unaware fundamental, bottom-up value investing. Its investment strategy is underpinned by private equity investing principles involving intensive due diligence and research.

Total assets under management (as at 31 December 2019) - AUD \$m

36

Assets under management by asset class (as at 31 December 2019) - AUD \$m

Equity	35.60
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Assets under management by client type (as at 31 December 2019) - AUD \$m

Other Clients	4.70
Private Clients	30.90

Assets under management by client domicile (as at 31 December 2019) - AUD \$m

Pacific ex-Japan	35.60
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ESG

Signatory to PRI: Yes

AVIVA INVESTORS GLOBAL SERVICES LIMITED**Website**

www.avivainvestors.com

Contact email

rfpteam@avivainvestors.com

Founding year

1971

Description of organisation

Aviva Investors is the investment arm of Aviva plc, a multinational financial services company with a strong presence in the UK, Europe, Asia and Canada. Aviva can trace its origins in the London insurance market back more than 300 years.

At Aviva Investors, we are dedicated to building and providing focused investment solutions for clients that include insurance companies, banks and other global financial institutions, charities, local government organisations, pension schemes, private wealth managers, financial advisers, as well as private investors.

Total assets under management (as at 31 December 2019) - £m

346,131

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	189,271.00
Equity	56,445.00
Property	31,927.00
Cash/Deposit	28,047.00
Other	40,440.00

Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	40.00
Life Insurance	85,585.00
Non Life Insurance	11,112.00
Other Clients	42,275.00
Pension Funds	142,514.00
Retail	64,604.00

Assets under management by client domicile (as at 31 December 2019) - £m

US	3,371.00
UK	178,223.00
Europe ex-UK	154,061.00
Pacific ex-Japan	3,749.00
Other	6,726.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	227
Research Analysts	142
Dealing	30
Marketing	51
Investment Administration/Legal/Compliance/Operations	547
Total Investment Prof Staff	445
Total Non-Investment Prof Staff	1,092

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	322
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North America	30
Europe	87
Pacific ex-Japan	6

ESG

Signatory to PRI: Yes

AXA INVESTMENT MANAGERS

Primary address

Tour Majunga La Défense 6, place de la Pyramide Paris – La Défense cedex France 92908

Website

www.axa-im.com

Contact email

consultant_databases@axa-im.com

Contact telephone

+33 1 44 45 75 86

Founding year

1994

Total assets under management (as at 31 December 2019) – €m

800,632

Assets under management by asset class (as at 31 December 2019) – €m

Fixed Income	483,377.70
Equity	69,604.00
Property	90,104.30
Cash/Deposit	18,990.40
Other	138,555.90

Assets under management by client type (as at 31 December 2019) – €m

Charity/Endowments	2,431.90
Non Life Insurance	477,251.50
Other Clients	76,834.70
Other Mutual	78,312.00
Pension Funds	81,530.10
Private Clients	39,286.10
Retail	44,986.00

Assets under management by client domicile (as at 31 December 2019) – €m

US	7,169.80
UK	47,858.40
Europe ex-UK	575,851.00
Middle East	3,731.00
Pacific ex-Japan	111,822.20
Japan	32,724.10
Other	21,475.90

Staff analysis (as at 31 December 2019)

Portfolio Managers	188
Research Analysts	194
Combined Manager/Analysts	76
Dealing	60
Marketing	72

Investment Administration/Legal/Compliance/Operations	1770
Total Investment Prof Staff	766
Total Non-Investment Prof Staff	1,594

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	153
North America	89
Europe	458
Japan	16
Pacific ex-Japan	50

ESG

Signatory to PRI: Yes

AXIOM INVESTORS

Primary address

33 Benedict Place, 2nd Floor, Greenwich, CT 06830, USA

Website

www.axiom-investors.com

Contact email

Marketing@axiom-investors.com

Contact telephone

203-422-8000

Founding year

1998

Total assets under management (as at 31 December 2019) – \$m

13,458

Assets under management by asset class (as at 31 December 2019) – \$m

Equity	13,458.05
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Assets under management by client type (as at 31 December 2019) – \$m

Charity/Endowments	5.10
Other Clients	13,452.95

Assets under management by client domicile (as at 31 December 2019) – \$m

US	8,935.90
Europe ex-UK	312.70
Pacific ex-Japan	3,332.80
Other	876.65

Staff analysis (as at 31 December 2019)

Portfolio Managers	9
Research Analysts	15
Dealing	4
Marketing	7
Investment Administration/Legal/Compliance/Operations	16
Total Investment Prof Staff	28
Total Non-Investment Prof Staff	23

Geographical breakdown of Investment

Professionals (as at 31 December 2019)

North America	28
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ESG

Signatory to PRI: Yes



BAILLIE GIFFORD & CO

Primary address

Calton Square, 1 Greenside Row, Edinburgh EH1 3AN, UK

Website

www.bailliegifford.com

Contact email

michael.duncan@bailliegifford.com

Contact telephone

0131 275 2000

Founding year

1908

Total assets under management (as at 31 December 2019) – £m

218,642

Assets under management by asset class (as at 31 December 2019) – £m

Fixed Income	3,962.80
Equity	197,066.50
Other	17,612.40

Assets under management by client type (as at 31 December 2019) – £m

Charity/Endowments	3,824.00
Non Life Insurance	1,866.00
Other Clients	57,135.00
Other Mutual	9,307.00
Pension Funds	114,521.00
Private Clients	1,366.00
Retail	30,623.00

Assets under management by client domicile (as at 31 December 2019) – £m

US	85,364.00
UK	78,049.00
Europe ex-UK	9,944.00
Middle East	3,391.00
Pacific ex-Japan	16,774.00
Japan	13,817.00
Other	11,302.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	80
Research Analysts	37
Dealing	13
Marketing	13
Investment Administration/Legal/ Compliance/Operations	128
Total Investment Prof Staff	271
Total Non-Investment Prof Staff	1,014

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	260
North America	9
Pacific ex-Japan	2

ESG

Signatory to PRI: Yes

BAIN CAPITAL CREDIT, LP**Website**

www.baincapitalcredit.com

Contact email

creditIR@baincapital.com

Founding year

1998

Total assets under management (as at 31 December 2019) - \$m

44,225

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	44,224.60
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Assets under management by client type (as at 31 December 2019) - \$m

Pension Funds	44,224.60
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Assets under management by client domicile (as at 31 December 2019) - \$m

US	26,738.10
UK	1,530.20
Europe ex-UK	4,676.70
Middle East	939.00
Pacific ex-Japan	8,888.30
Japan	195.20
Other	1,257.10

Staff analysis (as at 31 December 2019)

Portfolio Managers	18
Research Analysts	128
Dealing	6
Marketing	18

Investment Administration/Legal/ Compliance/Operations	154
Total Investment Prof Staff	152
Total Non-Investment Prof Staff	172

BANQUE DE LUXEMBOURG INVESTMENTS S.A.**Primary address**

16, boulevard Royal, Luxembourg 2449

Website

www.bli.lu

Contact email

clientservices@bli.lu

Contact telephone

+352 26 26 99 1

Founding year

2005

BARINGS**Website**

www.barings.com

Founding year

1940

Total assets under management (as at 31 December 2019) - \$m

338,663

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	285,519.80
Equity	23,404.10
Property	16,570.20
Other	13,168.70

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	2,143.40
Non Life Insurance	197,828.50
Other Clients	98,920.10
Other Mutual	426.50
Pension Funds	24,822.00
Private Clients	2,318.10
Retail	12,204.30

Assets under management by client domicile (as at 31 December 2019) - \$m

US	236,890.40
UK	22,123.20
Europe ex-UK	28,706.30
Middle East	979.50
Pacific ex-Japan	30,032.10
Japan	16,112.10
Other	3,819.10

Staff analysis (as at 31 December 2019)

Portfolio Managers	129
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Research Analysts	207
Dealing	31
Investment Administration/Legal/ Compliance/Operations	1211
Total Investment Prof Staff	791
Total Non-Investment Prof Staff	1,211

ESG

Signatory to PRI: Yes

BARINGTON CAPITAL GROUP, LP**Website**

www.barington.com

Contact email

info@barington.com

Founding year

2000

Total assets under management (as at 31 December 2019) - \$m

97

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	97.00
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Assets under management by client domicile (as at 31 December 2019) - \$m

US	97.00
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BARON CAPITAL**Primary address**

767 Fifth Avenue, 49th Floor, New York, NY 10153, USA

Website

www.baronfunds.com

Contact telephone

212-583-2000

Founding year

1982

Description of organisation

Baron Capital Management, Inc (BCM) is the investment adviser to Baron separate accounts, two offshore funds, a private fund, a wrap account, and a fund of funds. BCM is registered with the US Securities and Exchange Commission (SEC).

Total assets under management (as at 31 December 2019) - \$m

31,175

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	31,174.50
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	108.50
Life Insurance	1,527.00
Other Clients	1,029.20
Other Mutual	17,373.50
Pension Funds	1,301.00
Private Clients	221.10
Retail	9,614.20

Assets under management by client domicile (as at 31 December 2019) - \$m

US	30,344.40
UK	479.50
Europe ex-UK	244.70
Other	105.90

Staff analysis (as at 31 December 2019)

Portfolio Managers	15
Research Analysts	21
Dealing	5
Marketing	56
Investment Administration/Legal/Compliance/Operations	69
Total Investment Prof Staff	41
Total Non-Investment Prof Staff	125

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	36
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ESG

Signatory to PRI: Yes

BARROW, HANLEY, MEWHINNEY & STRAUSS, LLC

Website

www.barrowhanley.com

Contact email

marketing@barrowhanley.com

Founding year

1979

Total assets under management (as at 31 December 2019) - \$m

51,664

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	11,453.80
Equity	40,210.70
Other	-0.10

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	1,798.90
Other Clients	24,399.30
Pension Funds	25,466.30

Assets under management by client domicile (as at 31 December 2019) - \$m

US	42,016.50
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UK	1,001.50
Europe ex-UK	2,367.90
Pacific ex-Japan	3,354.70
Other	2,923.80

Staff analysis (as at 31 December 2019)

Portfolio Managers	23
Research Analysts	22
Dealing	4
Marketing	12
Investment Administration/Legal/Compliance/Operations	35
Total Investment Prof Staff	49
Total Non-Investment Prof Staff	47

ESG

Signatory to PRI: Yes

BECKER CAPITAL

Website

www.beckercap.com

Founding year

1976

Description of organisation

Becker Capital Management, Inc. was founded and registered with the SEC in May 1976 in order to meet the needs of non-profits, institutional investors, high-net-worth individuals, and families. The primary business focus since inception has been providing advice and investment management services to our clients.

Total assets under management (as at 31 December 2019) - \$m

4,281

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	231.70
Equity	2,109.00
Other	1,939.80

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	828.20
Non Life Insurance	4.90
Other Clients	2,456.20
Other Mutual	281.20
Pension Funds	710.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	4,280.50
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Staff analysis (as at 31 December 2019)

Portfolio Managers	3
Research Analysts	1
Combined Manager/Analysts	8
Dealing	2
Marketing	2
Investment Administration/Legal/	

Compliance/Operations	16
Total Investment Prof Staff	17
Total Non-Investment Prof Staff	15

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	17
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BELLE HAVEN INVESTMENTS

Website

www.bellehaven.com

Founding year

1991

Staff analysis (as at 31 December 2019)

Portfolio Managers	4
Research Analysts	4
Dealing	2
Marketing	7
Investment Administration/Legal/Compliance/Operations	17
Total Investment Prof Staff	10
Total Non-Investment Prof Staff	24

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	10
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BERENBERG

Primary address

Neuer Jungfernstieg 20, Hamburg, Germany 20354

Website

www.berenberg.de/en

Contact email

Investment-Consultants@berenberg.de

Contact telephone

+44 20 3465 2699

Founding year

1590

Description of organisation

Established in 1590, Berenberg is one of the oldest banks in the world. With its head office in Hamburg, Germany, it is one of Europe's leading privately owned banks. Through its business divisions of Wealth and Asset Management, Investment Banking and Corporate Banking, Berenberg is able to offer a broad range of services to corporations, institutions and private individuals. Berenberg has a strong reputation based on first-class performance and has built a strong track record of delivering to clients.

Total assets under management (as at 31

December 2019) - €m

27,408

Assets under management by asset class (as at 31 December 2019) - €m

Fixed Income	2,852.00
Equity	2,669.10
Other	14,117.70

Assets under management by client type (as at 31 December 2019) - €m

Charity/Endowments	1,542.80
Life Insurance	2,857.70
Other Clients	5,709.40
Other Mutual	228.50
Pension Funds	4,843.90
Private Clients	12,016.10
Retail	209.80

Assets under management by client domicile (as at 31 December 2019) - €m

US	189.60
UK	1,822.50
Europe ex-UK	21,279.70
Other	4,116.30

Staff analysis (as at 31 December 2019)

Portfolio Managers	42
Research Analysts	5
Dealing	2
Marketing	18
Investment Administration/Legal/Compliance/Operations	150
Total Investment Prof Staff	42
Total Non-Investment Prof Staff	175

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	2
Europe	40

ESG

Signatory to PRI: Yes

BIRDROCK ASSET MANAGEMENT**Website**

www.birdrockam.com

Founding year

2006

Total assets under management (as at 31 December 2019) - \$m

189

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	4.20
Equity	35.80
Other	149.40

Assets under management by client domicile (as at 31 December 2019) - \$m

US	189.30
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Europe ex-UK 0.10

BLACKROCK**Primary address**

12 Throgmorton Avenue, London EC2N 2DL, UK

Website

www.blackrock.co.uk

Contact email

vina.bhagal@blackrock.com

Contact telephone

+44 20 7743 3000

Founding year

1988

Total assets under management (as at 31 December 2019) - \$m

7,429,633

Staff analysis (as at 31 December 2019)

Portfolio Managers	1,179
Research Analysts	563
Total Investment Prof Staff	2,382
Total Non-Investment Prof Staff	13,223

ESG

Signatory to PRI: Yes

BLUEBAY ASSET MANAGEMENT LLP**Primary address**

77 Grosvenor Street, London W1K 3JR, UK

Website

www.bluebay.com

Contact email

teamrpf@bluebay.com

Contact telephone

+44 (0) 20 7389 3700

Founding year

2001

ESG

Signatory to PRI: Yes

BMO GLOBAL ASSET MANAGEMENT**Primary address**

Exchange House, Primrose Street, London EC2A 2NY, UK

Website

www.bmogam.com

Contact email

robert.kennedy@bmogam.com

Contact telephone

44 020 7628 8000

Founding year

1989

Description of organisation

BMO Global Asset Management forms part of the BMO Financial Group and is owned by the Bank of Montreal (BMO). With total assets of CA\$987 billion as of 30 April 2020, and a team of diverse, highly engaged employees, BMO provides a broad range of personal and commercial banking, wealth management and investment banking products and services to more than 12 million customers.

Total assets under management (as at 31 December 2019) - €m

206,399

Assets under management by asset class (as at 31 December 2019) - €m

Fixed Income	65,049.20
Equity	72,213.80
Other	69,136.30

Assets under management by client domicile (as at 31 December 2019) - €m

US	33,477.70
Europe ex-UK	90,039.00
Other	82,882.60

Staff analysis (as at 31 December 2019)

Portfolio Managers	190
Research Analysts	121
Dealing	17
Marketing	223
Investment Administration/Legal/Compliance/Operations	641
Total Investment Prof Staff	328
Total Non-Investment Prof Staff	864

ESG

Signatory to PRI: Yes

BNP PARIBAS ASSET MANAGEMENT**Primary address**

14, rue Bergère, Paris, France 75009

Website

www.bnpparibas-am.com

Contact email

patricia.donnely@bnpparibas.com

Contact telephone

33.1.5897.2525

Founding year

2000

Total assets under management (as at 31 December 2019) - £m

503,664

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	206,929.10
Equity	84,981.40
Property	1,367.00
Cash/Deposit	55,973.10
Other	145,050.00

Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	2,910.00
Life Insurance	165,318.90
Other Clients	143,778.80
Other Mutual	147,369.30
Pension Funds	44,287.10

Assets under management by client domicile (as at 31 December 2019) - £m

US	5,385.80
UK	5,612.10
Europe ex-UK	390,325.10
Middle East	10,312.30
Pacific ex-Japan	68,054.10
Japan	3,390.10
Other	20,584.70

ESG

Signatory to PRI: Yes

BOSTON ADVISORS**Website**

www.bostonadvisors.com

Contact email

julie.parker@bostonadvisors.com

Founding year

1982

Description of organisation

Boston Advisors was originally founded as Boston Security Counselors in 1982 and became known as Boston Advisors, Inc. in 1997, a subsidiary of The Advest Financial Group. In 2004, AXA Financial purchased The Mony Group, parent of The Advest Group and soon thereafter, sold The Advest Group and Boston Advisors, Inc. to Merrill Lynch (now Bank of America). As a result these transitions, the team initiated a management-led buyout.

Total assets under management (as at 31 December 2019) - \$m

992

Staff analysis (as at 31 December 2019)

Portfolio Managers	4
Research Analysts	4
Combined Manager/Analysts	1

Marketing	3
Investment Administration/Legal/Compliance/Operations	5
Total Investment Prof Staff	10
Total Non-Investment Prof Staff	9

BOSTON PARTNERS**Primary address**

One Beacon Street, 30th Floor, Boston, MA 02108, USA

Website

www.boston-partners.com

Contact email

KJames@Boston-Partners.com

Contact telephone

(617) 832-8200

Founding year

1995

Total assets under management (as at 31 December 2019) - £m

67,460

Assets under management by asset class (as at 31 December 2019) - £m

Equity	63,672.60
Other	3,787.60

Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	295.90
Non Life Insurance	2,351.00
Other Clients	37,250.50
Other Mutual	14,073.20
Pension Funds	13,458.20
Private Clients	31.40

Assets under management by client domicile (as at 31 December 2019) - £m

US	54,393.80
UK	17.80
Europe ex-UK	10,202.70
Middle East	61.50
Pacific ex-Japan	1,862.20
Japan	638.60
Other	283.60

Staff analysis (as at 31 December 2019)

Portfolio Managers	19
Research Analysts	33
Dealing	7
Marketing	12
Investment Administration/Legal/Compliance/Operations	90
Total Investment Prof Staff	59
Total Non-Investment Prof Staff	102

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	1
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North America	58
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ESG

Signatory to PRI: Yes

BRAHMAN CAPITAL**Website**

www.brahman.com

Contact email

IR@bccny.com

Founding year

1991

Description of organisation

Mitchell Kuflik and Robert Sobel founded Brahman Capital in 1989. It is a corporation organised under the laws of the State of Delaware and serves as the investment manager of three long/short funds that generally invest *pari passu* and two long-only funds that generally invest *pari passu*. Kuflik and Sobel are experienced professionals with an approximate 29-year track record of delivering attractive risk-adjusted absolute returns relative to the S&P 500 Index.

Total assets under management (as at 31 December 2019) - \$m

1,749

Assets under management by asset class (as at 31 December 2019) - \$m

Other	1,749.30
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Staff analysis (as at 31 December 2019)

Portfolio Managers	2
Research Analysts	9
Dealing	3
Investment Administration/Legal/Compliance/Operations	9
Total Investment Prof Staff	14
Total Non-Investment Prof Staff	9

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	14
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BRANDES INVESTMENT PARTNERS, LP**Website**

www.brandes.com

Contact email

rfpemea@brandes.com

Founding year

1974

Total assets under management (as at 31 December 2019) - \$m

19,588

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	683.10
Equity	18,883.20
Other	22.00

Assets under management by client type (as at 31 December 2019) - \$m

Pension Funds	19,588.30
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Assets under management by client domicile (as at 31 December 2019) - \$m

US	15,390.70
UK	200.30
Europe ex-UK	1,292.30
Middle East	847.50
Pacific ex-Japan	23.30
Japan	523.50
Other	1,310.70

BRANDYWINE GLOBAL INVESTMENT MANAGEMENT, LLC**Website**

www.brandywineglobal.com

Contact email

rfp@brandywineglobal.com

Founding year

1986

Total assets under management (as at 31 December 2019) - \$m

74,270

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	57,417.50
Equity	14,448.80
Other	2,403.70

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	1,901.10
Non Life Insurance	1,433.60
Other Clients	55,987.50
Pension Funds	14,900.20
Private Clients	47.60

Assets under management by client domicile (as at 31 December 2019) - \$m

US	34,696.00
UK	364.90
Europe ex-UK	8,671.20
Middle East	8,053.00
Pacific ex-Japan	10,651.30
Japan	7,674.90
Other	4,158.60

Staff analysis (as at 31 December 2019)

Portfolio Managers	17
Research Analysts	22

Dealing	10
Marketing	26
Investment Administration/Legal/Compliance/Operations	166
Total Investment Prof Staff	50
Total Non-Investment Prof Staff	192

ESG

Signatory to PRI: Yes

BROOKFIELD PUBLIC SECURITIES GROUP LLC**Website**

www.brookfield.com/en/fund-investors/public-securities

Contact email

funds@brookfield.com

Founding year

1989

Total assets under management (as at 31 December 2019) - \$m

19,605

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	196.60
Equity	12,005.50
Property	327.60
Other	7,075.50

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	565.60
Other Clients	3,480.80
Other Mutual	6,983.20
Pension Funds	4,598.90
Private Clients	355.00
Retail	3,621.70

Assets under management by client domicile (as at 31 December 2019) - \$m

US	10,470.40
UK	183.30
Europe ex-UK	1,420.80
Middle East	629.70
Pacific ex-Japan	266.40
Japan	52.30
Other	6,582.20

Staff analysis (as at 31 December 2019)

Portfolio Managers	11
Research Analysts	27
Dealing	3
Marketing	31
Investment Administration/Legal/Compliance/Operations	94
Total Investment Prof Staff	41
Total Non-Investment Prof Staff	125

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America 41

BROWN CAPITAL MANAGEMENT**Website**

www.browncapital.com

Contact email

information@browncapital.com

Founding year

1983

Total assets under management (as at 31 December 2019) - £m

9,850

Assets under management by asset class (as at 31 December 2019) - £m

Equity	9,850.30
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Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	78.60
Life Insurance	5.10
Non Life Insurance	81.30
Other Clients	1,321.50
Other Mutual	4,760.40
Pension Funds	3,585.40
Private Clients	18.00

Assets under management by client domicile (as at 31 December 2019) - £m

US	9,850.30
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Staff analysis (as at 31 December 2019)

Combined Manager/Analysts	14
Dealing	2
Investment Administration/Legal/Compliance/Operations	20
Total Investment Prof Staff	16
Total Non-Investment Prof Staff	20

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America 16

BURGUNDY ASSET MANAGEMENT LTD**Primary address**

181 Bay Street, Suite 4510, Brookfield Place, P.O. Box 778, Toronto ON Canada M5J 2T3

Website

www.burgundyasset.com

Contact email

info@burgundyasset.com

Contact telephone

(416) 869-3222

Founding year

1991

Total assets under management (as at 31 December 2019) - \$m

26,112

Assets under management by asset class (as at 31 December 2019) - \$m

Other 26,112.30

Assets under management by client domicile (as at 31 December 2019) - \$m

Other 26,112.30

Staff analysis (as at 31 December 2019)

Portfolio Managers	14
Research Analysts	20
Dealing	4
Marketing	9
Investment Administration/Legal/Compliance/Operations	112
Total Investment Prof Staff	38
Total Non-Investment Prof Staff	121

ESG

Signatory to PRI: Yes



CAIRN CAPITAL LIMITED

Primary address

27 Knightsbridge, London SW1X 7LY. UK

Website

www.cairncapital.com

Contact email

investor.relations@cairncapital.com

Contact telephone

0207 259 4800

Founding year

2004

Description of organisation

Cairn Capital is a London-based alternative credit asset manager founded in 2004. As at 31 March 2020, AUM stands at \$5.2 billion across multi-strategy and single-

strategy commingled funds, single-investor segregated accounts and CLO vehicles. We invest across the capital structure and liquidity spectrum and specialise in combining deep fundamental research, rigorous quantitative analysis and seasoned structuring expertise to identify and unlock value.

Total assets under management (as at 31 December 2019) - \$m

5,000

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income 4,999.60

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	69.20
Non Life Insurance	332.40
Other Clients	3,600.80
Other Mutual	277.00
Pension Funds	581.70
Private Clients	138.50

Assets under management by client domicile (as at 31 December 2019) - \$m

US	468.00
UK	261.90
Europe ex-UK	597.00
Middle East	48.60
Pacific ex-Japan	9.70
Other	3,614.40

Staff analysis (as at 31 December 2019)

Portfolio Managers	9
Research Analysts	9
Marketing	7
Investment Administration/Legal/Compliance/Operations	26
Total Investment Prof Staff	23
Total Non-Investment Prof Staff	28

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK 23

ESG

Signatory to PRI: Yes

CALAMOS INVESTMENTS

Primary address

2020 Calamos Court, Naperville, IL 60563, USA

Website

www.calamos.com

Contact email

mfrench@calamos.com

Contact telephone

1-630-245-6754

Founding year

1977

Total assets under management (as at 31 December 2019) - \$m

26,133

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	6,592.30
Equity	6,202.90
Other	13,337.40

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	70.50
Non Life Insurance	498.10
Other Clients	1,215.10
Other Mutual	23,087.20
Pension Funds	292.50
Private Clients	969.20

ESG

Signatory to PRI: Yes

CAMERON HUME LIMITED

Website

www.cameronhume.com

Contact email

keith.logan@cameronhume.com

Founding year

2011

Description of organisation

Cameron Hume Limited is a fixed income asset management business, specialising in providing investment services to institutional clients. Our board is made up of an independent chairman, two executive directors and a non-executive director appointed by Sanlam UK Limited.

Total assets under management (as at 31 December 2019) - \$m

813

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income 813.10

Assets under management by client type (as at 31 December 2019) - \$m

Life Insurance 813.10

Assets under management by client domicile (as at 31 December 2019) - \$m

UK	140.80
Europe ex-UK	672.30

Staff analysis (as at 31 December 2019)

Portfolio Managers	5
Research Analysts	2

Marketing	1
Investment Administration/Legal/ Compliance/Operations	2
Total Investment Prof Staff	7
Total Non-Investment Prof Staff	7

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	7
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ESG

Signatory to PRI: Yes

CANADA LIFE ASSET MANAGEMENT

Website

www.canadalifeassetmanagement.co.uk

Contact email

contactclinvestments@canadalife.co.uk

Founding year

1999

Total assets under management (as at 31 December 2019) - £m

39,093

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	29,717.40
Equity	2,395.60
Property	1,978.80
Cash/Deposit	1,324.40
Other	3,677.20

Staff analysis (as at 31 December 2019)

Portfolio Managers	25
Research Analysts	10
Dealing	2
Marketing	9
Investment Administration/Legal/ Compliance/Operations	13
Total Investment Prof Staff	40
Total Non-Investment Prof Staff	49

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	40
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CANDRIAM INVESTORS GROUP

Primary address

200 Aldersgate St, London EC1A 4EU, UK

Website

www.candriam.com

Contact email

clientservicing@candriam.com

Founding year

1998

Description of organisation

Candriam is a multi-specialist asset manager with a successful 20-year track record in creating long-term value for its clients. We are part of New York Life Investments, one of the world's leading asset managers with \$593 billion in AuM (31/12/2019). Our parent, AAA-rated New York Life Insurance, is one of the largest life insurance companies in the US.

Total assets under management (as at 31 December 2019) - €m

129,897

Assets under management by asset class (as at 31 December 2019) - €m

Fixed Income	41,326.60
Equity	28,450.60
Cash/Deposit	4,600.50
Other	55,519.20

Assets under management by client type (as at 31 December 2019) - €m

Charity/Endowments	2,064.60
Non Life Insurance	35,248.90
Other Clients	12,453.80
Other Mutual	24,392.40
Pension Funds	16,765.00
Private Clients	5,327.50
Retail	33,644.70

Assets under management by client domicile (as at 31 December 2019) - €m

US	10,748.60
UK	1,279.50
Europe ex-UK	113,325.60
Middle East	1,268.10
Pacific ex-Japan	49.40
Japan	794.40
Other	2,431.40

Staff analysis (as at 31 December 2019)

Portfolio Managers	104
Research Analysts	63
Dealing	11
Investment Administration/Legal/ Compliance/Operations	385
Total Investment Prof Staff	184
Total Non-Investment Prof Staff	379

Geographical breakdown of Investment Professionals (as at 31 December 2019)

Europe	184
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ESG

Signatory to PRI: Yes

CANTILLON CAPITAL MANAGEMENT

Website

www.cantillon.com

Contact email

clientservices@cantillon.com

Founding year

2005

Total assets under management (as at 31 December 2019) - \$m

15,558

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	15,558.00
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	3,519.00
Other Clients	2,788.00
Pension Funds	6,243.00
Private Clients	3,008.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	8,692.00
UK	1,840.00
Europe ex-UK	3,082.00
Middle East	1,115.00
Pacific ex-Japan	497.00
Other	332.00

Staff analysis (as at 31 December 2019)

Research Analysts	10
Dealing	2
Marketing	5
Investment Administration/Legal/ Compliance/Operations	13
Total Investment Prof Staff	10
Total Non-Investment Prof Staff	18

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	4
North America	6

ESG

Signatory to PRI: Yes

CAPITAL FOUR MANAGEMENT

Website

www.capital-four.com

Contact email

viktor.kanto@capital-four.com

Founding year

2007

Total assets under management (as at 31 December 2019) - €m

12,597

ESG

Signatory to PRI: Yes

CAPITAL GROUP

Primary address

40 Grosvenor Place, London SW1X 7GG, UK

Website

www.capitalgroup.com

Contact email

InvestmentDatabases@capgroup.com

Contact telephone

+44 207 864 5000

Founding year

1931

Total assets under management (as at 31 December 2019) - £m

1,552,741

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	167,064.80
Equity	1,013,737.60
Cash/Deposit	15,098.30
Other	356,840.00

Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	3,043.07
Life Insurance	3,208.28
Other Clients	30,749.77
Pension Funds	10,033.50
Private Clients	13,064.76
Retail	1,492,641.33

Assets under management by client domicile (as at 31 December 2019) - £m

US	1,518,888.95
UK	931.44
Europe ex-UK	14,580.16
Middle East	922.67
Pacific ex-Japan	4,525.00
Japan	6,024.65
Other	6,867.84

Staff analysis (as at 31 December 2019)

Portfolio Managers	103
Research Analysts	222
Dealing	71
Marketing	1,656
Investment Administration/Legal/Compliance/Operations	2332
Total Investment Prof Staff	408
Total Non-Investment Prof Staff	3,988

ESG

Signatory to PRI: Yes

CARILLON
TOWER ADVISERS

CARILLON TOWER ADVISERS AND AFFILIATES

Primary address

880 Carillon Parkway, Saint Petersburg, FL 33716, USA

Website

www.carillontower.com

Contact email

info@CarillonTower.com

Contact telephone

+1.800.521.1195

Founding year

2015

Description of organisation

Carillon Tower Advisers is a global asset management company that combines the insight and agility of individual investment teams with the strength and stability of a full-service firm. Together with our affiliates – ClariVest Asset Management, Cougar Global Investments, Eagle Asset Management, Reams Asset Management (a division of Scout Investments) and Scout Investments – we offer a range of investment strategies across various asset classes, each with a focus on risk-adjusted returns and alpha generation.

Total assets under management (as at 31 December 2019) - \$m

60,637

ESG

Signatory to PRI: Yes

The following companies are affiliates of Carillon Tower Advisers:**CLAIRIVEST ASSET MANAGEMENT LLC****Primary address**

3611 Valley Centre Drive, Suite 100, San Diego, CA 92130, USA

Website

www.clarivest.com

Contact email

info@clarivest.com

Contact telephone

858.480.2440

Founding year

2006

Description of organisation

ClariVest applies a behavioural-based investment philosophy in seeking alpha for clients. Our time-tested investment process combines quantitative tools with qualitative work to capture the return potential created as investors react inefficiently to significant shifts in a company's fundamental growth cycle. Portfolio managers work as a cohesive team to manage multiple equity strategies across geographies and the market-cap spectrum.

Total assets under management (as at 31 December 2019) - \$m

6,050

Assets under management by asset class (as at 31 December 2019) - \$m

Equity 6,049.90

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	4.40
Other Clients	882.80
Other Mutual	4,283.40
Pension Funds	876.00
Private Clients	3.40

Assets under management by client domicile (as at 31 December 2019) - \$m

US	5,632.00
Europe ex-UK	60.10
Middle East	43.80
Pacific ex-Japan	126.00
Japan	86.50
Other	101.60

Staff analysis (as at 31 December 2019)

Portfolio Managers	8
Research Analysts	4
Investment Administration/Legal/Compliance/Operations	9
Total Investment Prof Staff	13
Total Non-Investment Prof Staff	9

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America 13

ESG

Signatory to PRI: Yes

EAGLE ASSET MANAGEMENT**Primary address**

880 Carillon Parkway, PO Box 10520, St Petersburg, FL 33716, USA

Website

www.eagleasset.com

Contact email

Donald.Pepin@eagleasset.com

Contact telephone

800-235-3903

Founding year

1976

Description of organisation

Eagle Asset Management is built on the cornerstones of intelligence, experience, and conviction, driven by research and active portfolio managers. Our long-tenured investment teams manage a diverse suite of fundamental equity and fixed income strategies designed to meet the long-term goals of institutional and individual investors. Our teams have the autonomy to pursue investment decisions guided by their individual philosophies and strategies.

Total assets under management (as at 31 December 2019) - \$m

29,274

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	6,056.00
Equity	17,730.30
Other	5,488.00

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	1,163.10
Life Insurance	763.80
Other Clients	1,831.60
Other Mutual	11,060.60
Pension Funds	2,449.00
Retail	12,006.20

Assets under management by client domicile (as at 31 December 2019) - \$m

US	29,274.30
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Staff analysis (as at 31 December 2019)

Portfolio Managers	25
Research Analysts	14
Dealing	15
Marketing	85
Investment Administration/Legal/Compliance/Operations	23
Total Investment Prof Staff	60
Total Non-Investment Prof Staff	173

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	60
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ESG

Signatory to PRI: Yes

REAMS ASSET MANAGEMENT, A DIVISION OF SCOUT INVESTMENTS**Primary address**

227 Washington Street, PO Box 727, Columbus, IN 47202-0727, USA

Website

www.reamsasset.com

Contact email

info@reamsasset.com

Contact telephone

1.812.372.6606

Founding year

1981

Description of organisation

Reams Asset Management is a fixed income investment management firm whose mission is to provide high-quality investment expertise and unmatched client service. We apply our consistent investment process across a range of strategies, seeking to take advantage of volatility and react opportunistically to price and valuation dislocation in the bond market. Reams offers clients customised solutions that seek to maximise risk-adjusted total returns over a full market cycle and across a range of fixed income strategies.

Total assets under management (as at 31 December 2019) - \$m

19,241

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	19,240.50
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	1,736.10
Non Life Insurance	526.00
Other Clients	6,525.10
Other Mutual	2,011.30
Pension Funds	8,442.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	18,865.70
Europe ex-UK	374.80

Staff analysis (as at 31 December 2019)

Portfolio Managers	7
Research Analysts	6
Investment Administration/Legal/Compliance/Operations	22
Total Investment Prof Staff	13
Total Non-Investment Prof Staff	22

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	13
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ESG

Signatory to PRI: Yes

CAUSEWAY CAPITAL MANAGEMENT LLC**Website**

www.causewaycap.com

Contact email

marketing@causewaycap.com

Founding year

2001

ESG

Signatory to PRI: Yes

CB FONDER**Primary address**

Strandvägen 5B, Stockholm, Sweden 11451

Website

www.cbfounder.se/en

Contact email

info@cbfounder.se

Contact telephone

+46 8 566 133 10

Founding year

1994

Description of organisation

CB Fonder offer its ethical and sustainable management concept through the European equity fund CB European Quality Fund and the global environmental fund CB Save Earth Fund. All investments are subject to an ethical and sustainable framework and our management concept is based on truly active decision-making. Hence, our key principles are active, ethical, and long-term management.

Total assets under management (as at 31 December 2019) - €m

173

Assets under management by asset class (as at 31 December 2019) - €m

Equity	165.80
Cash/Deposit	6.90

Assets under management by client type (as at 31 December 2019) - €m

Life Insurance	17.20
Non Life Insurance	17.30
Other Clients	60.50
Other Mutual	17.20
Pension Funds	17.30
Retail	43.20

Assets under management by client domicile (as at 31 December 2019) - €m

UK	8.60
Europe ex-UK	164.10

Staff analysis (as at 31 December 2019)

Portfolio Managers	3
Research Analysts	1
Total Investment Prof Staff	3
Total Non-Investment Prof Staff	1

Geographical breakdown of Investment Professionals (as at 31 December 2019)

Europe	3
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ESG

Signatory to PRI: Yes

CEREDEX VALUE ADVISORS LLC

Website

www.ceredexvalue.com

Founding year

2008

Description of organisation

Ceredex's organisational structure with its parent company allows Ceredex's investment professionals to focus solely on investment research, portfolio management, and client service while receiving support services such as finance and accounting, fund administration, compliance and legal, risk management, human resources and recruitment, technology, trading and operations, portfolio analytics, RFP and consultant database management, and marketing and sales from Virtus and its affiliates. Ceredex dedicates itself exclusively to domestic, value equity investing.

Total assets under management (as at 31 December 2019) - \$m

9,435

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	9,435.00
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Assets under management by client domicile (as at 31 December 2019) - \$m

US	9,246.00
UK	128.00
Other	61.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	3
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Research Analysts	8
Marketing	2
Total Investment Prof Staff	11
Total Non-Investment Prof Staff	2

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	11
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CHAMPLAIN INVESTMENT PARTNERS, LLC

Primary address

180 Battery Street, Suite 400, Burlington, VT 05401, USA

Website

www.cipvt.com

Contact email

info@cipvt.com

Contact telephone

802-846-6200

Founding year

2004

Total assets under management (as at 31 December 2019) - \$m

14,240

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	14,239.70
--------	-----------

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	623.70
Non Life Insurance	440.70
Other Clients	4,704.50
Other Mutual	5,894.40
Pension Funds	2,462.30
Private Clients	114.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	13,924.50
Europe ex-UK	315.20

Staff analysis (as at 31 December 2019)

Portfolio Managers	1
Research Analysts	5
Combined Manager/Analysts	8
Investment Administration/Legal/Compliance/Operations	6
Total Investment Prof Staff	23
Total Non-Investment Prof Staff	16

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	23
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CHICAGO CAPITAL MANAGEMENT, LLC

Website

www.chicagocapitalmanagement.com

Contact email

info@chicagocapitalmanagement.com

Founding year

1997

CHICAGO EQUITY

Website

www.chicagoequity.com

Founding year

1989

Total assets under management (as at 31 December 2019) - \$m

5,067

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	3,300.10
Equity	1,712.60
Other	53.80

Assets under management by client domicile (as at 31 December 2019) - \$m

US	5,052.30
Other	14.30

CIFC ASSET MANAGEMENT LLC

Website

www.cifc.com

Founding year

2005

Total assets under management (as at 31 December 2019) - \$m

25,766

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	25,765.60
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	42.70
Non Life Insurance	713.90
Other Clients	22,453.20
Pension Funds	410.20
Private Clients	2,145.60

Assets under management by client domicile (as at 31 December 2019) - \$m

US	21,287.30
Middle East	502.60
Pacific ex-Japan	2,499.70
Other	1,476.10

Staff analysis (as at 31 December 2019)

Portfolio Managers	7
Research Analysts	29
Dealing	2
Marketing	14
Investment Administration/Legal/ Compliance/Operations	59
Total Investment Prof Staff	38
Total Non-Investment Prof Staff	73

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	38
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**CLARIVEST ASSET MANAGEMENT LLC****Primary address**

3611 Valley Centre Drive, Suite 100, San Diego, CA 92130, USA

Website

www.clarivest.com

Contact email

info@clarivest.com

Contact telephone

858.480.2440

Founding year

2006

Description of organisation

ClariVest applies a behavioural-based investment philosophy in seeking alpha for clients. Our time-tested investment process combines quantitative tools with qualitative work to capture the return potential created as investors react inefficiently to significant shifts in a company's fundamental growth cycle. Portfolio managers work as a cohesive team to manage multiple equity strategies across geographies and the market-cap spectrum.

Total assets under management (as at 31 December 2019) - \$m

6,050

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	6,049.90
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	4.40
Other Clients	882.80
Other Mutual	4,283.40
Pension Funds	876.00
Private Clients	3.40

Assets under management by client domicile (as at 31 December 2019) - \$m

US	5,632.00
Europe ex-UK	60.10
Middle East	43.80
Pacific ex-Japan	126.00
Japan	86.50
Other	101.60

Staff analysis (as at 31 December 2019)

Portfolio Managers	8
Research Analysts	4
Investment Administration/Legal/ Compliance/Operations	9
Total Investment Prof Staff	13
Total Non-Investment Prof Staff	9

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	13
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ESG

Signatory to PRI: Yes

CLEARBRIDGE INVESTMENTS**Website**

www.clearbridge.com

Contact email

info@clearbridge.com

Founding year

1962

Total assets under management (as at 31 December 2019) - \$m

154,585

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	391.30
Equity	153,299.70
Other	893.60

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	709.30
Non Life Insurance	7,271.20
Other Clients	64,056.80
Pension Funds	46,591.20
Private Clients	292.10
Retail	35,664.10

Assets under management by client domicile (as at 31 December 2019) - \$m

US	148,681.30
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UK	687.60
Europe ex-UK	2,296.10
Middle East	10.30
Pacific ex-Japan	295.30
Japan	1,237.80
Other	1,376.30

Staff analysis (as at 31 December 2019)

Portfolio Managers	33
Research Analysts	31
Dealing	6
Marketing	38
Investment Administration/Legal/ Compliance/Operations	105
Total Investment Prof Staff	70
Total Non-Investment Prof Staff	143

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	70
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ESG

Signatory to PRI: Yes

COHEN & STEERS CAPITAL MANAGEMENT INC.**Primary address**

280 Park Avenue, 10th Floor, New York, NY 10017, USA

Website

www.cohenandsteers.com

Contact email

mhaynes@cohenandsteers.com

Contact telephone

212.832.3232

Founding year

1986

Description of organisation

Cohen & Steers is a leading global investment manager specialising in liquid real assets including real estate securities, listed infrastructure and natural resource equities, as well as preferred securities and other income solutions. It has focused on these asset classes as they can provide attractive long-term returns with meaningful income, access to tangible, hard assets with the added benefit of liquidity and low correlations to broad equity and bond markets.

Total assets under management (as at 31 December 2019) - \$m

72,182

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	16,779.40
Equity	8,175.00
Property	45,095.00

Private Property	11.50
Other	2,121.30

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	156.00
Non Life Insurance	1,846.20
Other Clients	20,377.90
Other Mutual	4,133.40
Pension Funds	9,360.50
Retail	36,308.20

Assets under management by client domicile (as at 31 December 2019) - \$m

US	53,097.00
UK	154.00
Europe ex-UK	2,708.20
Middle East	140.70
Pacific ex-Japan	3,503.40
Japan	10,853.20
Other	1,725.70

Staff analysis (as at 31 December 2019)

Portfolio Managers	21
Research Analysts	33
Dealing	8
Marketing	92
Investment Administration/Legal/Compliance/Operations	171
Total Investment Prof Staff	64
Total Non-Investment Prof Staff	263

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	6
North America	50
Pacific ex-Japan	8

ESG

Signatory to PRI: Yes

COLCHESTER GLOBAL INVESTORS LIMITED

Primary address

Heathcoat House, 20 Savile Row, London W1S 3PR, UK

Website

www.colchesterglobal.com

Contact email

MarketingClientServiceLondon@colchesterglobal.com

Contact telephone

+44 207 292 6920

Founding year

1999

Total assets under management (as at 31 December 2019) - \$m

46,995

Assets under management by asset class

(as at 31 December 2019) - \$m

Fixed Income	46,995.00
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	1,804.00
Non Life Insurance	57.00
Other Clients	26,574.00
Other Mutual	10,151.00
Pension Funds	8,409.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	12,119.00
UK	805.00
Europe ex-UK	10,955.00
Middle East	6,707.00
Pacific ex-Japan	5,823.00
Japan	9,003.00
Other	1,583.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	10
Research Analysts	1
Dealing	5
Marketing	15
Investment Administration/Legal/Compliance/Operations	44
Total Investment Prof Staff	11
Total Non-Investment Prof Staff	64

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	9
Pacific ex-Japan	2

ESG

Signatory to PRI: Yes

COLUMBIA MANAGEMENT INVESTORS

Primary address

225 Franklin Street, Boston, MA 02110, USA

Website

www.columbiathreadneedleus.com

Founding year

1985

Total assets under management (as at 31 December 2019) - \$m

353,158

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	140,790.80
Equity	187,192.40
Other	25,175.20

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	598.40
Non Life Insurance	43,180.90
Other Clients	90,993.70

Other Mutual	25,377.90
Pension Funds	30,830.90
Private Clients	5,650.70
Retail	156,526.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	329,373.10
UK	5,105.10
Europe ex-UK	5,045.90
Middle East	6,714.40
Pacific ex-Japan	5,035.70
Japan	879.40
Other	1,004.90

Staff analysis (as at 31 December 2019)

Portfolio Managers	97
Research Analysts	121
Dealing	31
Investment Administration/Legal/Compliance/Operations	860
Total Investment Prof Staff	257
Total Non-Investment Prof Staff	860

ESG

Signatory to PRI: Yes

COMGEST

Primary address

17, square Edouard VII, Paris, France 75009

Website

www.comgest.com

Contact email

info@comgest.com

Contact telephone

+33 144941900

Founding year

1985

Total assets under management (as at 31 December 2019) - €m

33,071

Assets under management by asset class (as at 31 December 2019) - €m

Equity	33,070.80
--------	-----------

Assets under management by client type (as at 31 December 2019) - €m

Charity/Endowments	1,791.40
Non Life Insurance	435.50
Other Clients	23,768.10
Pension Funds	7,075.80

Assets under management by client domicile (as at 31 December 2019) - €m

US	908.10
UK	1,540.00
Europe ex-UK	22,286.40
Middle East	886.30

Pacific ex-Japan	1,203.80
Japan	1,435.40
Other	4,810.80

Staff analysis (as at 31 December 2019)

Research Analysts	11
Combined Manager/Analysts	38
Dealing	4
Marketing	38
Investment Administration/Legal/ Compliance/Operations	90
Total Investment Prof Staff	49
Total Non-Investment Prof Staff	132

Geographical breakdown of Investment Professionals (as at 31 December 2019)

Europe	34
Japan	3
Pacific ex-Japan	12

ESG

Signatory to PRI: Yes

CONCISE CAPITAL MANAGEMENT, LP**Website**

www.concisecapital.com

Contact email

operations@concisecapital.com

Founding year

2004

Total assets under management (as at 31 December 2019) - \$m

333

Assets under management by asset class (as at 31 December 2019) - \$m

Other	332.90
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Assets under management by client domicile (as at 31 December 2019) - \$m

US	332.90
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Staff analysis (as at 31 December 2019)

Portfolio Managers	2
Investment Administration/Legal/ Compliance/Operations	10
Total Investment Prof Staff	2
Total Non-Investment Prof Staff	10

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	2
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CONNING**Primary address**

One Financial Plaza, Hartford, CT 06103, USA

Website

www.conning.com

Contact email

david.motill@conning.com

Contact telephone

+1.860.299.2000

Founding year

1912

Description of organisation

Conning is a leading investment management firm with a long history of serving the insurance industry. It supports institutional investors, including pension plans, with investment solutions and asset management offerings, risk-modelling software, and industry research.

Total assets under management (as at 31 December 2019) - \$m

115,198

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	110,728.20
Equity	3,490.10
Other	979.20

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	86.40
Life Insurance	60,406.60
Non Life Insurance	48,721.60
Other Clients	3,604.40
Pension Funds	2,378.50

Assets under management by client domicile (as at 31 December 2019) - \$m

US	64,480.80
UK	4,863.70
Europe ex-UK	2,926.50
Pacific ex-Japan	40,747.40
Other	2,179.30

Staff analysis (as at 31 December 2019)

Portfolio Managers	33
Research Analysts	29
Combined Manager/Analysts	7
Dealing	10
Marketing	24
Investment Administration/Legal/ Compliance/Operations	214
Total Investment Prof Staff	91
Total Non-Investment Prof Staff	238

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	9
North America	83
Pacific ex-Japan	13

ESG

Signatory to PRI: Yes

CONNOR, CLARK & LUNN INVESTMENT MANAGEMENT**Primary address**

2200-1111 West Georgia St, Vancouver, BC, Canada V6E4M3

Website

www.cclinvest.com

Contact email

more_info@cclgroup.com

Contact telephone

6046852020

Founding year

1982

Total assets under management (as at 31 December 2019) - CAD \$m

49,415

Assets under management by asset class (as at 31 December 2019) - CAD \$m

Fixed Income	11,618.80
Equity	32,511.50
Other	5,284.70

Assets under management by client type (as at 31 December 2019) - CAD \$m

Charity/Endowments	1,020.70
Life Insurance	498.50
Other Clients	18,280.00
Pension Funds	29,591.40
Private Clients	24.30

Assets under management by client domicile (as at 31 December 2019) - CAD \$m

US	25.80
Europe ex-UK	5,967.50
Other	43,421.70

ESG

Signatory to PRI: Yes

COOKE & BIELER LP**Primary address**

1700 Market Street, Suite 3222, Philadelphia, PA 19103, USA

Website

www.cooke-bieler.com

Contact email

pmcdonnell@cooke-bieler.com

Contact telephone

215-246-2033

Founding year

1949

Description of organisation

Founded in 1949, Cooke & Bieler is an institutional investment manager with an international client base. Its long history gives a perspective often lacking in the industry, and its philosophy – that fundamentals drive stock prices, that capital preservation improves returns, and that a firm's culture shapes its investment decisions – represents the wisdom of four generations.

Total assets under management (as at 31 December 2019) - \$m
9,757

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	0.10
Equity	9,637.20
Other	119.70

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	596.90
Other Clients	551.80
Other Mutual	4,234.10
Pension Funds	3,764.80
Private Clients	609.50

Assets under management by client domicile (as at 31 December 2019) - \$m

US	9,304.30
UK	106.31
Europe ex-UK	338.02
Other	8.45

Staff analysis (as at 31 December 2019)

Combined Manager/Analysts	8
Dealing	1
Marketing	7
Investment Administration/Legal/Compliance/Operations	18
Total Investment Prof Staff	8
Total Non-Investment Prof Staff	25

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	8
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COPELAND CAPITAL MANAGEMENT

Primary address

161 Washington Street, Suite 1325,
Conshohocken, PA 19428, USA

Website

www.copelandcapital.com

Contact email

jspencer@copelandcapital.com

Contact telephone

484-351-3700

Founding year

2005

Description of organisation

Copeland Capital Management is an employee-owned investment management firm that focuses on a dividend growth philosophy. They manage dividend growth strategies across all capitalisation ranges in traditional, tactical, international and alternative products. Clients include high-net-worth individuals and many institutions.

Total assets under management (as at 31 December 2019) - \$m
3,382

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	3,309.90
Other	72.40

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	152.80
Other Clients	2,328.30
Other Mutual	603.60
Pension Funds	297.60

Assets under management by client domicile (as at 31 December 2019) - \$m

US	3,270.90
Other	111.40

Staff analysis (as at 31 December 2019)

Portfolio Managers	6
Research Analysts	2
Dealing	3
Marketing	7
Investment Administration/Legal/Compliance/Operations	7
Total Investment Prof Staff	13
Total Non-Investment Prof Staff	12

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	13
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COPPER ROCK CAPITAL PARTNERS LLC

Website

www.copperrockcapital.com

Founding year

2005

Total assets under management (as at 31 December 2019) - \$m
3,878

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	3,878.40
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Assets under management by client type (as at 31 December 2019) - \$m

Other Clients	1,958.60
Pension Funds	1,915.10

Private Clients 4.70

Assets under management by client domicile (as at 31 December 2019) - \$m

US	1,384.40
UK	464.60
Europe ex-UK	175.80
Pacific ex-Japan	1,365.90
Other	487.80

ESG

Signatory to PRI: Yes

CORNERCAP INVESTMENT COUNSEL, INC

Primary address

The Peachtree, Suite 1700, 1355 Peachtree St
N.E., Atlanta, GA 30309, USA

Website

www.cornercapinstitutional.com

Contact email

dtubbs@cornercap.com

Contact telephone

404-870-0700

Founding year

1989

Total assets under management (as at 31 December 2019) - \$m

1,276

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	10.50
Equity	421.80
Other	843.50

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	26.90
Other Clients	237.80
Pension Funds	106.60
Retail	904.50

Assets under management by client domicile (as at 31 December 2019) - \$m

US	1,275.80
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Staff analysis (as at 31 December 2019)

Portfolio Managers	4
Research Analysts	2
Combined Manager/Analysts	5
Dealing	1
Marketing	3
Investment Administration/Legal/Compliance/Operations	3
Total Investment Prof Staff	21
Total Non-Investment Prof Staff	1

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America 21

CORNERSTONE INVESTMENT PARTNERS LLC**Primary address**

Phipps Tower, 3438 Peachtree Rd. NE, Suite 900, Atlanta, GA 30326, USA

Website

www.cornerstone-ip.com

Contact email

cip.marketing@cornerstone-ip.com

Contact telephone

404-751-3850

Founding year

2001

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	2,081.30
Other	219.50

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	46.60
Other Clients	1,156.60
Pension Funds	641.00
Private Clients	456.70

Assets under management by client domicile (as at 31 December 2019) - \$m

US	1,514.10
Europe ex-UK	786.70

Staff analysis (as at 31 December 2019)

Portfolio Managers	6
Research Analysts	1
Dealing	2
Marketing	1
Investment Administration/Legal/Compliance/Operations	6
Total Investment Prof Staff	9
Total Non-Investment Prof Staff	7

CORONATION FUND MANAGERS LIMITED**Primary address**

7th Floor, MontClare Place, Cnr Campground & Main Road, Claremont, Cape Town, South Africa 7708

Website

www.coronation.com

Contact email

cib@coronation.com

Contact telephone

+27 21 680 2000

Founding year

1993

Total assets under management (as at 31 December 2019) - \$m

41,199

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	9,656.90
Equity	25,927.00
Property	1,797.40
Cash/Deposit	3,345.90
Other	471.90

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	877.60
Life Insurance	852.10
Other Clients	194.10
Other Mutual	388.70
Pension Funds	19,803.80
Private Clients	40.90
Retail	19,042.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	1,526.26
UK	2,730.27
Europe ex-UK	909.89
Middle East	123.69
Pacific ex-Japan	65.73
Japan	91.07
Other	35,752.22

Staff analysis (as at 31 December 2019)

Research Analysts	32
Combined Manager/Analysts	26
Dealing	4
Marketing	26
Investment Administration/Legal/Compliance/Operations	237
Total Investment Prof Staff	69
Total Non-Investment Prof Staff	271

ESG

Signatory to PRI: Yes

COURAGE CAPITAL MANAGEMENT, LLC**Website**

www.couragecap.com

Contact email

info@courage.com

Founding year

1998

Total assets under management (as at 31 December 2019) - \$m

814

Staff analysis (as at 31 December 2019)

Portfolio Managers	2
Research Analysts	4

Investment Administration/Legal/Compliance/Operations

7

Total Investment Prof Staff

8

Total Non-Investment Prof Staff

5

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America 8

CQS (UK) LLP**Primary address**

4th Floor, One Strand, London WC2N 5HR, UK

Website

www.cqs.com

Contact email

clientservice@cqsm.com

Contact telephone

44 (0)20 7201 6900

Founding year

1999

Total assets under management (as at 31 December 2019) - \$m

19,245

CRAMER ROSENTHAL McGLYNN**Website**

www.crmllc.com

Contact email

info@crmllc.com

Founding year

1973

Description of organisation

Cramer Rosenthal McGlynn is a leading value equity manager. CRM believes their track record, spanning over 45 years, is testament to their success in serving clients and providing attractive performance over full market cycles. Clients benefit from a consistent approach and application of a central philosophy and process, implemented by a team with diverse experience in identifying change, neglect and the intrinsic value of businesses.

Total assets under management (as at 31 December 2019) - \$m

3,703

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	3,147.00
Other	555.50

Assets under management by client type

(as at 31 December 2019) - \$m

Charity/Endowments	226.00
Non Life Insurance	7.60
Other Clients	603.10
Other Mutual	1,568.70
Pension Funds	988.10
Private Clients	309.10

Assets under management by client domicile (as at 31 December 2019) - \$m

US	3,288.10
Europe ex-UK	269.10
Other	145.30

Staff analysis (as at 31 December 2019)

Research Analysts	3
Combined Manager/Analysts	7
Dealing	2
Marketing	3
Investment Administration/Legal/Compliance/Operations	18
Total Investment Prof Staff	12
Total Non-Investment Prof Staff	21

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	12
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ESG

Signatory to PRI: Yes

CREDIT SUISSE ASSET MANAGEMENT**Primary address**

Kalandergasse 4, Zurich, Switzerland 8045

Website

www.credit-suisse.com

Contact email

ben.alibrandi@credit-suisse.com

Contact telephone

+41 443351111

Founding year

1935

Total assets under management (as at 31 December 2019) - £m

343,954

Assets under management by asset class (as at 31 December 2019) - £m

Other	343,954.10
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ESG

Signatory to PRI: Yes

CRESCAT CAPITAL LLC**Website**

www.crescat.net

Contact email

miwahashi@crescat.net

Founding year

2006

Total assets under management (as at 31 December 2019) - \$m

63

Assets under management by client type (as at 31 December 2019) - \$m

Private Clients	63.09
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Staff analysis (as at 31 December 2019)

Portfolio Managers	2
Research Analysts	2
Combined Manager/Analysts	2
Marketing	1
Investment Administration/Legal/Compliance/Operations	2

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	4
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CS McKEE**Website**

www.csmckee.com

Contact email

helpdesk@csmckee.com

Founding year

1931

Total assets under management (as at 31 December 2019) - \$m

8,176

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	6,209.20
Equity	629.10
Other	1,337.90

Assets under management by client type (as at 31 December 2019) - \$m

Pension Funds	8,176.20
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Assets under management by client domicile (as at 31 December 2019) - \$m

US	7,953.50
Other	222.70

Staff analysis (as at 31 December 2019)

Portfolio Managers	9
Research Analysts	3
Dealing	1
Marketing	3
Investment Administration/Legal/Compliance/Operations	20
Total Investment Prof Staff	13

Total Non-Investment Prof Staff 23

**DALMA CAPITAL MANAGEMENT****Primary address**

Gate Precinct 5 - Level 6, Office 606, Dubai International Financial Centre (DIFC), Dubai, United Arab Emirates 74777

Website

www.dalmacapital.com

Contact email

info@dalmacapital.com

Contact telephone

18006437560

Founding year

2011

Total assets under management (as at 31 December 2019) - \$m

500

DALTON, GREINER, HARTMAN, MAHER & CO, LLC**Website**

www.dghm.com

Contact email

mdunn@dghm.com

Founding year

1982

Total assets under management (as at 31 December 2019) - \$m

1,518

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	1,464.06
Cash/Deposit	54.81

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	210.66
Other Clients	151.04
Other Mutual	126.21
Pension Funds	383.45
Private Clients	647.52

Assets under management by client domicile (as at 31 December 2019) - \$m

US	1,399.37
Europe ex-UK	119.51

Staff analysis (as at 31 December 2019)

Combined Manager/Analysts	9
Dealing	3
Marketing	2
Investment Administration/Legal/Compliance/Operations	3
Total Investment Prof Staff	17
Total Non-Investment Prof Staff	4

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	9
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DAVIS STRATEGIC GROWTH FUND, LP**Primary address**

31 West 34th Street, 8th Floor, New York, NY 10001, USA

Website

www.daviscapitalmgmt.com

Contact email

edavis@daviscapitalmgmt.com

Contact telephone

212-796-8444

Founding year

2000

Total assets under management (as at 31 December 2019) - \$m

2

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	2.30
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DDJ CAPITAL MANAGEMENT, LLC**Website**

www.ddjcap.com

Contact email

investorrelations@ddjcap.com

Founding year

1996

Total assets under management (as at 31 December 2019) - \$m

7,862

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	7,853.30
Other	8.20

Assets under management by client domicile (as at 31 December 2019) - \$m

US	5,768.60
Europe ex-UK	2,087.50
Other	5.38

ESG

Signatory to PRI: Yes

DEPRINCE, RACE & ZOLLO, INC**Website**

www.drz-inc.com

Contact email

jpalma@drz-inc.com

Founding year

1995

Total assets under management (as at 31 December 2019) - \$m

3,564

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	3,563.91
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Assets under management by client type (as at 31 December 2019) - \$m

Pension Funds	3,563.91
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Staff analysis (as at 31 December 2019)

Portfolio Managers	10
Research Analysts	9
Dealing	4
Marketing	4
Investment Administration/Legal/Compliance/Operations	9
Total Investment Prof Staff	22
Total Non-Investment Prof Staff	3

DIMENSIONAL FUND ADVISORS**Primary address**

20 Triton Street, London NW1 3BF, UK

Website

www.dimensional.com

Contact email

LON_INST_UK@dimensional.com

Contact telephone

0203 033 3300

Founding year

1981

Description of organisation

For almost 40 years, investors have relied on Dimensional to address complex challenges. Our long history of incorporating rigorous academic research into practical strategies targeting higher expected returns in a cost-effective manner has helped institutional investors worldwide. The longevity of our relationships shows our commitment to client service and consistency of our approach.

Total assets under management (as at 31 December 2019) - \$m

609,337

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	114,582.00
Equity	455,274.00
Property	23,334.00
Other	16,146.00

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	10,016.00
Life Insurance	4,402.00
Other Clients	487,335.00
Pension Funds	95,899.00
Private Clients	11,684.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	518,739.00
UK	26,408.00
Europe ex-UK	11,115.00
Middle East	6,090.00
Pacific ex-Japan	30,686.00
Japan	3,193.00
Other	13,106.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	49
Research Analysts	23
Dealing	24
Investment Administration/Legal/Compliance/Operations	127

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	13
North America	74
Japan	1
Pacific ex-Japan	16

ESG

Signatory to PRI: Yes

DODGE & COX**Primary address**

48 Pall Mall, St. James, London SW1Y 5JG, UK

Website

www.dodgeandcoxworldwide.com

Contact email

clientservice@dodgeandcoxworldwide.com

Contact telephone

+44 (0) 2036423370

Founding year

1930

Total assets under management (as at 31 December 2019) - \$m

326,660

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	138,311.70
Equity	168,173.10
Other	20,175.50

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	3,032.00
Non Life Insurance	3,893.30
Other Clients	5,314.30
Other Mutual	178,525.60
Pension Funds	91,263.60
Retail	44,631.40

Assets under management by client domicile (as at 31 December 2019) - \$m

US	318,338.90
Other	8,321.40

Staff analysis (as at 31 December 2019)

Portfolio Managers	14
Research Analysts	51
Dealing	14
Investment Administration/Legal/Compliance/Operations	222
Total Investment Prof Staff	96
Total Non-Investment Prof Staff	205

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	3
North America	93

ESG

Signatory to PRI: Yes

DRAGON CAPITAL



DRAGON CAPITAL GROUP LIMITED

Primary address

1501 Me Linh Point, 2 Ngo Duc Ke, District 1, Ho Chi Minh City, Vietnam

Website

www.dragoncapital.com

Contact email

info@dragoncapital.com

Contact telephone

+8428 3823 9355

Founding year

1994

Description of organisation

Dragon Capital is Vietnam's longest-running independent asset manager in listed equity, fixed income, clean technology and real estate for professional and institutional investors.

Total assets under management (as at 31 December 2019) - \$m

2,957

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	91.82
Equity	2,444.80
Property	7.62
Other	412.20

Staff analysis (as at 31 December 2019)

Portfolio Managers	9
Research Analysts	17
Dealing	4
Marketing	6
Investment Administration/Legal/Compliance/Operations	29
Total Investment Prof Staff	77
Total Non-Investment Prof Staff	39

Geographical breakdown of Investment Professionals (as at 31 December 2019)

Other	71.00
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ESG

Signatory to PRI: Yes

DRIEHAUS CAPITAL MANAGEMENT LLC

Primary address

25 East Erie, Chicago, IL 60611, USA

Website

www.driehaus.com

Contact email

mwickman@driehaus.com

Contact telephone

001-312-587-3800

Founding year

1982

Total assets under management (as at 31 December 2019) - \$m

8,804

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	8,159.30
Other	644.20

Assets under management by client type (as at 31 December 2019) - \$m

Pension Funds	8,803.50
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Assets under management by client domicile (as at 31 December 2019) - \$m

US	8,057.50
UK	16.10
Europe ex-UK	212.20
Japan	28.60
Other	489.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	11
Research Analysts	10
Combined Manager/Analysts	5
Dealing	6
Marketing	6
Investment Administration/Legal/Compliance/Operations	39
Total Investment Prof Staff	38
Total Non-Investment Prof Staff	62

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	38
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DSM CAPITAL PARTNERS LLC

Primary address

7111 Fairway Drive, Suite 350, Palm Beach Gardens, FL 33418, USA

Website

dsmcapital.com

Contact email

dsm@dsmcapital.com

Contact telephone

5616184000

Founding year

2001

Description of organisation

DSM is an SEC-registered investment adviser that actively manages concentrated stock investment portfolios for clients including pension plans, foundations and endowments, other institutions and individuals. Using the same philosophy, process, team and valuation methodology, it offers strategies in US Large Cap Growth, Global Growth, Global Focus Growth, Global Dividend Growth, Emerging Markets Growth and International Growth.

Total assets under management (as at 31 December 2019) - \$m
7,765

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	7,765.20
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Assets under management by client domicile (as at 31 December 2019) - \$m

US	5,569.10
UK	84.80
Europe ex-UK	1,166.50
Other	944.80

Staff analysis (as at 31 December 2019)

Portfolio Managers	8
Combined Manager/Analysts	8
Dealing	2
Marketing	2
Investment Administration/Legal/Compliance/Operations	15
Total Investment Prof Staff	10
Total Non-Investment Prof Staff	15

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	10
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ESG

Signatory to PRI: Yes

DUFF & PHELPS INVESTMENT MANAGEMENT COMPANY
Website

www.dpimc.com

Founding year

1932

Total assets under management (as at 31 December 2019) - \$m

11,213

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	1,736.10
Equity	1,836.00
Property	3,117.00
Other	4,523.60

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	6.00
Non Life Insurance	66.30
Other Clients	4,360.40
Other Mutual	5,683.70
Pension Funds	1,096.30

Assets under management by client domicile (as at 31 December 2019) - \$m

US	11,212.70
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Staff analysis (as at 31 December 2019)

Portfolio Managers	4
Research Analysts	11
Combined Manager/Analysts	7
Dealing	2
Marketing	7
Investment Administration/Legal/Compliance/Operations	22
Total Investment Prof Staff	28
Total Non-Investment Prof Staff	25

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	28
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ESG

Signatory to PRI: Yes

DUNN CAPITAL MANAGEMENT LLC
Website

www.dunncapital.com

Contact email

info@dunncapital.com

Founding year

1974

Description of organisation

DUNN is one of the oldest managed futures firms, and its trading programs are quantitative, statistical and 100% systematic.

Total assets under management (as at 31 December 2019) - \$m

1,089

DUPONT CAPITAL MANAGEMENT
Primary address

One Righter Parkway, Suite 3200,
Wilmington, DE 19803, USA

Website

www.dupontcapital.com

Contact email

Kimberly.A.Fetterman@dupontcapital.com

Contact telephone

302-477-6000

Founding year

1993

Total assets under management (as at 31 December 2019) - \$m

26,264

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	10,392.20
Equity	6,488.50

Property	587.00
Other	8,795.90

Assets under management by client type (as at 31 December 2019) - \$m

Other Clients	4.90
Pension Funds	26,247.60
Private Clients	11.10

Assets under management by client domicile (as at 31 December 2019) - \$m

US	25,156.30
UK	241.80
Europe ex-UK	498.40
Japan	6.00
Other	361.10

Staff analysis (as at 31 December 2019)

Portfolio Managers	26
Research Analysts	17
Dealing	2
Marketing	6
Investment Administration/Legal/Compliance/Operations	54
Total Investment Prof Staff	52
Total Non-Investment Prof Staff	60



EAGLE | Asset Management
AN AFFILIATE OF CARILLON TOWER ADVISI

EAGLE ASSET MANAGEMENT
Primary address

880 Carillon Parkway, PO Box 10520, St Petersburg, FL 33716, USA

Website

www.eagleasset.com

Contact email

Donald.Pepin@eagleasset.com

Contact telephone

800-235-3903

Founding year
1976

Description of organisation

Eagle Asset Management is built on the cornerstones of intelligence, experience, and conviction, driven by research and active portfolio managers. Our long-tenured investment teams manage a diverse suite of fundamental equity and fixed income strategies designed to meet the long-term goals of institutional and individual investors. Our teams have the autonomy to pursue investment decisions guided by their individual philosophies and strategies.

Total assets under management (as at 31 December 2019) - \$m
29,274

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	6,056.00
Equity	17,730.30
Other	5,488.00

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	1,163.10
Life Insurance	763.80
Other Clients	1,831.60
Other Mutual	11,060.60
Pension Funds	2,449.00
Retail	12,006.20

Assets under management by client domicile (as at 31 December 2019) - \$m

US	29,274.30
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Staff analysis (as at 31 December 2019)

Portfolio Managers	25
Research Analysts	14
Dealing	15
Marketing	85
Investment Administration/Legal/Compliance/Operations	23
Total Investment Prof Staff	60
Total Non-Investment Prof Staff	173

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	60
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ESG

Signatory to PRI: Yes

EAM INVESTORS, LLC

Primary address

2533 South Coast Highway, Suite 240,
Cardiff-by-the-Sea, CA 92007, USA

Website

www.eaminvestors.com

Contact email

info@eaminvestors.com

Contact telephone

760-479-5080

Founding year

2007

Total assets under management (as at 31 December 2019) - \$m

1,993

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	1,992.80
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	60.90
Other Clients	704.50
Pension Funds	1,227.40

Assets under management by client domicile (as at 31 December 2019) - \$m

US	1,468.40
Pacific ex-Japan	524.40

EARNEST PARTNERS

Primary address

1180 Peachtree Street, Suite 2300, Atlanta,
GA 30309, USA

Website

www.earnestpartners.com

Contact email

invest@earnestpartners.com

Contact telephone

404-815-8772

Founding year

1998

Total assets under management (as at 31 December 2019) - \$m

24,301

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	7,040.00
Equity	17,261.00

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	370.00
Life Insurance	827.00

Other Mutual	2,304.00
Pension Funds	20,800.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	23,077.00
Europe ex-UK	510.00
Other	714.00

Staff analysis (as at 31 December 2019)

Combined Manager/Analysts	15
Dealing	4
Marketing	8
Investment Administration/Legal/Compliance/Operations	3
Total Investment Prof Staff	15
Total Non-Investment Prof Staff	29

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	14
Other	2.00

ESG

Signatory to PRI: Yes

EAST CAPITAL ASSET MANAGEMENT AB

Primary address

Kungsgatan 33, Stockholm, Sweden 111 93

Website

www.eastcapital.com

Contact email

pro@eastcapital.com

Contact telephone

+46 8 505 88 555

Founding year

1997

ESG

Signatory to PRI: Yes

EATON VANCE MANAGEMENT (INTERNATIONAL) LIMITED

Website

www.eatonvance.com

Contact email

internationalenquiries@eatonvance.com

Founding year

1924

Total assets under management (as at 31 December 2019) - \$m

213,437

Assets under management by asset class

(as at 31 December 2019) - \$m

Fixed Income	129,852.70
Equity	75,118.20
Other	8,466.10

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	1,173.80
Other Clients	68,647.30
Pension Funds	6,772.90
Private Clients	1,779.30
Retail	135,063.60

Assets under management by client domicile (as at 31 December 2019) - \$m

US	200,848.20
UK	35.40
Europe ex-UK	2,951.00
Middle East	752.30
Pacific ex-Japan	250.80
Japan	7,610.10
Other	989.30

Staff analysis (as at 31 December 2019)

Portfolio Managers	62
Research Analysts	121
Combined Manager/Analysts	5
Dealing	28
Marketing	268
Investment Administration/Legal/Compliance/Operations	733
Total Investment Prof Staff	216
Total Non-Investment Prof Staff	1,001

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	19
North America	194
Japan	1
Pacific ex-Japan	2

ESG

Signatory to PRI: Yes

ECHO STREET CAPITAL MANAGEMENT**Website**

www.echostreetcapital.com

Contact email

echo@evestment.com

Founding year

2002

Total assets under management (as at 31 December 2019) - \$m

6,789

EDGEPOINT WEALTH MANAGEMENT INC.**Primary address**

150 Bloor Street West, Suite 500, Toronto,

Ontario M5S 2X9, Canada

Website

www.edgepointwealth.com/en

Contact email

info@edgepointwealth.com

Contact telephone

416.963.9353

Founding year

2008

Total assets under management (as at 31 December 2019) - £m

17,554

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	50.70
Equity	10,517.70
Other	6,985.40

Assets under management by client domicile (as at 31 December 2019) - £m

US	187.10
UK	1,998.40
Other	15,368.30

Staff analysis (as at 31 December 2019)

Research Analysts	7
Combined Manager/Analysts	5
Dealing	2
Investment Administration/Legal/Compliance/Operations	61
Total Investment Prof Staff	14
Total Non-Investment Prof Staff	61

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	14
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EDINBURGH PARTNERS LIMITED**Primary address**

27-31 Melville Street, Edinburgh EH3 7JF, UK

Website

www.edinburghpartners.com

Contact email

enquiries@edpam.com

Contact telephone

0131 270 3800

Founding year

2003

Total assets under management (as at 31 December 2019) - £m

6,401

Assets under management by asset class**(as at 31 December 2019) - £m**

Equity	6,401.00
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Assets under management by client type (as at 31 December 2019) - £m

Other Clients	5,410.00
Pension Funds	991.00

Assets under management by client domicile (as at 31 December 2019) - £m

US	5,108.00
UK	532.00
Europe ex-UK	498.00
Other	263.00

Staff analysis (as at 31 December 2019)

Combined Manager/Analysts	11
Marketing	5
Investment Administration/Legal/Compliance/Operations	40
Total Investment Prof Staff	11
Total Non-Investment Prof Staff	45

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	11
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ESG

Signatory to PRI: Yes

EFG ASSET MANAGEMENT LIMITED**Primary address**

Leconfield House, Curzon Street, London W1J 5JB, UK

Website

www.efgam.com

Contact email

salesupport@efgam.com

Contact telephone

+44 (0)207 491 9111

Founding year

1994

Description of organisation

EFG Asset Management Limited (EFGAM) is an international provider of actively managed and high-conviction investment products to financial intermediaries and institutional investors worldwide.

Total assets under management (as at 31 December 2019) - \$m

21,866

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	10,495.70
Equity	7,215.80
Other	4,154.50

Assets under management by client domicile (as at 31 December 2019) - \$m

US	1,835.90
UK	10,432.30
Europe ex-UK	8,480.80
Pacific ex-Japan	1,117.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	51
Research Analysts	21
Combined Manager/Analysts	51
Dealing	2
Marketing	3
Investment Administration/Legal/Compliance/Operations	60
Total Investment Prof Staff	75
Total Non-Investment Prof Staff	62

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	56
North America	12
Europe	55
Pacific ex-Japan	14

ESG

Signatory to PRI: Yes

EPOCH INVESTMENT PARTNERS, INC**Website**

www.eipny.com

Contact email

info@eipny.com

Founding year

2004

Total assets under management (as at 31 December 2019) - \$m

34,204

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	34,067.50
Other	136.70

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	304.30
Non Life Insurance	265.00
Other Clients	26,595.40
Pension Funds	7,039.50

Assets under management by client domicile (as at 31 December 2019) - \$m

US	15,122.00
UK	1,908.70
Pacific ex-Japan	2,020.30
Other	15,153.10

Staff analysis (as at 31 December 2019)

Portfolio Managers	11
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Research Analysts	39
Dealing	2
Marketing	30
Investment Administration/Legal/Compliance/Operations	33
Total Investment Prof Staff	52
Total Non-Investment Prof Staff	63

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	52
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ESG

Signatory to PRI: Yes

ERIC STURDZA INVESTMENTS**Primary address**

3rd Floor, Frances House, Sir William Place, St Peter Port, Guernsey GY1 1GX, UK

Website

www.ericsturdza.com

Contact email

info@ericsturdza.com

Contact telephone

+441481 722322

Founding year

1999

Total assets under management (as at 31 December 2019) - \$m

3,473

Assets under management by asset class (as at 31 December 2019) - \$m

Other	3,473.30
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Assets under management by client type (as at 31 December 2019) - \$m

Other Clients	3,473.30
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Assets under management by client domicile (as at 31 December 2019) - \$m

Europe ex-UK	3,473.30
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ERSTE ASSET MANAGEMENT GMBH**Website**

www.erste-am.com

Contact email

Christiane.Stangl@erste-am.com

Founding year

1965

ESG

Signatory to PRI: Yes

EVERMORE GLOBAL ADVISORS**Primary address**

89 Summit Avenue, Summit, NJ 07901, USA

Website

www.evermoreglobal.com

Contact email

lsimo@evermoreglobal.com

Contact telephone

9083782890

Founding year

2009

Total assets under management (as at 31 December 2019) - \$m

1,145

EVLI BANK PLC**Website**

www.evli.com

Contact email

mireille.nygard@evli.com

Founding year

1985

Total assets under management (as at 31 December 2019) - €m

14,300

ESG

Signatory to PRI: Yes

**F&V CAPITAL MANAGEMENT, LLC****Primary address**

805 Third Avenue, 12th Floor, New York, NY 10022, USA

Website

www.fvcapital.us

Contact email

HTR@FVCM.US

Contact telephone

1-212-326-9533

Founding year

2008

FAYEZ SAROFIM & CO**Website**

www.sarofim.com

Contact email

AChristensen@sarofim.com

Founding year

1958

Total assets under management (as at 31 December 2019) - \$m

21,716

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	394.40
Equity	17,133.00
Property	1,642.80
Other	2,546.10

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	3,765.70
Non Life Insurance	180.90
Other Clients	2,110.80
Other Mutual	3,097.70
Pension Funds	12,561.10

Assets under management by client domicile (as at 31 December 2019) - \$m

US	21,716.30
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Staff analysis (as at 31 December 2019)

Portfolio Managers	5
Research Analysts	4
Combined Manager/Analysts	9
Dealing	5
Marketing	1
Investment Administration/Legal/Compliance/Operations	66
Total Investment Prof Staff	20
Total Non-Investment Prof Staff	70

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	20
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FEDERATED HERMES, INC.**Primary address**

1001 Liberty Avenue, Pittsburgh, PA 15222-3779, USA

Website

www.federatedhermes.com

Contact email

consultantcommunications@federatedinv.com

Contact telephone

1-412-288-1900

Founding year

1955

Description of organisation

Federated Hermes, Inc. is a global leader in active, responsible investing with \$605.8 billion in assets under management and \$1.1 trillion in assets under advice as of March 31, 2020. Guided by our conviction that responsible investing is the best way to create wealth over the long term, Federated Hermes (NYSE: FHI) offers investors around the globe a broad range of capabilities.

Total assets under management (as at 31 December 2019) - \$m

503,100

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	57,981.70
Equity	39,732.50
Cash/Deposit	398,367.10
Other	7,018.80

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	393.10
Non Life Insurance	1,593.40
Other Clients	87,199.90
Other Mutual	406,515.00
Pension Funds	2,035.10
Private Clients	210.20
Retail	5,153.40

Assets under management by client domicile (as at 31 December 2019) - \$m

US	481,361.00
UK	7,861.20
Europe ex-UK	5,989.40
Middle East	5,805.50
Pacific ex-Japan	56.50
Other	2,026.50

Staff analysis (as at 31 December 2019)

Portfolio Managers	84
Research Analysts	79
Dealing	44
Marketing	84
Investment Administration/Legal/	

Compliance/Operations	259
Total Investment Prof Staff	219
Total Non-Investment Prof Staff	1,206

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	10
North America	210
Japan	1

ESG

Signatory to PRI: Yes

FIDELITY INTERNATIONAL**Primary address**

4 Cannon Street, London EC4M 5AB, UK

Website

www.fidelityinstitutional.com

Contact email

Chris.Douse@fil.com

Contact telephone

020 7283 9911

Founding year

1969

Total assets under management (as at 31 December 2019) - £m

256,028

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	61,451.43
Equity	154,263.35
Property	1,184.39
Cash/Deposit	3,253.97
Other	35,875.20

Assets under management by client domicile (as at 31 December 2019) - £m

US	7,444.10
UK	63,524.00
Europe ex-UK	80,611.50
Middle East	8,091.10
Pacific ex-Japan	56,096.10
Japan	34,054.60
Other	6,207.00

ESG

Signatory to PRI: Yes

FIERA CAPITAL**Primary address**

1981 McGill College Avenue, Suite 1500, Montreal QC, Canada H3A0H5

Website

www.fieracapital.com/en

Contact email

ContactUS@fieracapital.com

Contact telephone

514-954-3300

Founding year

2003

Description of organisation

Fiera Capital is a prominent North American investment management firm recognised for its excellence in portfolio management, innovative and personalised investment solutions, and its ability to surpass client expectations.

Our structure promotes excellence within our specialised investment teams by combining the flexible and efficient environment of a multi-style investment manager with the scale of resources offered by a leading investment firm. Integrated solutions diversified by asset class and investment style, and supported by a disciplined risk management framework, are key.

Total assets under management (as at 31 December 2019) - CAD \$m

169,671

Assets under management by asset class (as at 31 December 2019) - CAD \$m

Fixed Income	75,868.20
Equity	74,927.00
Property	4,323.50
Other	14,552.30

Assets under management by client type (as at 31 December 2019) - CAD \$m

Charity/Endowments	7,412.20
Life Insurance	11,590.50
Non Life Insurance	9,186.40
Other Clients	31,852.20
Other Mutual	26,639.70
Pension Funds	36,542.80
Private Clients	33,552.50
Retail	12,894.60

Assets under management by client domicile (as at 31 December 2019) - CAD \$m

US	49,792.90
UK	8,849.00
Europe ex-UK	1,934.40
Pacific ex-Japan	2,477.60
Japan	58.80
Other	106,558.40

Staff analysis (as at 31 December 2019)

Portfolio Managers	90
Research Analysts	70
Dealing	3
Marketing	169
Investment Administration/Legal/Compliance/Operations	528
Total Investment Prof Staff	200

Total Non-Investment Prof Staff 660

ESG

Signatory to PRI: Yes

FIRST PRIVATE INVESTMENT MANAGEMENT**Website**

www.first-private.de

Contact email

romy.radmacher@first-private.de

Founding year

1991

Total assets under management (as at 31 December 2019) - €m

3,100

FISCH ASSET MANAGEMENT AG**Website**

www.fam.ch

Contact email

info@fam.ch

Founding year

1994

Description of organisation

Fisch Asset Management specialises in liquid credit strategies with a focus on convertible bond, corporate bond and absolute return solutions for institutional investors and intermediaries. All strategies are actively managed based on its long experience in the fields of credit research and portfolio management and momentum analysis. The firm is independent and 100% owned by its employees.

Total assets under management (as at 31 December 2019) - €m

9,808

Assets under management by asset class (as at 31 December 2019) - €m

Fixed Income	8,941.00
Other	867.00

Assets under management by client type (as at 31 December 2019) - €m

Charity/Endowments	552.00
Non Life Insurance	1,728.00
Other Clients	3,009.00
Pension Funds	4,105.00
Private Clients	235.00
Retail	179.00

Assets under management by client**domicile (as at 31 December 2019) - €m**

Europe ex-UK	9,798.00
Middle East	10.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	9
Research Analysts	8
Combined Manager/Analysts	13
Dealing	3
Marketing	14
Investment Administration/Legal/Compliance/Operations	44
Total Investment Prof Staff	30
Total Non-Investment Prof Staff	61

Geographical breakdown of Investment Professionals (as at 31 December 2019)

Europe	30
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ESG

Signatory to PRI: Yes

FISHER INVESTMENTS EUROPE LTD.**Primary address**

5525 NW Fisher Creek Drive, Camas, WA 98607, USA

Website

institutional.fisherinvestments.com

Contact email

FisherInstitutional@fi.com

Contact telephone

+1 800 851 8845

Founding year

1979

Total assets under management (as at 31 December 2019) - \$m

120,809

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	39,602.80
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	3,900.90
Non Life Insurance	389.50
Other Clients	10,448.00
Other Mutual	5,452.10
Pension Funds	19,262.70
Private Clients	149.70

Assets under management by client domicile (as at 31 December 2019) - \$m

US	14,571.90
UK	6,834.50
Europe ex-UK	6,260.70
Middle East	5,877.70
Pacific ex-Japan	3,159.00
Japan	1,265.50

Other	1,633.60
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Staff analysis (as at 31 December 2019)

Portfolio Managers	5
Research Analysts	52
Marketing	41
Investment Administration/Legal/ Compliance/Operations	73
Total Investment Prof Staff	86
Total Non-Investment Prof Staff	114

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	84
Japan	1
Pacific ex-Japan	1

ESG

Signatory to PRI: Yes

FOUNTAINCAP RESEARCH & INVESTMENT (HONG KONG) CO, LTD**Primary address**

Unit 2410, China Resources Building, 26 Harbour Road, Wanchai, Hong Kong 999077

Website

www.fountaincapri.com

Contact telephone

+852 2170 3333

Founding year

2014

Total assets under management (as at 31 December 2019) - \$m

892

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	891.70
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	72.30
Other Clients	605.30
Pension Funds	214.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	393.90
Middle East	112.30
Pacific ex-Japan	376.60
Other	8.80

Staff analysis (as at 31 December 2019)

Portfolio Managers	1
Research Analysts	4
Marketing	1
Investment Administration/Legal/ Compliance/Operations	9
Total Investment Prof Staff	5

Total Non-Investment Prof Staff	10
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Geographical breakdown of Investment Professionals (as at 31 December 2019)

Pacific ex-Japan	5
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FRANKLIN TEMPLETON INVESTMENTS**Primary address**

Cannon Place, 78 Cannon Street, London EC4N 6HL, UK

Website

www.franklintempleton.co.uk

Contact email

Adam.Rimmer@franklintempleton.co.uk

Contact telephone

+44 20 7073 8500

Founding year

1947

Description of organisation

Franklin Templeton offers strength and experience gained from over seven decades of active asset management. With 12,000+ employees in over 30 countries, we believe our firsthand understanding of local markets, companies and economies sets us apart. On July 31, 2020, Franklin Templeton completed the acquisition of Legg Mason, establishing it as one of the world's largest independent, specialised investment managers.

Total assets under management (as at 31 December 2019) - \$m

698,305

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	280,946.70
Equity	283,191.50
Property	2,789.50
Other	131,376.90

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	2,568.20
Non Life Insurance	5,089.10
Other Clients	116,911.60
Other Mutual	42,130.20
Pension Funds	53,093.60
Private Clients	2,043.80
Retail	476,468.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	468,645.10
UK	11,085.00
Europe ex-UK	104,635.80
Middle East	7,771.30
Pacific ex-Japan	50,131.50
Japan	9,080.90

Other	46,955.00
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Staff analysis (as at 31 December 2019)

Portfolio Managers	274
Research Analysts	230
Dealing	65
Total Investment Prof Staff	569

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	51
North America	337
Europe	22
Pacific ex-Japan	113
Other	46.00

ESG

Signatory to PRI: Yes

FRED ALGER MANAGEMENT, INC**Primary address**

360 Park Avenue South, New York, NY 10010, USA

Website

www.alger.com

Contact email

invest@alger.com

Contact telephone

212-806-8800

Founding year

1964

Total assets under management (as at 31 December 2019) - \$m

29,105

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	28,843.00
Other	262.40

Assets under management by client type (as at 31 December 2019) - \$m

Pension Funds	29,105.40
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Assets under management by client domicile (as at 31 December 2019) - \$m

US	27,630.10
Europe ex-UK	1,346.90
Other	128.40

ESG

Signatory to PRI: Yes

FRIESS ASSOCIATES, LLC**Primary address**

PO Box 4166, 3711 Kennett Pike, Suite 100,

Greenville, DE 19807, USA

Website

www.friess.com

Contact email

jfields@friess.com

Contact telephone

302-656-7644

Founding year

1974

Total assets under management (as at 31 December 2019) - \$m

1,463

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	1,463.20
--------	----------

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	40.30
Other Clients	18.40
Other Mutual	1,341.70
Pension Funds	62.80

Assets under management by client domicile (as at 31 December 2019) - \$m

US	1,463.20
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Staff analysis (as at 31 December 2019)

Portfolio Managers	1
Research Analysts	7
Dealing	3
Investment Administration/Legal/Compliance/Operations	1
Total Investment Prof Staff	11
Total Non-Investment Prof Staff	17

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	12
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FULCRUM ASSET MANAGEMENT LLP

Primary address

Marble Arch House, 66 Seymour Street, London W1H 5BT, UK

Website

www.fulcrumasset.com

Contact email

IR@fulcrumasset.com

Contact telephone

+44 20 7016 6450

Founding year

2004

Total assets under management (as at 31

December 2019) - \$m

5,000

Assets under management by asset class (as at 31 December 2019) - \$m

Other	5,000.00
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	278.00
Other Clients	1,397.00
Pension Funds	3,260.00
Private Clients	65.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	310.00
UK	3,141.00
Europe ex-UK	334.00
Other	1,215.00

ESG

Signatory to PRI: Yes

FUNDSMITH LLP

Primary address

33 Cavendish Square, London W1G 0PW, UK

Website

www.fundsmith.co.uk

Contact email

enquiries@fundsmith.co.uk

Contact telephone

+44 (0)20 3551 6337

Founding year

2010

Total assets under management (as at 31 December 2019) - £m

19,927

Assets under management by asset class (as at 31 December 2019) - £m

Equity	19,927.40
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GAM

Primary address

8 Finsbury Circus, London EC2M 7GB, UK

Website

www.gam.com

Contact email

info@gam.com

Contact telephone

020 7493 9990

Founding year

1983

Description of organisation

GAM Investments is an independent global asset management firm focused purely on active investment strategies. It provides investment solutions and products for institutions, financial intermediaries and private investors. The core investment business is complemented by private labelling services, which offers fund solutions focused on structuring, legal set-up, fund administration arrangements and ongoing management company services globally.

Total assets under management (as at 31 December 2019) - \$m

137,042

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	26,600.00
Equity	7,464.00
Other	102,977.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	91
Research Analysts	56
Combined Manager/Analysts	147
Dealing	17
Marketing	46
Investment Administration/Legal/Compliance/Operations	289
Total Investment Prof Staff	147
Total Non-Investment Prof Staff	678

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	97
North America	7
Europe	40
Pacific ex-Japan	3

ESG

Signatory to PRI: Yes

GAMCO ASSET MANAGEMENT

Primary address

One Corporate Center, Rye, NY 10580, USA

Website

www.gabelli.com

Contact email

rvenezia@gabelli.com

Contact telephone

914-921-5100

Founding year

1977

Total assets under management (as at 31 December 2019) - \$m

13,523

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	40.00
Equity	13,483.00

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	1,199.00
Other Clients	690.00
Pension Funds	3,750.00
Private Clients	4,204.00
Retail	3,680.00

ESG

Signatory to PRI: Yes

GATEMORE CAPITAL MANAGEMENT LLP**Primary address**

33 Cavendish Square, London W1G 0PW, UK

Website

www.gatemores.com

Contact email

info_uk@gatemores.com

Contact telephone

+44 (0)207 580 0300

Founding year

2005

Total assets under management (as at 31 December 2019) - £m

810

Assets under management by client type (as at 31 December 2019) - £m

Pension Funds	726.50
Private Clients	83.46

Assets under management by client domicile (as at 31 December 2019) - £m

US	83.46
UK	587.70
Europe ex-UK	138.80

Staff analysis (as at 31 December 2019)

Research Analysts	3
Combined Manager/Analysts	10
Marketing	3
Investment Administration/Legal/Compliance/Operations	4
Total Investment Prof Staff	11
Total Non-Investment Prof Staff	4

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	12
Europe	1

ESG

Signatory to PRI: Yes

GENERATION IM**Primary address**

20 Air Street, London W1B 5AN, UK

Website

www.generationim.com

Contact email

info@generationim.com

Contact telephone

+44 207 534 4700

Founding year

2004

ESG

Signatory to PRI: Yes

GERSTEIN FISHER**Website**

www.gersteinfisher.com

Contact email

gersteinfisher@vestment.com

Founding year

1993

Total assets under management (as at 31 December 2019) - \$m

2,476

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	2,476.20
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Staff analysis (as at 31 December 2019)

Portfolio Managers	3
Research Analysts	6
Dealing	1
Marketing	4
Investment Administration/Legal/Compliance/Operations	25

Total Investment Prof Staff	11
Total Non-Investment Prof Staff	28

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	11
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GLOBAL ALPHA CAPITAL MANAGEMENT LTD**Primary address**

1800 McGill College Ave, Suite 1300, Montreal H3A 3J6, Canada

Website

www.globalalphacapital.com

Contact email

more_info@cclgroup.com

Contact telephone

514-490-2788

Founding year

2008

Description of organisation

Global Alpha focuses solely on Global Small Cap equities, defined as those with market capitalisation between US\$100 million and the upper limit of MSCI World Small Cap index. Additionally, a distinct International Equity fund was established in 2009. The firm is able to provide US small-cap management by using the existing US holdings in the Global Small Cap fund.

Total assets under management (as at 31 December 2019) - CAD \$m

4,737

Assets under management by asset class (as at 31 December 2019) - CAD \$m

Equity	4,736.70
--------	----------

Assets under management by client type (as at 31 December 2019) - CAD \$m

Charity/Endowments	274.90
Other Clients	1,374.40
Pension Funds	3,087.50

Assets under management by client domicile (as at 31 December 2019) - CAD \$m

US	2,813.30
Other	1,923.40

ESG

Signatory to PRI: Yes

GLOBAL THEMATIC PARTNERS, LLC**Website**

www.gtpartners.com

Contact email

klaus.tanner@gtpartners.com

Founding year

2010

Description of organisation

Global Thematic Partners is a SEC-registered independent investment adviser managing global equities for institutional investors utilising a thematic investment approach. Products include Global Thematic Equities, the concentrated Long Duration Equity strategy, Bottom Billion Equity and Digital Health strategies.

Total assets under management (as at 31 December 2019) - \$m

3,347

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	3,347.49
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Staff analysis (as at 31 December 2019)

Portfolio Managers	3
Research Analysts	6
Combined Manager/Analysts	3
Dealing	2
Marketing	3
Investment Administration/Legal/Compliance/Operations	6
Total Investment Prof Staff	11
Total Non-Investment Prof Staff	8

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	7
Europe	4

ESG

Signatory to PRI: Yes

GMT CAPITAL CORP.

Website

gmtcapital.com

Contact email

service@gmtcapital.com

Founding year

1993

Total assets under management (as at 31 December 2019) - \$m

3,646

Assets under management by asset class (as at 31 December 2019) - \$m

Other	3,646.20
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GOLDEN TREE ASSET MANAGEMENT

Primary address

300 Park Avenue, 21st Floor, New York, NY 10022, USA

Website

www.goldentree.com

Contact email

info@goldentree.com

Contact telephone

212-847-3500

Founding year

2000

Total assets under management (as at 31 December 2019) - \$m

32,306

GQG PARTNERS LLC

Primary address

450 East Las Olas Boulevard, Suite 750, Fort Lauderdale, FL 33301, USA

Website

www.gqgpartners.com

Contact email

clientservices@gqgpartners.com

Contact telephone

754-218-5500

Founding year

2016

Total assets under management (as at 31 December 2019) - £m

23,197

Assets under management by asset class (as at 31 December 2019) - £m

Equity	23,196.70
--------	-----------

Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	1,870.00
Other Clients	5,450.10
Other Mutual	511.90
Pension Funds	9,537.70
Private Clients	755.40
Retail	5,071.40

Assets under management by client domicile (as at 31 December 2019) - £m

US	14,561.60
UK	3,547.90
Europe ex-UK	1,467.10
Middle East	127.00
Pacific ex-Japan	1,941.50

Japan	1,276.50
Other	275.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	3
Research Analysts	9
Dealing	3
Marketing	23
Investment Administration/Legal/Compliance/Operations	28
Total Investment Prof Staff	15
Total Non-Investment Prof Staff	51

ESG

Signatory to PRI: Yes

GRAMERCY

Primary address

20 Dayton Avenue, Greenwich, CT 06830, USA

Website

www.gramercy.com

Contact telephone

203-552-1900

Founding year

1998

ESG

Signatory to PRI: Yes

GRANTHAM, MAYO, OTTERLOO & CO. LLC

Primary address

40 Rowes Wharf, Boston, MA 02110, USA

Website

www.gmo.com

Contact email

steven.peck@gmo.com

Contact telephone

+44 207 814 7600

Founding year

1977

Description of organisation

Grantham, Mayo, Van Otterloo & Co. LLC ("GMO") was founded in 1977 and furnishes investment advisory services, predominantly to institutional clients.

GMO is a global investment management firm that offers a broad range of investment strategies, including equity and fixed income strategies across global developed and emerging markets, asset allocation strategies, and alternative strategies.

Total assets under management (as at 31

December 2019) - \$m

64,199

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	6,579.00
Equity	20,935.00
Other	36,685.00

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	5,992.00
Life Insurance	3,761.00
Other Clients	33,979.00
Pension Funds	16,334.00
Private Clients	4,133.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	37,172.00
UK	9,967.00
Europe ex-UK	5,546.00
Middle East	614.00
Pacific ex-Japan	5,371.00
Japan	56.00
Other	5,473.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	31
Research Analysts	71
Dealing	24
Marketing	70
Investment Administration/Legal/Compliance/Operations	136
Total Investment Prof Staff	126
Total Non-Investment Prof Staff	344

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	8
North America	103
Pacific ex-Japan	15

ESG

Signatory to PRI: Yes

GRESHAM HOUSE ASSET MANAGEMENT LTD**Website**

www.greshamhouse.com

Contact email

InstitutionalDistribution@greshamhouse.com

Founding year

1857

Description of organisation

Gresham House plc is a London Stock Exchange-quoted specialist asset manager providing funds, direct investments and tailored investment solutions, including co-investment, across a range of differentiated alternative investment strategies. We focus

on five areas: Forestry, New Energy, Housing and Infrastructure, Public and Private Equity.

Total assets under management (as at 31 December 2019) - £m

2,797

Assets under management by asset class (as at 31 December 2019) - £m

Equity	708.00
Other	2,089.00

Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	215.00
Non Life Insurance	56.00
Other Clients	612.00
Pension Funds	274.00
Private Clients	689.00
Retail	933.00

Assets under management by client domicile (as at 31 December 2019) - £m

UK	2,797.00
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Staff analysis (as at 31 December 2019)

Portfolio Managers	24
Research Analysts	7
Marketing	4
Investment Administration/Legal/Compliance/Operations	29
Total Investment Prof Staff	46
Total Non-Investment Prof Staff	60

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	46
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ESG

Signatory to PRI: Yes

GUARDIAN CAPITAL**Primary address**

Commerce Court West, 199 Bay Street, Suite 3100, Toronto, Ontario M5L 1E8, Canada

Website

www.guardiancapital.com

Contact telephone

1800 253 9181

Founding year

1962

GUGGENHEIM INVESTMENTS**Primary address**

330 Madison Avenue, New York, NY 10017, USA

Website

www.guggenheimpartners.com

Contact email

GPIMInvestorServices@guggenheimpartners.com

Contact telephone

+1 212 518 9209

Founding year

1999

Description of organisation

Guggenheim Investments is the global asset management division of Guggenheim Partners, LLC ("Guggenheim Partners") which is the principal subsidiary of Guggenheim Capital, LLC ("Guggenheim Capital"), which was established in 1999. Guggenheim Investments includes Guggenheim Partners Investment Management, LLC, the investment adviser which would be serving as the adviser to the proposed mandate(s).

Total assets under management (as at 31 December 2019) - \$m

215,553

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	187,398.20
Equity	13,503.80
Other	14,650.50

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	304.40
Non Life Insurance	134,924.30
Other Clients	32,897.30
Pension Funds	4,322.30
Private Clients	234.10
Retail	42,870.20

Assets under management by client domicile (as at 31 December 2019) - \$m

US	183,158.00
Europe ex-UK	8,283.20
Middle East	507.90
Pacific ex-Japan	133.00
Other	23,470.30

Staff analysis (as at 31 December 2019)

Portfolio Managers	52
Research Analysts	197
Dealing	24
Marketing	152
Investment Administration/Legal/Compliance/Operations	525
Total Investment Prof Staff	273
Total Non-Investment Prof Staff	685

GUINNESS ASSET MANAGEMENT**Website**

www.guinnessfunds.com

Contact email

info@guinnessfunds.com

Founding year

2003

Total assets under management (as at 31 December 2019) - \$m

2,954

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	1.50
Equity	2,952.00

Assets under management by client type (as at 31 December 2019) - \$m

Other Mutual	2,953.50
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ESG

Signatory to PRI: Yes



HEPTAGON CAPITAL LLP

Primary address

63 Brook Street, Mayfair, London W1K 4HS, UK

Website

www.heptagon-capital.com

Contact email

london@heptagon-capital.com

Contact telephone

+44 207 070 1800

Founding year

2005

Description of organisation

Heptagon has extensive investment management, structuring and advisory expertise across multiple asset classes. Heptagon aims to bridge the gap between sophisticated investor demands and what traditional, large global institutions actually deliver, by balancing the needs for objectivity,

innovation, high-quality service and performance.

Total assets under management (as at 31 December 2019) - \$m

9,100

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	339.00
Equity	3,481.00
Private Property	3,014.00
Other	2,266.00

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	357.00
Life Insurance	904.00
Other Clients	4,882.00
Pension Funds	2,838.00
Private Clients	92.00
Retail	27.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	364.00
UK	1,092.00
Europe ex-UK	5,369.00
Middle East	1,183.00
Other	1,092.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	3
Research Analysts	2
Marketing	14
Investment Administration/Legal/Compliance/Operations	9
Total Investment Prof Staff	5
Total Non-Investment Prof Staff	9

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	5
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ESG

Signatory to PRI: Yes

HERMES FUND MANAGERS LIMITED

Primary address

Sixth Floor, 150 Cheapside, London EC2V 6ET, UK

Website

www.hermes-investment.com

Contact email

rfp@hermes-investment.com

Contact telephone

0207 702 0888

Founding year

1983

Description of organisation

At the international business of Federated Hermes, we are committed to our goal of delivering superior risk-adjusted investment returns to our clients.

In addition to our financial targets, we understand that the way we achieve our investment objectives will have wider societal impacts. As such, we seek to provide both for a better financial future for our ultimate stakeholders, as well as for a better, more sustainable society.

Total assets under management (as at 31 December 2019) - \$m

49,123

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	4,643.25
Equity	28,141.66
Property	8,124.69
Other	8,213.45

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	520.63
Life Insurance	431.87
Non Life Insurance	2,834.97
Other Clients	20,034.19
Pension Funds	25,301.40

Assets under management by client domicile (as at 31 December 2019) - \$m

US	4,755.85
UK	25,266.96
Europe ex-UK	14,781.56
Middle East	231.83
Pacific ex-Japan	2,589.89
Japan	66.24
Other	1,430.73

Staff analysis (as at 31 December 2019)

Portfolio Managers	56
Research Analysts	52
Dealing	5
Marketing	17
Investment Administration/Legal/Compliance/Operations	55
Total Investment Prof Staff	143
Total Non-Investment Prof Staff	369

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	137
North America	4
Pacific ex-Japan	2

ESG

Signatory to PRI: Yes

HEXAVEST INC.

Primary address

1250 Rene-Levesque Blvd. West, Suite 4200,

Montreal QC, H3B 4W8, Canada

Website

www.hexavest.com/en

Contact email

service@hexavest.com

Contact telephone

1514 390 8484

Founding year

2004

Description of organisation

Hexavest provides discretionary investment management of several long-only equity strategies that are all managed using the same top-down investment approach, including the following:

- Global equities (developed markets)
- All-country equities (developed and emerging markets)
- International equities (EAFE/World ex-US)
- Global ESG equities (developed markets)
- Canadian equities
- Emerging market equities
- Unconstrained Multi-Asset

Total assets under management (as at 31 December 2019) - \$m

13,584

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	13,556.20
Other	28.20

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	285.00
Life Insurance	37.40
Other Clients	1,171.10
Pension Funds	11,729.00
Private Clients	361.90

Assets under management by client domicile (as at 31 December 2019) - \$m

US	3,637.00
UK	459.60
Europe ex-UK	51.00
Pacific ex-Japan	3,656.30
Other	5,780.50

Staff analysis (as at 31 December 2019)

Portfolio Managers	11
Research Analysts	3
Dealing	2
Marketing	4
Total Non-Investment Prof Staff	29

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	13
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ESG

Signatory to PRI: Yes

HIGH POINTE CAPITAL MANAGEMENT, LLC

Website

www.HighPointeCapital.com

Contact email

GDhingra@HighPointeCapital.com

Founding year

1997

Total assets under management (as at 31 December 2019) - \$m

144

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	80.10
Other	63.60

Assets under management by client domicile (as at 31 December 2019) - \$m

US	143.70
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Staff analysis (as at 31 December 2019)

Research Analysts	1
Combined Manager/Analysts	2
Investment Administration/Legal/Compliance/Operations	2
Total Investment Prof Staff	3
Total Non-Investment Prof Staff	2

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	3
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HMG FINANCE

Website

www.hmgfinance.com/en

Founding year

1991

Total assets under management (as at 31 December 2019) - £m

80

HMI CAPITAL, LLC

Primary address

555 California Street, Suite 4900, San Francisco, CA 94104, USA

Website

www.hmicapital.com

Contact email

info@hmicapital.com

Contact telephone

415 391 9500

Founding year

2008

Total assets under management (as at 31 December 2019) - \$m

2,174

HOOD RIVER CAPITAL MANAGEMENT LLC

Primary address

Umpqua Bank Plaza, One SW Columbia St. Suite 630, Portland, OR 97204, USA

Website

www.hoodrivercapital.com

Contact email

info@hoodrivercapital.com

Contact telephone

503-221-0983

Founding year

2013

Total assets under management (as at 31 December 2019) - \$m

2,356

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	2,355.60
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	58.60
Other Clients	757.60
Other Mutual	563.60
Pension Funds	974.50
Private Clients	1.30

Assets under management by client domicile (as at 31 December 2019) - \$m

US	2,355.60
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Staff analysis (as at 31 December 2019)

Research Analysts	4
Combined Manager/Analysts	3
Marketing	2
Investment Administration/Legal/Compliance/Operations	4
Total Investment Prof Staff	7
Total Non-Investment Prof Staff	7

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	7
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HOSKING PARTNERS

Primary address

2 St James's Market, London SW1Y 4AH, UK

Website

www.hoskingpartners.com

Contact email

info@hoskingpartners.com

Contact telephone

+44 207 004 7850

Founding year

2013

Description of organisation

Hosking Partners is a London-based, independent asset management firm. We manage one product: global equities for institutional investors. All our best ideas go into this one product. The investment team is comprised of five global generalist portfolio managers.

Total assets under management (as at 31 December 2019) - \$m

8,805

Assets under management by asset class (as at 31 December 2019) - \$m

Equity 8,804.80

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	684.00
Non Life Insurance	21.30
Other Clients	739.10
Pension Funds	7,360.40

Assets under management by client domicile (as at 31 December 2019) - \$m

US	773.10
UK	625.20
Europe ex-UK	59.20
Pacific ex-Japan	4,797.20
Japan	398.30
Other	2,151.80

Staff analysis (as at 31 December 2019)

Portfolio Managers	5
Dealing	1
Marketing	4
Investment Administration/Legal/Compliance/Operations	6
Total Investment Prof Staff	5
Total Non-Investment Prof Staff	11

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK 5

ESG

Signatory to PRI: Yes

HOTCHKIS & WILEY**Website**

www.hwcm.com

Contact email

hwclient@hwcm.com

Founding year

1980

Total assets under management (as at 31 December 2019) - \$m

33,623

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	3,807.00
Equity	29,767.20
Other	49.00

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	875.60
Non Life Insurance	11.80
Other Clients	589.20
Other Mutual	17,788.80
Pension Funds	8,688.60
Private Clients	45.10
Retail	5,624.20

Assets under management by client domicile (as at 31 December 2019) - \$m

US	31,946.20
UK	247.90
Europe ex-UK	1,162.70
Japan	266.60

Staff analysis (as at 31 December 2019)

Portfolio Managers	19
Research Analysts	3
Marketing	16
Investment Administration/Legal/Compliance/Operations	33
Total Investment Prof Staff	22
Total Non-Investment Prof Staff	40

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America 22

ESG

Signatory to PRI: Yes

**IM GLOBAL PARTNER****Primary address**

33 Cornhill, London EC3V 3ND, UK

Website

www.imgp.com

Contact email

i.penrose@imgp.com

Contact telephone

+44 203 808 9834

Founding year

2013

Total assets under management (as at 31 December 2019) - €m

2,325

Assets under management by asset class (as at 31 December 2019) - €m

Fixed Income	563.10
Equity	1,151.40
Other	610.10

Assets under management by client type (as at 31 December 2019) - €m

Other Clients	105.30
Other Mutual	340.00
Private Clients	99.20
Retail	1,780.10

Assets under management by client domicile (as at 31 December 2019) - €m

Europe ex-UK 2,324.60

Staff analysis (as at 31 December 2019)

Portfolio Managers	13
Research Analysts	13
Marketing	10
Investment Administration/Legal/Compliance/Operations	13
Total Investment Prof Staff	22
Total Non-Investment Prof Staff	26

ESG

Signatory to PRI: Yes

INSIGHT INVESTMENT**Primary address**

160 Queen Victoria Street, London EC4V 4LA, UK

Website

www.insightinvestment.com

Contact email

paul.hamilton@insightinvestment.com

Contact telephone

020 7163 4000

Founding year

2002

Description of organisation

Founded in 2002, Insight Investment Management Limited (IIML) announced its acquisition of Rothschild Asset Management Limited (RAM) in 2003. In November 2009, IIML became a wholly-owned subsidiary of BNY Mellon Investment Management, part of The Bank of New York Mellon Corporation (BNY Mellon) – one of the world's major financial services groups with operations in 35 countries serving more than 100 markets.

Total assets under management (as at 31 December 2019) - £m

661,346

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	243,667.00
Equity	1,659.00
Cash/Deposit	34,555.00
Other	340,015.00

Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	5,006.00
Life Insurance	5,897.00
Non Life Insurance	13,391.00
Other Clients	39,365.00
Other Mutual	2,726.00
Pension Funds	592,967.00
Retail	1,995.00

Assets under management by client domicile (as at 31 December 2019) - £m

US	33,762.00
UK	554,721.00
Europe ex-UK	44,345.00
Middle East	6.00
Pacific ex-Japan	15,774.00
Japan	10,827.00
Other	1,911.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	93
Research Analysts	78
Dealing	21
Marketing	48
Investment Administration/Legal/Compliance/Operations	55
Total Investment Prof Staff	236
Total Non-Investment Prof Staff	913

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	187
North America	46
Japan	1
Pacific ex-Japan	2

ESG

Signatory to PRI: Yes

INTECH INVESTMENT MANAGEMENT LLC**Primary address**

250 S. Australian Ave, Suite 1800, West Palm Beach, FL 33401, USA

Website

www.intechinvestments.com

Contact email

Productmanagement@IntechInvestments.com

Contact telephone

561.775.1100

Founding year

1987

Total assets under management (as at 31 December 2019) - \$m

45,220

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	45,220.00
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	135.30
Other Clients	8,498.10
Other Mutual	4,040.40
Pension Funds	32,546.20

Assets under management by client domicile (as at 31 December 2019) - \$m

US	21,531.20
UK	96.00
Europe ex-UK	5,611.10
Middle East	43.50
Pacific ex-Japan	6,862.10
Japan	9,372.20
Other	1,703.90

Staff analysis (as at 31 December 2019)

Portfolio Managers	3
Research Analysts	2
Dealing	5
Marketing	3
Investment Administration/Legal/Compliance/Operations	25
Total Investment Prof Staff	10
Total Non-Investment Prof Staff	66

ESG

Signatory to PRI: Yes

INTERMEDIATE CAPITAL GROUP**Primary address**

Juxon House, 100 St Paul's Churchyard, London EC4M 8BU, UK

Website

www.icgplc.com

Contact email

tom.dinham@icgplc.com

Contact telephone

+44 (0)20 3201 7700

Founding year

1989

ESG

Signatory to PRI: Yes

INVESCO LTD.**Primary address**

Two Peachtree Pointe, 1555 Peachtree Street, N.E., Suite 1800, Atlanta, GA 30309, USA

Website

www.invesco.com

Contact email

globalDBteam@invesco.com

Contact telephone

+1 800 241 5477

Founding year

1935

Description of organisation

Invesco is one of the leading independent global investment firms, solely focused on investment management. With more than 8,000 employees, it directs all of its intellectual capital, global strength and operational stability toward helping investors achieve their long-term financial objectives. It acquired Oppenheimer Funds in 2019, resulting in an increase in AuM of €201.4 billion.

Total assets under management (as at 31 December 2019) - £m

926,077

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	253,032.30
Equity	480,220.40
Property	63,655.00
Cash/Deposit	68,995.80
Other	60,173.90

Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	1,976.07
Life Insurance	1,886.44
Non Life Insurance	41,931.44
Other Clients	120,566.13
Other Mutual	57,866.18
Pension Funds	78,819.15
Retail	623,031.96

Assets under management by client domicile (as at 31 December 2019) - £m

US	635,048.50
UK	56,153.60
Europe ex-UK	102,593.30
Middle East	10,140.90
Pacific ex-Japan	71,778.30
Japan	25,380.50
Other	24,982.40

Staff analysis (as at 31 December 2019)

Portfolio Managers	436
Research Analysts	346
Dealing	106
Investment Administration/Legal/Compliance/Operations	3
Total Investment Prof Staff	891
Total Non-Investment Prof Staff	7,623

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	129
North America	521
Europe	51
Japan	20
Pacific ex-Japan	170

ESG

Signatory to PRI: Yes

INVESTCORP CREDIT MANAGEMENT**Primary address**

48 Grosvenor Street, London W1K 3HW, UK

Website

www.investcorp.com

Contact email

CM-BusinessDevelopment@Investcorp.com

Contact telephone

+44 (0)20 7629 6600

Founding year

2004

Total assets under management (as at 31 December 2019) - £m

9,680

INVESTMENT COUNSELORS OF MARYLAND, LLC**Primary address**

300 East Lombard Street, Suite 810, Baltimore, MD 21202, USA

Website

www.icomd.com

Contact email

clientservice@icomd.com

Contact telephone

4105393838

Founding year

1972

Total assets under management (as at 31 December 2019) - \$m

2,409

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	2,408.80
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	14.60
Other Clients	472.93
Other Mutual	1,001.90
Pension Funds	919.40

Assets under management by client domicile (as at 31 December 2019) - \$m

US	2,408.80
----	----------

Staff analysis (as at 31 December 2019)

Research Analysts	6
Combined Manager/Analysts	2
Dealing	1
Marketing	2
Investment Administration/Legal/Compliance/Operations	5
Total Investment Prof Staff	8
Total Non-Investment Prof Staff	8

IONA CAPITAL**Website**

www.ionacapital.co.uk

Contact email

info@ionacapital.co.uk

Founding year

2011

Total assets under management (as at 31 December 2019) - £m

260

Assets under management by asset class (as at 31 December 2019) - £m

Equity	260.00
--------	--------

Assets under management by client type (as at 31 December 2019) - £m

Pension Funds	260.00
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Assets under management by client domicile (as at 31 December 2019) - £m

UK	260.00
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ESG

Signatory to PRI: Yes

IRIDIAN ASSET MANAGEMENT LLC**Primary address**

276 Post Road, Westport, CT 06880, USA

Website

www.iridian.com

Contact email

cmorris@iridian.com

Contact telephone

212-840-7779

Founding year

1996

Total assets under management (as at 31 December 2019) - \$m

7,317

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	7,249.00
Other	67.80

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	1,777.80
Non Life Insurance	129.20
Other Clients	3,205.30
Pension Funds	1,850.40
Private Clients	354.10

Assets under management by client domicile (as at 31 December 2019) - \$m

US	6,750.00
UK	8.70
Europe ex-UK	214.00
Other	344.13

IVY INVESTMENTS; WADDELL & REED FINANCIAL, INC.**Website**

institutional.ivyinvestments.com

Contact email

institutional@ivyinvestments.com

Founding year

1937

Total assets under management (as at 31 December 2019) - \$m

69,958

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	14,405.90
Equity	46,782.80
Cash/Deposit	1,117.50
Other	7,651.80

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	41.80
Non Life Insurance	2,140.30
Other Clients	635.50
Pension Funds	933.00
Retail	66,207.50

Assets under management by client domicile (as at 31 December 2019) - \$m

US	69,958.00
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Staff analysis (as at 31 December 2019)

Portfolio Managers	33
Research Analysts	25
Combined Manager/Analysts	10
Dealing	10
Investment Administration/Legal/Compliance/Operations	12
Total Investment Prof Staff	90

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	90
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**J.P. MORGAN ASSET MANAGEMENT****Website**

www.jpnam.com

Founding year

1984

Total assets under management (as at 31 December 2019) - £m

1,491,764

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	434,505.70
Equity	331,004.80
Property	51,664.40
Cash/Deposit	419,487.20
Other	234,567.80

Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	4,383.50
Non Life Insurance	100,691.40
Other Clients	273,276.00
Pension Funds	219,579.00
Private Clients	67,458.30
Retail	826,375.90

Assets under management by client domicile (as at 31 December 2019) - £m

US	969,635.00
UK	109,623.90
Europe ex-UK	232,571.40
Middle East	8,545.00
Pacific ex-Japan	78,543.00
Japan	25,167.70
Other	67,678.10

Staff analysis (as at 31 December 2019)

Portfolio Managers	480
Research Analysts	317
Dealing	62
Total Investment Prof Staff	1,048
Total Non-Investment Prof Staff	5,931

ESG

Signatory to PRI: Yes

JACKSON SQUARE PARTNERS, LLC**Website**

jspartners.com

Founding year

2014

Total assets under management (as at 31 December 2019) - \$m

22,348

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	22,348.40
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	40.60
Other Clients	3,187.60
Pension Funds	19,094.70
Private Clients	25.50

Assets under management by client domicile (as at 31 December 2019) - \$m

US	18,354.50
Europe ex-UK	412.60
Middle East	194.80
Pacific ex-Japan	2,992.70
Japan	393.70

Staff analysis (as at 31 December 2019)

Research Analysts	1
Combined Manager/Analysts	10
Dealing	3
Marketing	1

Investment Administration/Legal/Compliance/Operations	11
Total Investment Prof Staff	15
Total Non-Investment Prof Staff	11

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	15
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ESG

Signatory to PRI: Yes

JANUS HENDERSON INVESTORS**Primary address**

201 Bishopsgate, London EC2M 3AE, UK

Website

www.janushenderson.com

Contact email

InstitutionalUKConsultantTeam@janushenderson.com

Contact telephone

+44 (0)20 7818 5754

Founding year

2017

Description of organisation

Janus Henderson was formed in 2017 from the merger of two complementary businesses that trace their roots back much further. US-based Janus Capital Group was founded in 1969 and had a strong research-based approach. Henderson Global Investors was founded in the UK in 1934 and also offered largely bottom-up, analysis-based strategies. The core client bases of each group were regionally distinct and the merger of equals formed a truly global platform – global in capabilities and global in mindset.

Total assets under management (as at 31 December 2019) - \$m

374,829

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	76,792.30
Equity	256,082.00
Other	41,954.80

Assets under management by client domicile (as at 31 December 2019) - \$m

US	204,169.60
UK	69,975.30
Europe ex-UK	44,289.90
Middle East	3,006.00
Pacific ex-Japan	30,545.20
Japan	19,264.00
Other	3,579.10

Staff analysis (as at 31 December 2019)

Portfolio Managers	139
Research Analysts	125
Dealing	38
Marketing	226
Investment Administration/Legal/ Compliance/Operations	578
Total Investment Prof Staff	363
Total Non-Investment Prof Staff	1,972

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	143
North America	171
Japan	3
Pacific ex-Japan	21
Other	25.00

ESG

Signatory to PRI: Yes

JARISLOWSKY, FRASER LIMITED

Website

www.jfifglobal.com

Founding year

1955

Total assets under management (as at 31 December 2019) - \$m

33,900

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	4,196.65
Equity	19,545.02

ESG

Signatory to PRI: Yes

JENNISON ASSOCIATES LLC

Primary address

466 Lexington Avenue, 18th Floor, New York, NY 10017, USA

Website

www.jennison.com

Contact email

slamb@jennison.com

Contact telephone

(212) 833-0563

Founding year

1969

Description of organisation

Jennison Associates was founded in 1969 on the principle that doing what's best for clients will always be right for the

business. Our equity expertise spans styles, geographies, and market capitalisations. Our fixed income strategies include investment grade active and structured strategies of various durations. We believe that long-term excess returns can be achieved through deep fundamental research, specialised teams of investment professionals, bottom-up portfolio construction, and high-conviction investing. Our investment professionals are focused solely on investment management.

Total assets under management (as at 31 December 2019) - \$m

173,203

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	62,699.00
Equity	109,509.60
Other	994.50

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	1,378.20
Other Clients	11,115.50
Other Mutual	85,897.10
Pension Funds	74,760.10
Private Clients	52.30

Assets under management by client domicile (as at 31 December 2019) - \$m

US	167,984.50
UK	2,061.30
Europe ex-UK	711.50
Middle East	337.30
Pacific ex-Japan	273.60
Japan	1,391.10
Other	443.80

Staff analysis (as at 31 December 2019)

Portfolio Managers	29
Research Analysts	26
Dealing	15
Marketing	11
Investment Administration/Legal/ Compliance/Operations	270
Total Investment Prof Staff	70
Total Non-Investment Prof Staff	279

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	70
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ESG

Signatory to PRI: Yes



KAGISO ASSET MANAGEMENT (RSA)

Website

www.kagisoam.com

Contact email

info@kagisoam.com

Founding year

2001

Total assets under management (as at 31 December 2019) - Rm

31,467

Assets under management by asset class (as at 31 December 2019) - Rm

Fixed Income	1,571.00
Equity	26,491.00
Property	1,514.40
Cash/Deposit	1,816.40

Assets under management by client type (as at 31 December 2019) - Rm

Other Clients	3,085.40
Pension Funds	22,881.90
Retail	5,499.70

Assets under management by client domicile (as at 31 December 2019) - Rm

Other	31,467.00
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Staff analysis (as at 31 December 2019)

Portfolio Managers	6
Research Analysts	11
Dealing	3
Marketing	2
Investment Administration/Legal/ Compliance/Operations	24
Total Investment Prof Staff	19
Total Non-Investment Prof Staff	26

Geographical breakdown of Investment Professionals (as at 31 December 2019)

Other	19.00
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ESG

Signatory to PRI: Yes

KAMES CAPITAL**Primary address**Kames House, 3 Lochside Crescent,
Edinburgh Park, Edinburgh EH12 9SA, UK**Website**

www.kamescapital.com

Contact email

InstitutionalBusiness@kamescapital.com

Contact telephone

0800 169 0101

Founding year

1831

Description of organisation

Kames Capital is a UK-based investment management business. From Edinburgh, London and The Hague, our investment professionals manage assets on behalf of UK and international clients, including pension funds, financial institutions, charities, wealth managers, family offices and individuals. We have capabilities in fixed income, equities, property and multi-asset investing and invest to meet a range of client objectives.

Total assets under management (as at 31 December 2019) - £m

37,279

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	2,037.80
Equity	647.30
Property	538.40
Other	34,055.40

Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	143.40
Non Life Insurance	28,097.80
Other Clients	9,037.70

Assets under management by client domicile (as at 31 December 2019) - £m

UK	35,284.30
Europe ex-UK	1,946.10
Pacific ex-Japan	19.80
Japan	8.10
Other	20.60

Staff analysis (as at 31 December 2019)

Portfolio Managers	57
Research Analysts	22
Dealing	5
Marketing	32
Investment Administration/Legal/Compliance/Operations	172
Total Investment Prof Staff	84

Total Non-Investment Prof Staff 204

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK 84

ESG

Signatory to PRI: Yes

KAYNE ANDERSON RUDNICK**Primary address**

1800 Avenue of the Stars, Second Floor, Los Angeles, CA 90067, USA

Website

www.kayne.com

Contact email

srigali@kayne.com

Contact telephone

001-800-231-7414

Founding year

1984

Description of organisation

KAR focuses exclusively on investing in companies that it defines as "high quality." It utilises a bottom-up, fundamental research approach that results in high-conviction portfolios. KAR manages portfolios across the market cap and style spectrum, all utilising the same unified investment approach.

Total assets under management (as at 31 December 2019) - \$m

33,006

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	1,215.00
Equity	31,791.40

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	378.30
Non Life Insurance	22.50
Other Clients	16,134.90
Other Mutual	13,476.70
Pension Funds	2,994.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	32,831.10
Europe ex-UK	15.20
Japan	45.80
Other	114.30

Staff analysis (as at 31 December 2019)

Research Analysts	6
Combined Manager/Analysts	11
Dealing	8
Marketing	10

Investment Administration/Legal/

Compliance/Operations 58

Total Investment Prof Staff 25

Total Non-Investment Prof Staff 68

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America 17

ESG

Signatory to PRI: Yes

KEMPEN CAPITAL MANAGEMENT**Website**

www.kempen.nl

Contact email

bas.haasnoot@kempen.nl

Founding year

1990

Total assets under management (as at 31 December 2019) - €m

76,174

Assets under management by asset class (as at 31 December 2019) - €m

Fixed Income	8,297.00
Equity	5,749.00
Property	1,415.00
Other	60,712.00

Assets under management by client type (as at 31 December 2019) - €m

Charity/Endowments	250.00
Non Life Insurance	6,627.00
Pension Funds	48,533.00
Private Clients	346.00
Retail	20,418.00

Assets under management by client domicile (as at 31 December 2019) - €m

UK	6,410.00
Europe ex-UK	69,218.00
Other	546.00

ESG

Signatory to PRI: Yes

KEPPLER ASSET MANAGEMENT INC.**Website**

www.kamny.com

Contact email

info@kamny.com

Founding year

1992

Total assets under management (as at 31

December 2019) - \$m

1,116

Assets under management by asset class (as at 31 December 2019) - \$m

Equity 1,115.70

KNIGHTHEAD CAPITAL MANAGEMENT LLC**Website**

www.knighthead.com

Founding year

2008

Total assets under management (as at 31 December 2019) - \$m

4,000

KOHLBERG KRAVIS ROBERTS & CO.**Website**

www.kkr.com

Founding year

1976

Total assets under management (as at 31 December 2019) - £m

164,646

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	55,878.86
Property	4,267.00
Other	104,500.44

Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	1,526.05
Non Life Insurance	14,477.80
Other Clients	102,418.78
Other Mutual	18.53
Pension Funds	36,507.27
Private Clients	9,696.10
Retail	1.77

Assets under management by client domicile (as at 31 December 2019) - £m

US	103,249.44
UK	5,455.88
Europe ex-UK	9,070.00
Middle East	6,716.16
Pacific ex-Japan	19,069.67
Japan	7,995.91
Other	13,089.23

ESG

Signatory to PRI: Yes

KRANESHARES**Primary address**

280 Park Avenue, 32nd Floor, New York, NY 10017, USA

Website

kraneshares.com

Contact email

info@kraneshares.com

Contact telephone

+1 212-933-0393

Founding year

2012

Total assets under management (as at 31 December 2019) - £m

2,262

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	68.60
Equity	2,193.20

Assets under management by client type (as at 31 December 2019) - £m

Other Mutual 2,261.80

Assets under management by client domicile (as at 31 December 2019) - £m

US	132.10
Pacific ex-Japan	2,112.30
Other	17.30

Staff analysis (as at 31 December 2019)

Portfolio Managers	2
Research Analysts	3
Marketing	7
Investment Administration/Legal/Compliance/Operations	20
Total Investment Prof Staff	5
Total Non-Investment Prof Staff	27

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America 5

KSTONE PARTNERS LLC**Primary address**

500 Summit Lake Drive, Suite 180, Valhalla, NY 10595, USA

Website

www.kstonepartners.com

Contact email

jn@kstonepartners.com

Contact telephone

914-495-7000

Founding year

2008

LEADENHALL CAPITAL PARTNERS LLP**Website**

www.leadenhallcp.com

Contact email

investors@leadenhallcp.com

Founding year

2008

Total assets under management (as at 31 December 2019) - \$m

5,600

Assets under management by asset class (as at 31 December 2019) - \$m

Other 5,600.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	7
Research Analysts	6
Marketing	4
Investment Administration/Legal/Compliance/Operations	11
Total Investment Prof Staff	13
Total Non-Investment Prof Staff	15

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	12
Other	1.00

ESG

Signatory to PRI: Yes

LEGAL & GENERAL INVESTMENT MANAGEMENT (LGIM)**Primary address**

One Coleman Street, London EC2R 5AA, UK

Website

www.lgim.com

Contact email

LGIMDatabaseRequests@lgim.com

Contact telephone

+44 (0)20 3124 3155

Founding year

1970

Description of organisation

LGIM is one of Europe's largest asset managers and a major global investor, with assets under management of £1.2 trillion*. We work with a wide range of global clients, including pension schemes, sovereign wealth funds, fund distributors and retail investors.

*Source: LGIM as at 31 December 2019.

The AuM disclosed aggregates the assets managed by LGIM in the UK, LGIMA in the US and LGIM Asia in Hong Kong. The AuM includes derivatives positions.

Total assets under management (as at 31 December 2019) - £m

1,196,166

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	417,912.80
Equity	343,643.20
Property	18,332.80
Cash/Deposit	51,101.90
Other	365,174.80

Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	5,488.56
Non Life Insurance	2,897.46
Other Clients	102,108.87
Other Mutual	113,038.14
Pension Funds	932,134.43
Retail	40,498.06

Assets under management by client domicile (as at 31 December 2019) - £m

US	115,691.80
UK	910,577.60
Europe ex-UK	64,876.40
Middle East	47,435.00
Pacific ex-Japan	14,295.40
Japan	42,323.90
Other	965.40

Staff analysis (as at 31 December 2019)

Portfolio Managers	158
Research Analysts	112
Dealing	27
Marketing	99
Investment Administration/Legal/Compliance/Operations	677
Total Investment Prof Staff	394
Total Non-Investment Prof Staff	2,202

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	329
North America	60
Pacific ex-Japan	5

ESG

Signatory to PRI: Yes

LEGATO CAPITAL MANAGEMENT, LLC**Website**

www.legatocm.com

Contact email

info@legatocm.com

Founding year

2004

Total assets under management (as at 31 December 2019) - \$m

1,569

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	1,568.77
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Assets under management by client type (as at 31 December 2019) - \$m

Life Insurance	206.27
Other Clients	51.07
Pension Funds	1,311.43

Assets under management by client domicile (as at 31 December 2019) - \$m

US	1,568.77
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Staff analysis (as at 31 December 2019)

Portfolio Managers	2
Research Analysts	3
Combined Manager/Analysts	1
Total Investment Prof Staff	6
Total Non-Investment Prof Staff	5

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	5
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ESG

Signatory to PRI: Yes

LEUTHOLD WEEDEN CAPITAL MANAGEMENT**Website**

www.lwcm.com

Founding year

1987

Total assets under management (as at 31 December 2019) - \$m

1,038

Assets under management by asset class**(as at 31 December 2019) - \$m**

Fixed Income	194.40
Equity	501.40
Cash/Deposit	313.80
Other	28.80

LGM INVESTMENTS**Primary address**

95 Wigmore Street, London W1U 1HH, UK

Website

www.lgminvestments.com

Contact email

info@lgminvestments.com

Contact telephone

+44 203 650 6600

Founding year

1991

ESG

Signatory to PRI: Yes

LGT CAPITAL PARTNERS**Website**

www.lgtcp.com

Contact email

lgt.cp@lgt.com

Founding year

1996

Total assets under management (as at 31 December 2019) - \$m

60,000

ESG

Signatory to PRI: Yes

LINSELL TRAIN LIMITED**Primary address**

Cayzer House, 30 Buckingham Gate, London SW1E 6NN, UK

Website

www.lindselltrain.com

Contact email

info@lindselltrain.com

Contact telephone

020 7802 4700

Founding year

2000

Total assets under management (as at 31 December 2019) - £m
21,958

Assets under management by asset class (as at 31 December 2019) - £m

Equity	21,957.90
--------	-----------

Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	841.40
Other Clients	1,740.40
Other Mutual	17,961.00
Pension Funds	1,415.10

Assets under management by client domicile (as at 31 December 2019) - £m

US	1,148.40
UK	10,643.00
Europe ex-UK	10,160.80
Pacific ex-Japan	5.80

Staff analysis (as at 31 December 2019)

Portfolio Managers	2
Research Analysts	3
Combined Manager/Analysts	1
Marketing	6
Investment Administration/Legal/Compliance/Operations	8
Total Investment Prof Staff	6
Total Non-Investment Prof Staff	14

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	6
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ESG
Signatory to PRI: Yes

LIONTRUST ASSET MANAGEMENT PLC

Primary address
2 Savoy Court, Strand, London WC2R 0EZ, UK

Website
www.liontrust.co.uk

Contact email
frank.doyle@liontrust.co.uk

Contact telephone
+44 203 908 8735

Founding year
1994

Total assets under management (as at 31 December 2019) - £m
19,105

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	1,875.00
Equity	14,246.00
Other	14,246.00

ESG
Signatory to PRI: Yes

LOGAN CAPITAL MANAGEMENT

Primary address
3843 West Chester Pike, Suite 150, Newtown Square, PA 19073, USA

Website
www.logancapital.com

Contact email
djhesketh@logancapital.com

Contact telephone
215-569-1100

Founding year
1993

Total assets under management (as at 31 December 2019) - \$m
2,050

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	199.20
Equity	1,797.00
Cash/Deposit	53.20

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	93.40
Life Insurance	596.80
Non Life Insurance	14.40
Other Mutual	62.10
Pension Funds	261.00
Private Clients	301.90
Retail	720.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	2,049.50
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Staff analysis (as at 31 December 2019)

Portfolio Managers	12
Research Analysts	1
Combined Manager/Analysts	12
Marketing	8
Investment Administration/Legal/Compliance/Operations	8
Total Investment Prof Staff	12
Total Non-Investment Prof Staff	9

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	12
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LOMBARD ODIER INVESTMENT MANAGERS

Primary address
Queensberry House, 3 Old Burlington Street,

London W1S 3AB, UK

Website
www.loim.com

Contact email
consultant-relations@lombardodier.com

Contact telephone
+44 203 206 6000

Founding year
1796

Description of organisation
Lombard Odier Investment Management provides active investment management across equities, bonds and alternatives. The mission is to provide clients with experts across the asset classes. Care is taken to meet clients' investment objectives, but also to take into consideration the environment and society in which we all live.

Total assets under management (as at 31 December 2019) - £m
42,506

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	18,131.00
Equity	7,959.00
Other	16,417.00

Assets under management by client type (as at 31 December 2019) - £m

Other Clients	6,803.00
Pension Funds	21,484.00
Private Clients	14,220.00

Assets under management by client domicile (as at 31 December 2019) - £m

US	1,717.00
UK	562.00
Europe ex-UK	38,832.00
Pacific ex-Japan	978.00
Japan	418.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	68
Research Analysts	55
Combined Manager/Analysts	12
Dealing	12
Marketing	20
Investment Administration/Legal/Compliance/Operations	109
Total Investment Prof Staff	148
Total Non-Investment Prof Staff	236

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	42
North America	21
Europe	69
Pacific ex-Japan	16

ESG

Signatory to PRI: Yes

LONGFELLOW INVESTMENT MANAGEMENT CO., LLC**Primary address**

20 Winthrop Square, Boston, MA 02118, USA

Website

longfellowim.com

Contact email

info@longfellowim.com

Contact telephone

617 695 3504

Founding year

1986

Description of organisation

Longfellow Investment Management Co., LLC (LIM) is an independent, 100% employee-owned investment firm and a certified Women's Business Enterprise. Since its founding in 1986, it has expanded its fixed income offerings based on client demand. We now manage a broad range of strategies across the maturity and quality spectrums for clients, including public funds, pensions, endowments, foundations and other institutional investors.

Total assets under management (as at 31 December 2019) - \$m

11,311

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	11,019.00
Other	291.00

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	1,375.00
Non Life Insurance	397.00
Other Clients	5,077.00
Other Mutual	1,029.00
Pension Funds	3,205.00
Retail	228.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	11,310.80
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Staff analysis (as at 31 December 2019)

Portfolio Managers	9
Research Analysts	9
Dealing	1
Marketing	3
Investment Administration/Legal/Compliance/Operations	14
Total Investment Prof Staff	19
Total Non-Investment Prof Staff	17

Geographical breakdown of Investment**Professionals (as at 31 December 2019)**

North America	19
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ESG

Signatory to PRI: Yes

LOOMIS SAYLES**Primary address**

One Financial Center, Boston, MA 02111, USA

Website

www.loomisayles.com

Contact telephone

(800) 343-2029

Founding year

1926

Description of organisation

Loomis Sayles & Company, L.P. ("Loomis Sayles") is structured as a limited partnership. It is a wholly-owned subsidiary of Natixis Global Asset Management, L.P. ("NGAM"), the US-based subsidiary of Natixis which is based in Paris, France. NGAM, headquartered in Boston, Massachusetts, has several investment management affiliates and other securities-related firms both in and outside of the US.

Total assets under management (as at 31 December 2019) - \$m

297,212

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	222,674.10
Equity	74,538.10

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	2,423.00
Non Life Insurance	11,046.00
Other Clients	50,733.10
Other Mutual	52,165.90
Pension Funds	110,432.10
Private Clients	5,371.10
Retail	65,041.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	230,039.10
UK	11,878.20
Europe ex-UK	17,096.70
Middle East	5,128.60
Pacific ex-Japan	8,935.20
Japan	9,462.00
Other	14,672.40

Staff analysis (as at 31 December 2019)

Portfolio Managers	47
Research Analysts	163
Marketing	70

Investment Administration/Legal/

Compliance/Operations 140

Total Investment Prof Staff 282

Total Non-Investment Prof Staff 413

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	12
North America	261
Pacific ex-Japan	9

ESG

Signatory to PRI: Yes

LORD, ABBETT & CO. LLC**Primary address**

90 Hudson Street, Jersey City, NJ 07302, USA

Website

www.lordabbett.com

Contact email

clientservices@lordabbett.com

Contact telephone

1 201 827 2000

Founding year

1929

Total assets under management (as at 31 December 2019) - \$m

205,217

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	175,747.60
Equity	29,189.00
Other	280.80

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	267.20
Non Life Insurance	2,105.20
Other Clients	22,324.40
Other Mutual	34,154.70
Pension Funds	7,483.90
Private Clients	10.40
Retail	138,871.70

Assets under management by client domicile (as at 31 December 2019) - \$m

US	202,368.60
Europe ex-UK	849.60
Pacific ex-Japan	189.70
Japan	1,647.30
Other	162.20

Staff analysis (as at 31 December 2019)

Portfolio Managers	58
Research Analysts	70
Dealing	19
Total Investment Prof Staff	164

Total Non-Investment Prof Staff 616

ESG

Signatory to PRI: Yes

LOS ANGELES CAPITAL MANAGEMENT

Primary address

11150 Santa Monica Boulevard, Suite 200, Los Angeles, CA 90025, USA

Website

www.lacapm.com

Contact email

info@lacapm.com

Contact telephone

310 479-9998

Founding year

2002

Description of organisation

Los Angeles Capital is a global quantitative equity firm, managing assets for leading institutions in the US and Europe. The firm is a pioneer in dynamic equity management, utilising proprietary technology to engineer portfolios that adapt to today's equity market.

Total assets under management (as at 31 December 2019) - \$m

26,757

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	26,757.40
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	147.90
Other Clients	12,771.10
Pension Funds	13,838.40

Assets under management by client domicile (as at 31 December 2019) - \$m

US	19,042.20
Europe ex-UK	7,528.60
Other	186.60

Staff analysis (as at 31 December 2019)

Portfolio Managers	13
Research Analysts	15
Dealing	8
Marketing	6
Investment Administration/Legal/Compliance/Operations	34
Total Investment Prof Staff	47
Total Non-Investment Prof Staff	40

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	35
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ESG

Signatory to PRI: Yes

LSV ASSET MANAGEMENT

Website

www.lsvasset.com

Founding year

1994

Total assets under management (as at 31 December 2019) - £m

120,591

Assets under management by asset class (as at 31 December 2019) - £m

Equity	120,591.00
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LUPUS ALPHA ASSET MANAGEMENT AG

Website

www.lupusalpa.com

Contact email

service@lupusalpa.de

Founding year

2000

Total assets under management (as at 31 December 2019) - €m

12,000

Assets under management by asset class (as at 31 December 2019) - €m

Fixed Income	3,100.00
Equity	4,500.00
Other	4,400.00

Assets under management by client type (as at 31 December 2019) - €m

Charity/Endowments	150.00
Life Insurance	800.00
Other Clients	2,800.00
Pension Funds	6,350.00
Retail	1,900.00

Assets under management by client domicile (as at 31 December 2019) - €m

Europe ex-UK	12,000.00
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Staff analysis (as at 31 December 2019)

Portfolio Managers	22
Research Analysts	4
Combined Manager/Analysts	6
Dealing	4
Marketing	4
Investment Administration/Legal/Compliance/Operations	18
Total Investment Prof Staff	44
Total Non-Investment Prof Staff	27

Geographical breakdown of Investment Professionals (as at 31 December 2019)

Europe	44
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ESG

Signatory to PRI: Yes

LYRICAL ASSET MANAGEMENT, L.P.

Primary address

250 W 55th Street, 37th Floor, New York, NY 10019, USA

Website

www.lyricalam.com

Contact email

ir@lyricalpartners.com

Contact telephone

212-415-6600

Founding year

2008

Total assets under management (as at 31 December 2019) - \$m

7,933

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	7,923.30
Other	9.60

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	405.10
Other Clients	5,833.50
Other Mutual	1,684.60
Private Clients	0.50
Retail	9.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	5,003.30
UK	1,415.20
Europe ex-UK	1,065.00
Middle East	227.40
Pacific ex-Japan	209.90
Other	12.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	1
Combined Manager/Analysts	3
Marketing	9
Investment Administration/Legal/Compliance/Operations	14
Total Investment Prof Staff	4
Total Non-Investment Prof Staff	23

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	4
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ESG

Signatory to PRI: Yes

**M&G PLC****Primary address**

10 Fenchurch Avenue, London EC3M 5AG, UK

Website

www.mandg.co.uk

Contact email

GCISS@mandg.co.uk

Contact telephone

020 7548 2516

Founding year

1931

Description of organisation

M&G was founded under its original name of 'Municipal and General Securities' in 1901 as the financial arm of a British engineering company. M&G revolutionised British finance in 1931 when it launched the first-ever mutual fund for the public. Since that time, the firm has concentrated on the management of investment funds and has undergone over 85 years of innovation; in 1954, we launched the first regular investment savings plan in the UK.

Total assets under management (as at 31 December 2019) - £m

275,054

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	165,042.00
Equity	63,074.00
Property	30,233.40
Cash/Deposit	16,706.00

Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	1,840.00
Life Insurance	135,809.00
Non Life Insurance	5,619.00
Pension Funds	58,341.00
Retail	73,446.00

Assets under management by client domicile (as at 31 December 2019) - £m

US	1.10
UK	210.60
Europe ex-UK	49.00
Middle East	6.10
Other	8.30

Staff analysis (as at 31 December 2019)

Portfolio Managers	373
Research Analysts	59
Dealing	16
Marketing	171
Investment Administration/Legal/Compliance/Operations	1605
Total Investment Prof Staff	448
Total Non-Investment Prof Staff	1,776

Geographical breakdown of Investment Professionals (as at 31 December 2019)

Europe	432
Japan	6

ESG

Signatory to PRI: Yes

MACKAY SHIELDS LLC**Primary address**

1345 Avenue of the Americas, 43rd Floor, New York, NY 10105, USA

Website

www.mackayshields.com

Contact email

michelle.hennigar@mackayshields.com

Contact telephone

2127585400

Founding year

1938

Description of organisation

We serve a prominent group of pension funds, government and financial institutions, family offices, high-net-worth individuals, not-for-profit and occupational pension schemes across the globe. Our boutique investment teams utilise their own philosophy, processes and expertise to add value across capital markets while supported by a single operating platform. Our investment teams enjoy a high degree of autonomy and independence and have a vested interest in the success of their investment strategies.

Total assets under management (as at 31 December 2019) - \$m

131,978

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	118,567.50
Equity	12,511.90
Other	898.10

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	1,328.90
Non Life Insurance	22,025.90
Other Clients	20,940.80
Other Mutual	60,629.30
Pension Funds	26,286.30
Private Clients	766.30

Assets under management by client domicile (as at 31 December 2019) - \$m

US	110,754.80
UK	582.50
Europe ex-UK	16,423.00
Middle East	932.00
Pacific ex-Japan	189.10
Japan	1,879.60
Other	1,216.60

Staff analysis (as at 31 December 2019)

Portfolio Managers	31
Research Analysts	34
Dealing	14
Marketing	23
Investment Administration/Legal/Compliance/Operations	70
Total Investment Prof Staff	84
Total Non-Investment Prof Staff	122

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	80
Europe	4

ESG

Signatory to PRI: Yes

MACKENZIE INVESTMENTS**Primary address**

180 Queen Street West, Toronto ON, M5V 3K1, Canada

Website

www.mackenzieinvestments.com/institutional

Contact email

mackenzieinstitutionalcontentmanagement@mackenzieinvestments.com

Contact telephone

1-416-922-5322

Founding year

1967

Description of organisation

Mackenzie Investments was founded in 1967 and is a leading diversified asset management solutions provider, headquartered in Toronto



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Tel: +44 (0)20 3327 5600 **Email:** info@camradata.com

with additional investment teams in Boston, Dublin and Hong Kong. As part of IGM Financial Inc., a subsidiary of Power Financial Corporation with a history dating back to 1925, Mackenzie benefits from the financial stability of a deep corporate structure while maintaining a boutique investment management profile.

Total assets under management (as at 31 December 2019) - \$m

104,950

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	24,447.90
Equity	54,711.40
Cash/Deposit	1,521.90
Other	24,269.10

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	2.80
Life Insurance	985.20
Other Clients	49,685.10
Pension Funds	1,403.00
Retail	52,874.10

Assets under management by client domicile (as at 31 December 2019) - \$m

US	309.40
UK	19.00
Europe ex-UK	432.40
Pacific ex-Japan	11.20
Other	104,178.30

Staff analysis (as at 31 December 2019)

Portfolio Managers	56
Research Analysts	40
Dealing	15
Marketing	61
Investment Administration/Legal/Compliance/Operations	87
Total Investment Prof Staff	114
Total Non-Investment Prof Staff	1,112

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	96
Europe	8
Pacific ex-Japan	10

ESG

Signatory to PRI: Yes

MACQUARIE INVESTMENT MANAGEMENT

Primary address

Ropemaker Place, 28 Ropemaker Street,
London EC2Y 9HD, UK

Website

www.macquarieim.com

Contact email

mim.emea@macquarie.com

Contact telephone

+44 20 3037 2000

Founding year

1969

Total assets under management (as at 31 December 2019) - AUD \$m

367,952

Assets under management by asset class (as at 31 December 2019) - AUD \$m

Fixed Income	212,913.40
Equity	147,455.60
Other	7,583.40

Assets under management by client type (as at 31 December 2019) - AUD \$m

Charity/Endowments	709.80
Non Life Insurance	106,808.60
Other Clients	70,037.50
Other Mutual	6,648.60
Pension Funds	43,413.30
Private Clients	225.40
Retail	140,109.30

Assets under management by client domicile (as at 31 December 2019) - AUD \$m

US	219,727.90
UK	341.00
Europe ex-UK	12,821.60
Pacific ex-Japan	123,090.90
Japan	66.20
Other	11,904.70

Staff analysis (as at 31 December 2019)

Portfolio Managers	42
Research Analysts	91
Combined Manager/Analysts	57
Dealing	32
Marketing	120
Investment Administration/Legal/Compliance/Operations	488
Total Investment Prof Staff	235
Total Non-Investment Prof Staff	608

ESG

Signatory to PRI: Yes

MAGELLAN ASSET MANAGEMENT LIMITED DOING BUSINESS AS MFG ASSET MANAGEMENT

Primary address

Level 36, 19 Martin Place, Sydney, NSW 2000,
Australia

Website

www.magellangroup.com.au

Contact email

zarina.kalapesi@magellangroup.com.au

Contact telephone

+61 2 9235 4888

Founding year

2006

Description of organisation

Magellan Asset Management Limited doing business as MFG Asset Management (Magellan) in jurisdictions outside Australia and New Zealand, offers global equity and infrastructure investment strategies to institutional, intermediate and high-net-worth investors globally. It also manages these strategies in segregated, sub-advisory relationships and via globally focused investment funds for institutional and retail investors internationally. The company is a wholly owned subsidiary of Magellan Financial Group Limited (MFG), which is listed on the Australian Securities Exchange (ASX:MFG).

Total assets under management (as at 31 December 2019) - £m

51,745

Assets under management by asset class (as at 31 December 2019) - £m

Equity	51,744.80
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Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	1,818.40
Non Life Insurance	641.80
Other Clients	17,757.30
Other Mutual	40.40
Pension Funds	17,543.00
Private Clients	692.50
Retail	13,251.40

Assets under management by client domicile (as at 31 December 2019) - £m

US	9,036.40
UK	16,270.60
Europe ex-UK	307.40
Middle East	36.40
Pacific ex-Japan	25,052.00
Japan	115.30
Other	926.60

Staff analysis (as at 31 December 2019)

Portfolio Managers	15
Research Analysts	20
Dealing	4
Marketing	34
Investment Administration/Legal/Compliance/Operations	57
Total Investment Prof Staff	41
Total Non-Investment Prof Staff	89

Geographical breakdown of Investment Professionals (as at 31 December 2019)

Pacific ex-Japan	41
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ESG

Signatory to PRI: Yes

MAGNITUDE CAPITAL LLC

Website

www.magnitudecapital.com

Contact email

magnitudedecapital@magnitudedecapital.com

Founding year

2001

Total assets under management (as at 31 December 2019) - \$m

4,117

MAINFIRST AFFILIATED FUND MANAGERS (DEUTSCHLAND) GMBH

Primary address

Kennedyallee 76, Frankfurt, Germany 60596

Website

www.mainfirst-invest.com

Contact email

clientservice@mainfirst-invest.com

Contact telephone

+49(0)69 788 08147

Founding year

2001

Description of organisation

MainFirst is an independent European financial services provider specialised in portfolio management and fund services. In active asset management, we focus on continuous positive alpha generation.

Total assets under management (as at 31 December 2019) - €m

4,667

Staff analysis (as at 31 December 2019)

Portfolio Managers	21
Dealing	3
Marketing	6

ESG

Signatory to PRI: Yes

MAJEDIE ASSET MANAGEMENT

Primary address

10 Old Bailey, London EC4M 7NG, UK

Website

www.majedie.com

Contact email

steven.powers@majedie.com

Contact telephone

020 7618 3900

Founding year

2002

Total assets under management (as at 31 December 2019) - £m

10,238

Assets under management by client domicile (as at 31 December 2019) - £m

US	78.20
UK	9,757.80
Europe ex-UK	399.10
Middle East	2.90
Other	0.30

Staff analysis (as at 31 December 2019)

Portfolio Managers	10
Research Analysts	11
Dealing	2
Investment Administration/Legal/Compliance/Operations	38
Total Investment Prof Staff	23
Total Non-Investment Prof Staff	38

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	23
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ESG

Signatory to PRI: Yes

MALTESE CAPITAL MANAGEMENT, LLC

Website

www.maltesecap.com/users/sign_in

Founding year

1996

Total assets under management (as at 31 December 2019) - \$m

1,322

MAN GROUP PLC

Primary address

Riverbank House, 2 Swan Lane, London EC4R 3AD, UK

Website

www.man.com

Contact email

matt.frost@man.com

Contact telephone

+44 20 7144 1000

Founding year

1783

Total assets under management (as at 31 December 2019) - \$m

117,700

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	12.00
Equity	46.00
Property	2.00
Other	57.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	25.00
UK	23.00
Europe ex-UK	31.00
Middle East	10.00
Pacific ex-Japan	3.00
Japan	7.00
Other	18.00

ESG

Signatory to PRI: Yes

MANDARINE GESTION

Primary address

40 avenue George V, Paris, France 75008

Website

www.mandarine-gestion.com

Contact email

serviceclient@mandarine-gestion.com

Contact telephone

0033 180 181 480

Founding year

2007

Description of organisation

- Independent and owner-managed asset management firm, specialised in European stock-picking
- Actively managed investment strategies based on the fund managers' strongest convictions, including value and growth management styles, large, small and mid and microcap funds
- A signatory of the UNPRI with a strong SRI franchise (four SRI-labelled equity funds) and solidarity-based investments.

Total assets under management (as at 31 December 2019) - €m

2,977

Assets under management by asset class (as at 31 December 2019) - €m

Equity	2,676.00
Other	152.80

Assets under management by client type (as at 31 December 2019) - €m

Charity/Endowments	2.80
Life Insurance	195.60
Non Life Insurance	8.70
Other Clients	1,284.80
Pension Funds	758.70
Private Clients	549.90
Retail	196.90

Assets under management by client domicile (as at 31 December 2019) - €m

UK	156.90
Europe ex-UK	2,839.60
Middle East	0.90

Staff analysis (as at 31 December 2019)

Research Analysts	3
Combined Manager/Analysts	9
Marketing	13
Investment Administration/Legal/Compliance/Operations	9
Total Investment Prof Staff	34
Total Non-Investment Prof Staff	1

Geographical breakdown of Investment Professionals (as at 31 December 2019)

Europe	35
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ESG

Signatory to PRI: Yes

MANNING & NAPIER ADVISORS, LLC

Primary address

290 Woodcliff Drive, Fairport, NY 14450, USA

Website

www.manning-napier.com

Contact email

info@manning-napier.com

Contact telephone

(585) 325-6880

Founding year

1970

Total assets under management (as at 31 December 2019) - \$m

19,480

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	1,035.90
Equity	4,996.60
Property	109.80
Other	13,337.80

Assets under management by client type (as at 31 December 2019) - \$m

Other Clients	6,082.50
Pension Funds	10,265.10
Retail	3,132.60

Assets under management by client

domicile (as at 31 December 2019) - \$m

US	18,909.80
UK	54.10
Europe ex-UK	111.10
Other	405.10

Staff analysis (as at 31 December 2019)

Research Analysts	25
Dealing	5
Marketing	24
Investment Administration/Legal/Compliance/Operations	75
Total Investment Prof Staff	174
Total Non-Investment Prof Staff	133

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	174
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MANULIFE INVESTMENT MANAGEMENT (EUROPE) LIMITED

Primary address

One London Wall, London EC2Y 5EA, UK

Website

www.manulifeim.com/institutional

Contact email

Martin.Powis@manulife.com

Contact telephone

-44 (0)207 256 3766

Founding year

1887

Description of organisation

Manulife Investment Management is the global asset management arm of Manulife Financial Corporation, one of the world's leading financial services groups with over 100 years of investment experience. We actively manage multiple asset classes across public and private investment markets. Our investment expertise extends across a broad range of asset classes including equity, fixed income, liquid alternatives and private investments.

Total assets under management (as at 31 December 2019) - \$m

409,193

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	176,793.00
Equity	96,768.00
Cash/Deposit	11,932.00
Other	123,700.00

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	377.00
Non Life Insurance	90,500.00
Other Clients	238,911.00

Pension Funds	78,765.00
Private Clients	640.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	182,249.00
UK	4,708.00
Europe ex-UK	2,004.00
Middle East	1,548.00
Pacific ex-Japan	82,924.00
Japan	39,033.00
Other	96,727.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	163
Research Analysts	198
Dealing	38
Total Investment Prof Staff	399

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	24
North America	211
Japan	12
Pacific ex-Japan	152

ESG

Signatory to PRI: Yes

MAPLE-BROWN ABBOTT

Primary address

Level 31, 259 George Street, Sydney, NSW 2000, Australia

Website

www.maple-brownabbott.com.au

Contact email

susan.douse@douseassociates.com

Contact telephone

+44 1306 876 192

Founding year

1984

Description of organisation

Maple-Brown Abbott (MBA) is a privately owned investment management company, based in Sydney. We specialise in the management of investment portfolios across Australian equities, Asia-Pacific ex-Japan equities and global listed infrastructure securities. We also manage multi-asset portfolios that have exposure to Australian and international equities, Australian fixed interest, real estate investment trusts (REITs), alternative assets and cash.

Total assets under management (as at 31 December 2019) - \$m

9,925

Assets under management by asset class



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Cas at 31 December 2019) - \$m

Fixed Income	53.40
Equity	9,048.10
Property	131.60
Cash/Deposit	691.80

Assets under management by client type (cas at 31 December 2019) - \$m

Charity/Endowments	24.70
Non Life Insurance	93.19
Other Clients	5,219.53
Other Mutual	2,079.30
Pension Funds	2,404.88
Private Clients	96.70

Assets under management by client domicile (cas at 31 December 2019) - \$m

US	643.08
UK	875.87
Europe ex-UK	191.49
Middle East	471.58
Pacific ex-Japan	6,311.28
Japan	157.74
Other	1,267.25

Staff analysis (cas at 31 December 2019)

Research Analysts	13
Combined Manager/Analysts	10
Dealing	2
Marketing	1
Investment Administration/Legal/Compliance/Operations	31
Total Investment Prof Staff	25
Total Non-Investment Prof Staff	32

Geographical breakdown of Investment Professionals (cas at 31 December 2019)

Pacific ex-Japan	25
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ESG

Signatory to PRI: Yes

MAR VISTA INVESTMENT PARTNERS, LLC**Primary address**

11150 Santa Monica Blvd, Suite 320, Los Angeles, CA 90025, USA

Website

www.marvistainvestments.com

Contact email

info@marvistainvestments.com

Contact telephone

310-917-2800

Founding year

2007

Description of organisation

Mar Vista is a minority-owned registered investment management firm serving the needs of a variety of clients, including institutions, foundations, pensions,

endowments, trusts, and high-net-worth individuals. We are located in Los Angeles, California and our operations are run from Minnetonka, Minnesota.

Total assets under management (cas at 31 December 2019) - \$m

5,983

Assets under management by asset class (cas at 31 December 2019) - \$m

Equity	5,983.00
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Assets under management by client type (cas at 31 December 2019) - \$m

Charity/Endowments	539.40
Other Clients	3,262.20
Pension Funds	2,010.90
Private Clients	156.20
Retail	14.30

Assets under management by client domicile (cas at 31 December 2019) - \$m

US	5,447.20
UK	296.90
Japan	146.40
Other	92.50

Staff analysis (cas at 31 December 2019)

Combined Manager/Analysts	4
Marketing	3
Investment Administration/Legal/Compliance/Operations	10
Total Investment Prof Staff	4
Total Non-Investment Prof Staff	13

Geographical breakdown of Investment Professionals (cas at 31 December 2019)

North America	4
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ESG

Signatory to PRI: Yes

MARATHON ASSET MANAGEMENT LLP**Primary address**

Orion House, 5 Upper St. Martin's Lane, London WC2H 9EA, UK

Website

www.marathon.co.uk

Contact telephone

0207 497 2211

Founding year

1986

Total assets under management (cas at 31 December 2019) - \$m

60,697

Assets under management by asset class (cas at 31 December 2019) - \$m

Equity	60,696.60
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Assets under management by client type (cas at 31 December 2019) - \$m

Charity/Endowments	353.50
Other Clients	16,005.60
Pension Funds	44,337.60

Assets under management by client domicile (cas at 31 December 2019) - \$m

US	43,893.00
UK	2,731.10
Europe ex-UK	4,624.30
Middle East	436.60
Pacific ex-Japan	3,299.80
Japan	128.50
Other	5,583.30

Staff analysis (cas at 31 December 2019)

Portfolio Managers	10
Research Analysts	5
Dealing	4
Investment Administration/Legal/Compliance/Operations	78
Total Investment Prof Staff	19
Total Non-Investment Prof Staff	78

ESG

Signatory to PRI: Yes

MARCH ALTUS CAPITAL MANAGEMENT**Website**

www.marchaltus.com

Contact email

bshah@marchaltus.com

Founding year

2012

MARINE CAPITAL LIMITED**Primary address**

70 Pall Mall, London SW1Y 5ES, UK

Website

www.marine-capital.co.uk

Contact email

mail@marine-capital.co.uk

Contact telephone

0203 432 1451

Founding year

2003

Total assets under management (cas at 31 December 2019) - \$m

100

Assets under management by asset class (cas at 31 December 2019) - \$m

Other	100.00
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MARTINGALE ASSET MANAGEMENT, L.P.

Primary address

888 Boylston Street, Suite 1400, Boston, MA 02199, USA

Website

www.martingale.com

Contact email

information@martingale.com

Contact telephone

617-424-4700

Founding year

1987

Total assets under management (as at 31 December 2019) - \$m

10,108

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	10,107.90
--------	-----------

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	83.90
Non Life Insurance	128.90
Other Clients	4,527.80
Pension Funds	5,266.30
Private Clients	101.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	9,261.50
Europe ex-UK	423.00
Other	423.40

Staff analysis (as at 31 December 2019)

Combined Manager/Analysts	6
Dealing	2
Investment Administration/Legal/Compliance/Operations	18
Total Investment Prof Staff	8
Total Non-Investment Prof Staff	18

MERIAN GLOBAL INVESTORS (UK) LIMITED

Primary address

2 Lambeth Hill, London EC4P 4WR, UK

Website

www.merian.com

Contact email

RFPTeam@merian.com

Contact telephone

+44 (0)20 7332 7500

Founding year

2012

Total assets under management (as at 31 December 2019) - £m

22,405

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	1,804.00
Equity	15,664.00
Other	4,937.00

ESG

Signatory to PRI: Yes

MESIROW FINANCIAL INTERNATIONAL UK LTD

Website

www.mesirowfinancial.com

Contact email

dkeegan@mesirowfinancial.com

Founding year

1937

Description of organisation

Founded in 1937 with approximately 500 employees located across 21 cities globally, Mesirow Financial is a leading independent financial services firm with expertise in investment, risk management and advisory services.

Total assets under management (as at 31 December 2019) - \$m

130,690

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	3,895.10
Equity	661.20
Other	28,809.50

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	704.30
Non Life Insurance	4,844.80
Other Clients	12,846.30
Other Mutual	59.70
Pension Funds	106,784.00
Private Clients	5,450.80

Assets under management by client domicile (as at 31 December 2019) - \$m

US	24,504.30
UK	5,156.90
Europe ex-UK	30,415.20
Middle East	721.00
Pacific ex-Japan	42,888.50
Other	27,004.00

ESG

Signatory to PRI: Yes

METLIFE INVESTMENT MANAGEMENT (FORMERLY LOGAN CIRCLE PARTNERS)

Primary address

1717 Arch Street, Suite 1500, Philadelphia, PA 19103, USA

Website

investments.metlife.com

Contact email

dan.perullo@metlife.com

Contact telephone

267-330-0000

Founding year

2006

ESG

Signatory to PRI: Yes

MFS INVESTMENT MANAGEMENT

Website

www.mfs.com

Contact email

jlindsay@mfs.com

Founding year

1924

Description of organisation

Since launching the first US open-end mutual fund in 1924, MFS has been committed to a single purpose: to create value by allocating capital responsibly for clients. Through our powerful global investment platform, we combine collective expertise; thoughtful risk management and long-term discipline to uncover what we believe are the best investment opportunities in the market.

Total assets under management (as at 31 December 2019) - \$m

526,253

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	66,743.40
Equity	434,462.60
Other	25,046.70

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	4,061.20
Non Life Insurance	27,382.30
Other Clients	66,994.90
Other Mutual	2,819.40
Pension Funds	127,525.50
Private Clients	201.20
Retail	297,268.10

Assets under management by client domicile (as at 31 December 2019) - \$m

US	389,336.60
UK	10,035.80
Europe ex-UK	43,336.70
Middle East	5,882.50
Pacific ex-Japan	36,090.50
Japan	16,784.70
Other	24,786.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	90
Research Analysts	118
Dealing	27
Total Investment Prof Staff	235

ESG

Signatory to PRI: Yes

MIRABAUD ASSET MANAGEMENT LTD**Primary address**

5th Floor, 10 Bressenden Place, London SW1E 5DH, UK

Website

www.mirabaud-am.com

Contact email

marketing@mirabaud-am.com

Contact telephone

+44 20 3167 7000

Founding year

1819

Description of organisation

Mirabaud Asset Management is an independent partnership, founded in 1819, offering active, high-conviction investments for institutional and wholesale clients. Investments span Equities, Fixed Income and Alternatives, including unconstrained and multi-asset credit, high yield, emerging market debt, hedge funds, private equity and real estate. Products feature a strong focus on managing risk with a commitment towards environmental, social and corporate governance principles.

Contact: Paul Waters, Head of Institutional Sales, UK & Netherlands: paul.waters@mirabaud-am.com

Total assets under management (as at 31 December 2019) - CHFm

7,230

Assets under management by asset class (as at 31 December 2019) - CHFm

Fixed Income	1,737.00
Equity	2,934.00
Other	2,559.00

Assets under management by client type**(as at 31 December 2019) - CHFm**

Pension Funds	4,556.61
Private Clients	2,673.88

Staff analysis (as at 31 December 2019)

Portfolio Managers	33
Research Analysts	10
Dealing	2
Marketing	22
Investment Administration/Legal/Compliance/Operations	51
Total Investment Prof Staff	54
Total Non-Investment Prof Staff	64

ESG

Signatory to PRI: Yes

MIROVA**Website**

www.mirova.com

Contact email

mirova@evestment.com

Founding year

2012

Total assets under management (as at 31 December 2019) - £m

12,685

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	2,005.70
Equity	6,443.50
Other	4,236.10

Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	3.90
Life Insurance	662.30
Non Life Insurance	576.30
Other Clients	4,098.00
Other Mutual	0.10
Pension Funds	5,464.30
Retail	1,880.40

Assets under management by client domicile (as at 31 December 2019) - £m

US	0.90
UK	170.60
Europe ex-UK	11,948.00
Middle East	12.80
Pacific ex-Japan	233.40
Japan	76.30
Other	243.30

ESG

Signatory to PRI: Yes

MOMENTUM GLOBAL INVESTMENT MANAGEMENT LIMITED**Primary address**

2nd Floor, The Rex Building, 62 Queen Street, London EC4R 1EB, UK

Website

www.momentumgim.co.uk

Contact email

DistributionServices@momentum.co.uk

Contact telephone

+44 (0) 207 939 1700

Founding year

1998

Description of organisation

Momentum Global Investment Management was established in the UK in 1998 and focuses on designing, building and managing outcomes-based investment solutions, delivered through multi-asset portfolios and tailored client solutions. We work closely with institutional and adviser partners in the UK and Europe, Asia and the Middle East, South America and South Africa to meet the needs of our clients.

Total assets under management (as at 31 December 2019) - £m

4,256

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	150.00
Equity	1,768.00
Property	32.00
Other	2,305.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	4
Research Analysts	4
Combined Manager/Analysts	2
Dealing	2
Marketing	4
Investment Administration/Legal/Compliance/Operations	10
Total Investment Prof Staff	14
Total Non-Investment Prof Staff	17

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	14
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ESG

Signatory to PRI: Yes

MONDRIAN INVESTMENT PARTNERS LIMITED**Primary address**

Fifth Floor, 10 Gresham Street, London EC2V 7JD, UK

Website

www.mondrian.com

Contact email

CSL@mondrian.com

Contact telephone

0207 477 7000

Founding year

1990

Description of organisation

Mondrian Investment Partners Limited (as of Q3 19)

- Mondrian is employee-owned; approximately half of employees are partners today
- Founded in 1990, with almost 30 years of stable, consistent leadership
- Highly experienced team of 56 investment professionals in London
- Firmwide assets: approximately \$50 billion
- Income-oriented value discipline applies across all products

Total assets under management (as at 31 December 2019) - \$m

54,400

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	3,734.10
Equity	50,639.60
Other	26.60

Assets under management by client type (as at 31 December 2019) - \$m

Pension Funds	54,400.30
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Assets under management by client domicile (as at 31 December 2019) - \$m

US	48,271.50
UK	1,309.70
Europe ex-UK	1,726.10
Middle East	868.80
Pacific ex-Japan	51.70
Japan	202.40
Other	1,970.10

Staff analysis (as at 31 December 2019)

Research Analysts	3
Combined Manager/Analysts	47
Dealing	4
Marketing	40
Investment Administration/Legal/Compliance/Operations	79
Total Investment Prof Staff	54
Total Non-Investment Prof Staff	119

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	54
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ESG

Signatory to PRI: Yes

MONTAG & CALDWELL, LLC

Primary address

3455 Peachtree Road NE, Suite 1500, Atlanta, GA 30326, USA

Website

www.montag.com

Contact email

marketingsupport@montag.com

Contact telephone

404-836-7100

Founding year

1945

Description of organisation

Montag & Caldwell is a US SEC registered investment adviser which provides investment management services for assets in the following US asset strategies – large-cap growth equity, mid-cap growth equity, thematic growth equity, fixed income, and multi-strategy (e.g. balanced) – primarily for, but not limited to, US-domiciled clients.

Total assets under management (as at 31 December 2019) - \$m

2,371

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	151.00
Equity	2,009.00
Other	211.00

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	117.00
Other Clients	437.00
Other Mutual	579.00
Pension Funds	1,001.00
Retail	237.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	2,287.00
Europe ex-UK	83.00
Other	1.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	7
Research Analysts	6
Combined Manager/Analysts	2
Dealing	2
Marketing	3
Investment Administration/Legal/Compliance/Operations	11
Total Investment Prof Staff	15
Total Non-Investment Prof Staff	5

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	15
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MONTRUSCO BOLTON INVESTMENTS INC.

Website

www.montruscobolton.com

Contact email

DONNA.DIMARIA@TESSERACAPITAL.COM

Founding year

1946

Total assets under management (as at 31 December 2019) - \$m

3,864

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	646.27
Equity	2,744.13
Other	473.11

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	849.70
Other Clients	1,902.80
Pension Funds	1,016.58
Retail	94.44

Assets under management by client domicile (as at 31 December 2019) - \$m

US	3.96
Europe ex-UK	27.92
Other	3,831.63

ESG

Signatory to PRI: Yes

MORGAN STANLEY INVESTMENT MANAGEMENT

Primary address

25 Cabot Square, Canary Wharf, London E14 4QA, UK

Website

www.msim.com

Contact email

Samantha.Schoen@morganstanley.com

Contact telephone

0044 207 425 8700

Founding year

1975

Total assets under management (as at 31 December 2019) - \$m

551,921

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	78,410.00
Equity	143,644.40

Property	44,185.70
Cash/Deposit	196,178.20
Other	89,502.90

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	6,444.60
Non Life Insurance	19,550.50
Other Clients	77,059.00
Pension Funds	446,975.90
Private Clients	1,891.10

Assets under management by client domicile (as at 31 December 2019) - \$m

US	316,538.40
UK	7,088.10
Europe ex-UK	136,802.60
Middle East	26,346.20
Pacific ex-Japan	17,494.80
Japan	33,256.60
Other	14,394.50

Staff analysis (as at 31 December 2019)

Portfolio Managers	144
Research Analysts	154
Total Investment Prof Staff	711
Total Non-Investment Prof Staff	1,717

ESG

Signatory to PRI: Yes

MUZINICH & CO.

Primary address

450 Park Avenue, New York, NY 10022, USA

Website

www.muzinich.com

Contact email

info@muzinich.com

Contact telephone

1 212 888 3413

Founding year

1988

Total assets under management (as at 31 December 2019) - \$m

38,113

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	38,112.60
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	602.60
Non Life Insurance	1,403.70
Pension Funds	1,208.30
Private Clients	322.70
Retail	34,575.30

Assets under management by client

domicile (as at 31 December 2019) - \$m

US	2,083.70
UK	471.50
Europe ex-UK	6,972.80
Pacific ex-Japan	549.30
Japan	246.40
Other	27,788.90

Staff analysis (as at 31 December 2019)

Portfolio Managers	17
Research Analysts	24
Dealing	5
Marketing	63
Investment Administration/Legal/Compliance/Operations	93
Total Investment Prof Staff	91
Total Non-Investment Prof Staff	111

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	34
North America	34
Europe	21
Pacific ex-Japan	2

ESG

Signatory to PRI: Yes



NATIXIS INVESTMENT MANAGERS

Primary address

1 Carter Lane, London EC4V 5ER, UK

Website

www.im.natixis.com

Contact email

londoninstitgroup@ngam.natixis.com

Contact telephone

+44 20 3405 2196

Founding year

1984

Description of organisation

With assets under management of €934 billion, Natixis Investment Managers is ranked among the world's largest asset management firms. Natixis Investment

Managers has more than 20 affiliated investment managers in the Americas, Europe and Asia. These affiliated investment managers are supported by a global distribution network.

Total assets under management (as at 31 December 2019) - €m

934,078

Assets under management by asset class (as at 31 December 2019) - €m

Fixed Income	471,700.00
Equity	329,300.00
Property	55,900.00
Cash/Deposit	45,900.00
Other	31,277.70

Assets under management by client type (as at 31 December 2019) - €m

Life Insurance	212,000.00
Non Life Insurance	32,000.00
Other Clients	217,977.70
Pension Funds	130,000.00
Private Clients	62,100.00
Retail	280,000.00

Assets under management by client domicile (as at 31 December 2019) - €m

US	399,816.00
UK	20,468.00
Europe ex-UK	442,703.00
Middle East	8,991.00
Pacific ex-Japan	30,953.00
Japan	13,147.00
Other	17,999.70

Staff analysis (as at 31 December 2019)

Portfolio Managers	690
Research Analysts	308
Dealing	77
Marketing	850
Investment Administration/Legal/Compliance/Operations	1443
Total Investment Prof Staff	1,222
Total Non-Investment Prof Staff	2,715

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	56
North America	453
Europe	680
Pacific ex-Japan	20
Other	13.00

ESG

Signatory to PRI: Yes

NAVILLIER AND ASSOCIATES

Primary address

1 E. Liberty, Suite 504, Reno, NV 89501, USA

Website

www.navellier.com

Contact email

nancys@navellier.com

Contact telephone

7753439903

Founding year

1987

Total assets under management (as at 31 December 2019) - \$m

1,498

Assets under management by asset class (as at 31 December 2019) - \$m

Equity 1,498.19

Assets under management by client type (as at 31 December 2019) - \$m

Other Clients 1,273.47

Other Mutual 87.02

Retail 137.70

Assets under management by client domicile (as at 31 December 2019) - \$m

US 1,498.19

NEWFLEET ASSET MANAGEMENT, LLC ("NEWFLEET")**Primary address**

One Financial Plaza, Hartford, CT 06103, USA

Website

www.newfleet.com

Contact telephone

1-877-332-8172

Founding year

1989

Description of organisation

Newfleet Asset Management, LLC ("Newfleet") is a Hartford, Connecticut-based multi-sector fixed income manager with over two decades of multi-sector fixed income investment experience. Newfleet has \$10.7 billion in assets under management, \$7.0 billion of which is invested in multi-sector fixed income strategies, as of September 30, 2019. All 35 of Newfleet's team members are located on the 20th floor of One Financial Plaza, Hartford, CT 06103.

Total assets under management (as at 31 December 2019) - \$m

10,612

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income 10,612.00

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments 7.40

Other Clients 925.50

Other Mutual 9,679.10

Assets under management by client domicile (as at 31 December 2019) - \$m

US 10,612.00

Staff analysis (as at 31 December 2019)

Portfolio Managers 10

Research Analysts 13

Dealing 3

Marketing 2

Investment Administration/Legal/

Compliance/Operations 7

Total Investment Prof Staff 26

Total Non-Investment Prof Staff 9

NEWTON INVESTMENT MANAGEMENT**Primary address**

BNY Mellon Centre, 160 Queen Victoria Street, London EC4V 4LA, UK

Website

www.newtonim.com

Contact email

Ross.Byron-Scott@newtonim.com

Contact telephone

020 7163 9000

Founding year

1978

Description of organisation

Newton is a global investment management firm, founded in 1978 and owned by BNY Mellon. Investing with purpose, we work with our clients to deliver their desired investment outcomes using our responsible, theme-based approach, fundamental research and deep industry experience.

Total assets under management (as at 31 December 2019) - \$m

65,968

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income 7,639.90

Equity 30,727.70

Cash/Deposit 7.90

Other 27,592.20

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments 3,963.80

Other Clients 5,711.90

Pension Funds 7,677.90

Retail 48,614.20

Assets under management by client domicile (as at 31 December 2019) - \$m

US 8,304.40

UK 44,105.90

Europe ex-UK 9,376.50

Pacific ex-Japan 2,543.30

Japan 19.60

Other 1,618.00

Staff analysis (as at 31 December 2019)

Portfolio Managers 33

Research Analysts 32

Dealing 8

Marketing 16

Investment Administration/Legal/

Compliance/Operations 24

Total Investment Prof Staff 66

Total Non-Investment Prof Staff 274

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK 66

ESG

Signatory to PRI: Yes

NINETY ONE (PREVIOUSLY INVESTEC ASSET MANAGEMENT)**Primary address**

55 Gresham Street, London EC2V 7EL, UK

Website

www.ninetyone.com

Contact email

ConsultantDatabases@ninetyone.com

Contact telephone

+44 (0)20 3938 2000

Founding year

1991

Description of organisation

Ninety One (previously called Investec Asset Management) is an independent global asset manager, offering organically-developed active investment capabilities.

Established in South Africa as Investec Asset Management, the firm started offering domestic investments in an emerging market. In 2020, almost three decades of organic growth later, the firm demerged from Investec Group and became Ninety One.

Today Ninety One offers distinctive active strategies across equities, fixed income, multi-asset and alternatives to institutions, advisers and individual investors around the world.

Total assets under management (as at 31 December 2019) - £m

126,085

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	34,436.40
Equity	57,795.80
Other	33,852.70

Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	2,331.60
Life Insurance	6,181.60
Non Life Insurance	4,633.00
Other Clients	29,003.60
Other Mutual	10,116.40
Pension Funds	33,570.00
Private Clients	22.10
Retail	40,226.70

Assets under management by client domicile (as at 31 December 2019) - £m

US	6,350.67
UK	25,590.00
Europe ex-UK	33,014.30
Middle East	8,650.30
Pacific ex-Japan	9,386.70
Japan	111.10
Other	42,981.80

ESG

Signatory to PRI: Yes

NIPPON VALUE INVESTORS KK

Primary address

Marunouchi Trust Tower North, 18th Fl, 1-8-1 Marunouchi, Chiyoda-ku, Tokyo, Japan 100-0005

Website

www.nippon-value.com

Contact email

y.matsuoka@nippon-value.com

Founding year

2005

Description of organisation

Nippon Value Investors KK ("NVI") is an employee-owned asset management company headquartered in Tokyo, Japan to specialise in Japanese equity investment management valued on its disciplined value philosophy and pure bottom-up approach since 2006.

Total assets under management (as at 31 December 2019) - \$m

1,831

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	1,831.00
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	294.50
Life Insurance	2.10

Other Clients	1,281.10
Pension Funds	14.90
Private Clients	238.50

Assets under management by client domicile (as at 31 December 2019) - \$m

US	494.20
UK	636.40
Europe ex-UK	641.30
Pacific ex-Japan	36.80
Japan	11.00
Other	11.40

Staff analysis (as at 31 December 2019)

Combined Manager/Analysts	6
Dealing	1
Marketing	1
Investment Administration/Legal/Compliance/Operations	7
Total Investment Prof Staff	6
Total Non-Investment Prof Staff	9

Geographical breakdown of Investment Professionals (as at 31 December 2019)

Japan	6
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ESG

Signatory to PRI: Yes

NN INVESTMENT PARTNERS HOLDINGS N.V.

Website

www.nnip.com

Contact email

NNIP.RFP.Services@NNIP.com

Founding year

1994

Total assets under management (as at 31 December 2019) - €m

276,274

Assets under management by asset class (as at 31 December 2019) - €m

Fixed Income	212,501.60
Equity	24,579.10
Other	39,193.00

Assets under management by client type (as at 31 December 2019) - €m

Charity/Endowments	844.30
Non Life Insurance	201,822.20
Other Clients	72,002.90
Private Clients	1,604.30

Assets under management by client domicile (as at 31 December 2019) - €m

US	94.80
UK	1,364.90
Europe ex-UK	247,672.60
Pacific ex-Japan	6,086.80
Japan	20,299.90

Other	754.70
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Staff analysis (as at 31 December 2019)

Portfolio Managers	135
Research Analysts	87
Dealing	17
Marketing	205
Investment Administration/Legal/Compliance/Operations	510
Total Investment Prof Staff	293
Total Non-Investment Prof Staff	661

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	4
North America	10
Europe	262
Japan	8
Pacific ex-Japan	9

ESG

Signatory to PRI: Yes

NOMURA ASSET MANAGEMENT

Primary address

1 Angel Lane, London EC4R 3AB, UK

Website

www.nomura-asset.co.uk

Contact email

info@nomura-asset.co.uk

Contact telephone

+44 20 7521 3333

Founding year

1959

Total assets under management (as at 31 December 2019) - \$m

494,297

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	166,560.10
Equity	258,808.50
Other	68,928.20

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	1,127.30
Life Insurance	1,749.60
Non Life Insurance	6,996.70
Other Clients	9,515.50
Other Mutual	35,793.20
Pension Funds	104,403.60
Private Clients	312.30
Retail	334,398.50

Assets under management by client domicile (as at 31 December 2019) - \$m

US	8,916.90
UK	2,541.90

Europe ex-UK	29,122.20
Middle East	3,213.00
Pacific ex-Japan	11,631.60
Japan	435,030.60
Other	3,840.50

Staff analysis (as at 31 December 2019)

Research Analysts	99
Combined Manager/Analysts	237
Dealing	49
Marketing	263
Total Investment Prof Staff	648
Total Non-Investment Prof Staff	685

ESG

Signatory to PRI: Yes

NORDEA ASSET MANAGEMENT

Primary address

6th Floor, 5 Aldermanbury Square, London EC2V 7AZ, UK

Website

www.nordea.co.uk

Contact email

stephen.hearle@nordea.com

Contact telephone

+44 203 967 9181

Founding year

1989

Description of organisation

Nordea Asset Management ("NAM") is an organisational division of the Nordea Group. NAM is structured mainly around the two legal companies Nordea Investment Management AB ("NIM AB") and Nordea Investment Funds S.A. ("NIF SA", the fund management company of Luxembourg-domiciled funds).

Total assets under management (as at 31 December 2019) - €m

234,195

Assets under management by asset class (as at 31 December 2019) - €m

Fixed Income	77,924.20
Equity	52,445.20
Other	103,825.30

Assets under management by client type (as at 31 December 2019) - €m

Charity/Endowments	3,500.60
Life Insurance	29,357.80
Non Life Insurance	8,012.10
Other Clients	17,474.70
Pension Funds	27,008.20
Private Clients	11,045.60
Retail	137,795.90

Assets under management by client domicile (as at 31 December 2019) - €m

US	2,606.70
UK	4,217.10
Europe ex-UK	227,371.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	86
Research Analysts	49
Dealing	29
Marketing	22
Investment Administration/Legal/Compliance/Operations	634
Total Investment Prof Staff	164
Total Non-Investment Prof Staff	656

Geographical breakdown of Investment Professionals (as at 31 December 2019)

Europe	208
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ESG

Signatory to PRI: Yes

NORTHERN TRUST ASSET MANAGEMENT

Website

www.northerntrust.com

Contact email

Consultant_Database_Team@ntrs.com

Founding year

1889

Total assets under management (as at 31 December 2019) - \$m

1,016,610

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	160,157.80
Equity	618,170.50
Cash/Deposit	238,077.00
Other	205.00

Assets under management by client type (as at 31 December 2019) - \$m

Other Clients	233,924.50
Pension Funds	782,685.90

Assets under management by client domicile (as at 31 December 2019) - \$m

US	850,244.50
UK	814.80
Europe ex-UK	85,979.40
Middle East	38,401.00
Pacific ex-Japan	39,307.30
Japan	488.00
Other	1,375.40

Staff analysis (as at 31 December 2019)

Portfolio Managers	86
Research Analysts	129
Dealing	14
Marketing	49

Investment Administration/Legal/Compliance/Operations	609
Total Investment Prof Staff	229
Total Non-Investment Prof Staff	658

ESG

Signatory to PRI: Yes

NS PARTNERS LTD

Primary address

1 Knightsbridge Green, London SW1X 7QA, UK

Website

www.ns-partners.co.uk

Contact email

more_info@cclgroup.com

Contact telephone

+44 20 3535 8100

Founding year

1988

Total assets under management (as at 31 December 2019) - \$m

2,539

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	2,538.50
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	20.30
Other Clients	2,275.50
Pension Funds	233.20
Private Clients	9.50

Assets under management by client domicile (as at 31 December 2019) - \$m

US	646.10
Europe ex-UK	15.60
Other	1,876.80

ESG

Signatory to PRI: Yes



OAKTREE CAPITAL MANAGEMENT (UK) LLP**Primary address**

Oaktree Capital Management (UK) LLP, Verde,
10 Bressenden Place, London SW1E 5DH, UK

Website

www.oaktreecapital.com

Contact email

cslondon@oaktreecapital.com

Contact telephone

+44 20 7201-4600

Founding year

1995

Description of organisation

Oaktree Capital Management is a leading global alternative investment management firm with expertise in credit strategies. The firm was formed in 1995 by a group of individuals who had been investing together since the mid-1980s in high yield bonds, convertible securities, distressed debt, real estate, control investments and listed equities.

Total assets under management (as at 31 December 2019) - \$m

124,710

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	16,714.00
Equity	6,977.00
Other	101,019.00

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	5,433.00
Life Insurance	11,782.00
Other Clients	30,677.00
Pension Funds	30,455.00
Retail	16,573.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	60,630.00
UK	3,790.00
Europe ex-UK	9,778.00
Middle East	2,829.00
Pacific ex-Japan	12,007.00
Japan	1,205.00
Other	4,681.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	39
Research Analysts	282
Marketing	100
Investment Administration/Legal/Compliance/Operations	51
Total Investment Prof Staff	332
Total Non-Investment Prof Staff	693

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	66
North America	225
Europe	10
Japan	1
Pacific ex-Japan	30

ESG

Signatory to PRI: Yes

OCEAN DIAL ASSET MANAGEMENT**Primary address**

13/14 Buckingham Street, London WC2N
6DF, UK

Website

www.oceandial.com

Contact telephone

+44 207 068 9872

Founding year

2010

Total assets under management (as at 31 December 2019) - £m

220

OCTAGON CREDIT INVESTORS LLC**Website**

www.octagoncredit.com

Contact email

octagon@evestment.com

Founding year

1994

Total assets under management (as at 31 December 2019) - \$m

25,535

Staff analysis (as at 31 December 2019)

Portfolio Managers	4
Research Analysts	21
Dealing	4
Marketing	7
Investment Administration/Legal/Compliance/Operations	31
Total Investment Prof Staff	31
Total Non-Investment Prof Staff	38

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	31
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ODEY ASSET MANAGEMENT**Primary address**

12 Upper Grosvenor Street, London W1K 2ND,
UK

Website

www.odey.com

Contact email

info@odey.com

Contact telephone

+44 20 7208 1400

Founding year

1991

Total assets under management (as at 31 December 2019) - \$m

4,072

OPTIS INVESTMENT MANAGEMENT**Website**

www.optisim.co.uk

Contact email

hannah@optiscapital.com

Founding year

2008

Total assets under management (as at 31 December 2019) - \$m

97,802,711

OSMOSIS INVESTMENT MANAGEMENT**Website**

www.osmosisim.com

Contact email

info@osmosisim.com

Founding year

2009

Total assets under management (as at 31 December 2019) - \$m

1,388

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	1,387.90
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Staff analysis (as at 31 December 2019)

Portfolio Managers	4
Research Analysts	7
Marketing	6
Investment Administration/Legal/Compliance/Operations	7
Total Investment Prof Staff	13
Total Non-Investment Prof Staff	11

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK 13

ESG

Signatory to PRI: Yes

OSTRUM ASSET MANAGEMENT (NATIXIS INVESTMENT MANAGERS)

Website

www.ostrum.com

Founding year

1984

Total assets under management (as at 31 December 2019) - £m

232,230

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	186,716.50
Equity	8,732.70
Cash/Deposit	26,194.60
Other	10,586.40

Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	12.60
Life Insurance	177,513.30
Non Life Insurance	3,421.90
Other Clients	36,412.50
Pension Funds	13,004.60
Retail	1,865.30

Assets under management by client domicile (as at 31 December 2019) - £m

US	3.20
UK	1.40
Europe ex-UK	230,735.10
Middle East	4.20
Pacific ex-Japan	425.90
Japan	1,059.40
Other	1.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	86
Research Analysts	40
Marketing	22
Investment Administration/Legal/Compliance/Operations	202
Total Investment Prof Staff	127
Total Non-Investment Prof Staff	223

OWNERSHIP CAPITAL

Website

www.ownershipcapital.com

Founding year

2012

Description of organisation

Ownership Capital is a long-only global equity manager based in Amsterdam, the Netherlands.

Total assets under management (as at 31 December 2019) - £m

2,229

Assets under management by asset class (as at 31 December 2019) - £m

Equity	2,229.00
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Staff analysis (as at 31 December 2019)

Portfolio Managers	5
Research Analysts	6
Investment Administration/Legal/Compliance/Operations	9
Total Investment Prof Staff	11
Total Non-Investment Prof Staff	2

ESG

Signatory to PRI: Yes



PA CAPITAL, LLC

Website

www.pacapital.com

Contact email

emarcks@pacapital.com

Founding year

1997

Total assets under management (as at 31 December 2019) - \$m

5,429

Assets under management by asset class (as at 31 December 2019) - \$m

Other	5,429.46
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PALISADES INVESTMENT PARTNERS, LLC

Website

www.palpartners.com

Contact email

qstills@palpartners.com

Founding year

2003

Total assets under management (as at 31 December 2019) - \$m

45

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	44.90
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Assets under management by client domicile (as at 31 December 2019) - \$m

US	44.90
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PANAGORA ASSET MANAGEMENT, INC.

Primary address

One International Place, 24th Floor, Boston, MA 02110

Website

www.panagora.com

Contact email

Imahoney@panagora.com

Contact telephone

617-439-6300

Founding year

1989

Description of organisation

PanAgora has offered investment management services to institutional clients across the globe since 1989. Offerings include both absolute and relative return strategies within Risk Premia, Alternative, and Active Equity disciplines. PanAgora's Multi-Asset capabilities include absolute return and alternative beta strategies designed to generate more stable returns and greater downside protection by capturing both Market Risk premia (Risk Parity) and Factor Risk premia.

Total assets under management (as at 31 December 2019) - \$m

43,532

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	136.60
Equity	31,349.10
Other	12,046.70

Assets under management by client type (as at 31 December 2019) - \$m

Other Clients	7,584.80
Pension Funds	35,947.60

Assets under management by client domicile (as at 31 December 2019) - \$m

US	16,132.40
Europe ex-UK	9,136.30
Middle East	7,839.90
Pacific ex-Japan	5,822.80
Japan	1,084.80
Other	3,516.10

ESG

Signatory to PRI: Yes

PARADIGM CAPITAL MANAGEMENT

Primary address

9 Elk St, Albany, NY 12207, USA

Website

www.paradigmcapital.com

Contact email

cbetke@paradigmcapital.com

Contact telephone

518-431-3500

Founding year

1994

Description of organisation

Paradigm Capital Management, Inc. manages Small/SMID cap equity investment funds for institutions, endowments, and corporate pension funds, and also runs a growing family of mutual funds. Paradigm employs a value-oriented investment strategy to take advantage of inefficiencies in the equity markets.

Total assets under management (as at 31 December 2019) - \$m

1,204

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	1,204.00
--------	----------

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	33.00
Non Life Insurance	132.00
Other Clients	375.00
Other Mutual	155.00
Private Clients	509.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	1,204.00
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Staff analysis (as at 31 December 2019)

Portfolio Managers	3
Research Analysts	3

Marketing	3
Investment Administration/Legal/Compliance/Operations	1
Total Investment Prof Staff	6
Total Non-Investment Prof Staff	8

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	6
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PARNASSUS INVESTMENTS

Primary address

1 Market Street, Suite 1600, San Francisco, CA 94105, USA

Website

www.parnassus.com

Contact email

Scott.Liffick@parnassus.com

Contact telephone

(415) 778-2661

Founding year

1984

Total assets under management (as at 31 December 2019) - \$m

30,985

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	230.10
Equity	30,754.90

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	43.40
Other Clients	908.10
Other Mutual	28,792.70
Pension Funds	1,240.80

Assets under management by client domicile (as at 31 December 2019) - \$m

US	29,490.80
Europe ex-UK	1,494.20

Staff analysis (as at 31 December 2019)

Portfolio Managers	4
Research Analysts	8
Combined Manager/Analysts	5
Dealing	1
Marketing	12
Investment Administration/Legal/Compliance/Operations	26
Total Investment Prof Staff	18
Total Non-Investment Prof Staff	38

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	15
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ESG

Signatory to PRI: Yes



Partners Group
REALIZING POTENTIAL IN PRIVATE MARKETS

PARTNERS GROUP

Primary address

Partners Group AG, Zugerstrasse 57, Baar-Zug, Switzerland 6341

Website

www.partnersgroup.com

Contact email

partnersgroup@partnersgroup.com

Contact telephone

+41 41 784 60 00

Founding year

1996

Description of organisation

Partners Group is an independent global private markets investment manager with a major ownership by its employees. The firm serves over 900 institutional organisations worldwide who seek attractive investment performance through private markets for their more than 200 million beneficiaries. Partners Group has €79.8 billion in assets under management and employs more than 1,300 professionals across 20 offices.

Total assets under management (as at 31 December 2019) - CHFm

94,113

Assets under management by asset class (as at 31 December 2019) - CHFm

Equity	43,562.00
Private Property	14,765.00
Other	32,812.00

Staff analysis (as at 31 December 2019)

Total Investment Prof Staff	342
Total Non-Investment Prof Staff	1,128

ESG

Signatory to PRI: Yes

PAYDEN & RYGEL

Website

www.payden.com

Contact email

rfpgroup@payden.com

Founding year

1983

Total assets under management (as at 31 December 2019) - \$m

119,100

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	117,071.00
Equity	2,029.00

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	9,477.00
Non Life Insurance	17,950.60
Other Clients	49,741.60
Other Mutual	10,332.40
Pension Funds	28,857.20
Private Clients	2,741.30

Assets under management by client domicile (as at 31 December 2019) - \$m

US	87,767.90
UK	6,122.00
Europe ex-UK	13,944.40
Middle East	1,520.30
Pacific ex-Japan	4,083.80
Other	5,661.60

Staff analysis (as at 31 December 2019)

Portfolio Managers	33
Research Analysts	37
Dealing	34
Marketing	21
Investment Administration/Legal/Compliance/Operations	125
Total Investment Prof Staff	106
Total Non-Investment Prof Staff	146

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	16
North America	103
Europe	4

ESG

Signatory to PRI: Yes

PERKINS INVESTMENT MANAGEMENT LLC**Website**

www.perkinsinvestmentmanagement.com

Founding year

1980

Total assets under management (as at 31 December 2019) - \$m

11,861

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	11,788.00
Other	72.50

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	29.20
Other Clients	2,766.30
Pension Funds	1,152.80
Retail	7,912.10

Assets under management by client domicile (as at 31 December 2019) - \$m

US	10,636.60
Europe ex-UK	388.30
Middle East	43.70
Pacific ex-Japan	364.80
Japan	100.80
Other	326.20

Staff analysis (as at 31 December 2019)

Portfolio Managers	4
Research Analysts	11
Investment Administration/Legal/Compliance/Operations	4
Total Investment Prof Staff	17
Total Non-Investment Prof Staff	5

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	17
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ESG

Signatory to PRI: Yes

PGIM FIXED INCOME**Primary address**

Grand Buildings, 1-3 Strand, Trafalgar Square, London WC2N 5HR, UK

Website

www.pgimfixedincome.com

Contact email

kunal.patel@pgim.com

Contact telephone

(0)207 766 2484

Founding year

1875

Description of organisation

PGIM Fixed Income is a global asset manager offering active solutions across all fixed income markets. The company has offices in Newark, New Jersey, Amsterdam, London, Tokyo, Hong Kong and Singapore. As of March 31, 2020, the firm has \$868 billion of assets under management including \$348 billion in institutional assets, \$174 billion in retail assets,

and \$346 billion in proprietary assets. Over 800 institutional asset owners have entrusted PGIM Fixed Income with their assets.

Total assets under management (as at 31 December 2019) - \$m

850,539

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	850,539.20
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	1,289.00
Other Clients	645,715.50
Pension Funds	203,534.70

Assets under management by client domicile (as at 31 December 2019) - \$m

US	540,754.20
UK	19,856.70
Europe ex-UK	40,760.00
Middle East	16,708.10
Pacific ex-Japan	17,615.30
Japan	208,844.40
Other	6,000.50

Staff analysis (as at 31 December 2019)

Total Investment Prof Staff	301
Total Non-Investment Prof Staff	605

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	39
North America	235
Japan	23
Pacific ex-Japan	4

ESG

Signatory to PRI: Yes

PHILOSMITH**Primary address**

Six Landmark Square, Stamford, CT 06901, USA

Website

www.philosmith.com

Contact email

investors@philosmith.com

Contact telephone

203-348-7365

Founding year

1962

Description of organisation

PhiloSmith is a leading independent boutique investment firm focused on providing investment management services to corporations, financial institutions and

high-net-worth individuals across a broad spectrum of insurance and financial services businesses, including: Property and Casualty Insurers, Insurance Brokers, Life and Health Insurers, Multi-Line Insurers, Reinsurance, Specialised Finance, and Consumer Finance.

Total assets under management (as at 31 December 2019) - \$m

201

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	189.36
Cash/Deposit	11.91

Assets under management by client type (as at 31 December 2019) - \$m

Private Clients	201.27
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Assets under management by client domicile (as at 31 December 2019) - \$m

US	199.31
UK	1.96

PICTET ASSET MANAGEMENT

Primary address

Moor House, Level 11, 120 London Wall,
London EC2Y 5ET, UK

Website

www.am.pictet

Contact email

CDB_LDN@pictet.com

Contact telephone

00 44 207 847 5000

Founding year

1980

Description of organisation

Pictet Asset Management provides specialist investment management services through segregated accounts and investment funds to some of the world's largest pension funds, financial institutions, sovereign wealth funds, intermediaries and their clients.

Our aim is to be the investment partner of choice to our clients; giving them our undivided attention, offering pioneering strategies and being committed to excellence.

Total assets under management (as at 31 December 2019) - £m

157,285

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	47,737.78
Equity	81,923.57
Other	27,623.94

Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	3,729.31
Life Insurance	5,682.47
Non Life Insurance	1,762.83
Other Clients	67,700.52
Pension Funds	35,766.03
Private Clients	42,644.12

Assets under management by client domicile (as at 31 December 2019) - £m

US	2,529.75
UK	4,550.76
Europe ex-UK	116,971.42
Middle East	3,924.10
Pacific ex-Japan	4,146.39
Japan	17,105.44
Other	8,057.43

Staff analysis (as at 31 December 2019)

Portfolio Managers	174
Research Analysts	105
Dealing	34
Marketing	115
Investment Administration/Legal/Compliance/Operations	562
Total Investment Prof Staff	371
Total Non-Investment Prof Staff	619

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	128
Europe	199
Japan	14
Pacific ex-Japan	30

ESG

Signatory to PRI: Yes

PINEBRIDGE INVESTMENTS

Primary address

65 East 55th Street, New York, NY 10022,
USA

Website

www.pinebridge.com

Founding year

1996

Description of organisation

PineBridge Investments is a private, global asset manager focused on active, high-conviction investing. We draw on the collective power of our experts in each discipline, market, and region of the world through an open culture of collaboration designed to identify the best ideas. Our mission is to exceed clients' expectations on every level, every day. As of 31 March 2020, the firm managed US\$96.2 billion across global asset classes for sophisticated investors around the world.

Total assets under management (as at 31 December 2019) - \$m

101,285

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	64,311.50
Equity	25,502.50
Other	11,471.20

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	191.20
Life Insurance	32,028.90
Non Life Insurance	8,228.20
Other Clients	49,133.30
Pension Funds	11,470.00
Private Clients	233.50

Assets under management by client domicile (as at 31 December 2019) - \$m

US	27,145.70
UK	4,914.00
Europe ex-UK	11,174.30
Middle East	553.30
Pacific ex-Japan	50,528.70
Japan	5,346.80
Other	1,622.50

Staff analysis (as at 31 December 2019)

Portfolio Managers	74
Research Analysts	96
Dealing	16
Marketing	34
Investment Administration/Legal/Compliance/Operations	460
Total Investment Prof Staff	202
Total Non-Investment Prof Staff	478

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	24
North America	107
Europe	1
Japan	11
Pacific ex-Japan	56
Other	3.00

ESG

Signatory to PRI: Yes

PLUSCIOS MANAGEMENT LLC

Website

www.pluscios.com

Contact email

kchesney@pluscios.com

Founding year

2006

Total assets under management (as at 31 December 2019) - \$m

307

POLAR CAPITAL

Primary address

16 Palace Street, London SW1E5JD, UK

Website

www.polarcapital.co.uk

Contact email

investor-relations@polarcapital.co.uk

Contact telephone

0207 227 2721

Founding year

2001

Description of organisation

Polar Capital is a specialist, investment-led, active fund manager with a collegiate and meritocratic culture where capacity of investment strategies is managed to enhance and protect performance. Since its foundation in 2001, it has grown steadily and currently has 12 autonomous investment teams managing specialist, active and capacity-constrained portfolios, with combined AuM of £14.3billion (as at 30.09.2019).

Total assets under management (as at 31 December 2019) - £m

14,221

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	891.00
Equity	13,331.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	21
Research Analysts	32
Marketing	25
Investment Administration/Legal/Compliance/Operations	39
Total Investment Prof Staff	53
Total Non-Investment Prof Staff	89

ESG

Signatory to PRI: Yes

POLEN CAPITAL MANAGEMENT

Website

www.polencapital.com

Contact email

rfp@polencapital.com

Founding year

1979

Total assets under management (as at 31 December 2019) - \$m

34,784

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	34,784.40
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	819.70
Non Life Insurance	51.10
Other Clients	24,953.50
Other Mutual	5,305.40
Pension Funds	2,255.90
Private Clients	1,398.80

Assets under management by client domicile (as at 31 December 2019) - \$m

US	31,465.80
UK	0.90
Europe ex-UK	2.50
Other	818.30

Staff analysis (as at 31 December 2019)

Portfolio Managers	10
Research Analysts	7
Dealing	2
Marketing	29
Investment Administration/Legal/Compliance/Operations	36
Total Investment Prof Staff	17
Total Non-Investment Prof Staff	67

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	1
North America	16

ESG

Signatory to PRI: Yes

POLUNIN CAPITAL PARTNERS LIMITED

Website

polunin.co.uk

Contact email

clients@polunin.co.uk

Founding year

2001

Total assets under management (as at 31 December 2019) - £m

4,999

Assets under management by asset class (as at 31 December 2019) - £m

Equity	4,998.72
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PORTLAND HILL ASSET MANAGEMENT

Website

www.portlandhill.com

Contact email

victoria.monk@portlandhill.com

Founding year

2011

Description of organisation

Portland Hill is a London-based Investment Management firm founded in 2011. Portland Hill runs a fundamental catalyst-driven strategy with a bottom-up approach and targets a diversified portfolio of investment ideas to generate alpha on both the long and short sides. We manage Cayman-based offshore and Luxembourg-based Ucits funds, as well as dedicated managed accounts.

Total assets under management (as at 31 December 2019) - \$m

636

Staff analysis (as at 31 December 2019)

Portfolio Managers	1
Total Investment Prof Staff	8
Total Non-Investment Prof Staff	17

PRESERVER PARTNERS, LLC

Primary address

8700 Trail Lake Drive, Suite 105, Memphis, TN 38125, USA

Website

www.preserverpartners.com

Contact email

dpointer@preserverpartners.com

Contact telephone

901-755-4737

Founding year

2009

Total assets under management (as at 31 December 2019) - \$m

150

Assets under management by asset class (as at 31 December 2019) - \$m

Other	149.70
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	20.20
Other Clients	81.00
Pension Funds	48.50

Assets under management by client domicile (as at 31 December 2019) - \$m

US	149.70
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Staff analysis (as at 31 December 2019)

Portfolio Managers	1
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Research Analysts	2
Marketing	1
Investment Administration/Legal/ Compliance/Operations	1
Total Investment Prof Staff	3
Total Non-Investment Prof Staff	2

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	5
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PRESTIGE FUNDS

Primary address

36 Spital Square, London E1 6DY, UK

Website

www.prestigefunds.com

Contact email

info@prestigefunds.com

Contact telephone

+44 (0) 203 178 4055

Founding year

2007

Description of organisation

Founded in 2007, Prestige consists of several companies under common ownership and control. The objective of this enterprise is to provide professional, innovative financial products and services to a diverse international client base and to deliver consistent, positive investment returns.

Prestige is also committed to continuously improving all areas of its business while empowering and developing its diverse team of professionals – many of whom come from senior positions within banking, finance and investment management.

Total assets under management (as at 31 December 2019) - £m

2,200

Staff analysis (as at 31 December 2019)

Portfolio Managers	2
Research Analysts	3
Marketing	2

Geographical breakdown of Investment Professionals (as at 31 December 2019)

Europe	7
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PRINCIPAL GLOBAL INVESTORS

Primary address

1 Wood Street, London EC2V 7JB, UK

Website

www.principalglobal.com

Contact email

taylor.adrian@principal.com

Contact telephone

+44 20 7710 0220

Founding year

1998

Description of organisation

Principal Global Investors is a global, multi-boutique investment management business providing a single point of access to a family of world-class investment boutiques with assets under management totaling just shy of \$460 billion.

Access to the independent knowledge of our boutiques allows us to create consistent, competitive and tailored investment options that support investors' need for income and yield. We do this by offering quality-focused alpha and beta strategies in both developed and emerging markets across the asset class spectrum.

Total assets under management (as at 31 December 2019) - \$m

476,487

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	209,481.30
Equity	175,499.30
Property	30,663.40
Private Property	56,908.40
Other	3,934.20

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	645.90
Life Insurance	31,777.70
Non Life Insurance	22,461.10
Other Clients	65,669.10
Other Mutual	97,683.90
Pension Funds	242,650.10
Retail	15,598.70

Assets under management by client domicile (as at 31 December 2019) - \$m

US	410,054.00
UK	4,328.00
Europe ex-UK	13,527.50
Middle East	2,838.70
Pacific ex-Japan	15,587.30
Japan	4,945.20
Other	25,205.90

ESG

Signatory to PRI: Yes

PUTNAM INVESTMENTS

Primary address

100 Federal Street, Boston, MA 02110, USA

Website

www.putnam.com

Contact email

Lauren.Fitzgerald@putnam.com

Contact telephone

617-292-1000

Founding year

1937

Description of organisation

Putnam provides investment services across a range of equity, fixed income, absolute return, and alternative strategies. We are a sophisticated active manager that emphasises innovative investment solutions and the pursuit of efficient returns, and our broad range of clients includes endowments, foundations, retirement plans, and sovereign entities across the globe. With offices in Boston, London, Frankfurt, Tokyo, Singapore, and Sydney, our global presence is united by our collaborative investment culture and singular focus on our clients' objectives.

Total assets under management (as at 31 December 2019) - £m

137,154

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	57,816.00
Equity	36,701.90
Other	42,636.40

Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	31.60
Non Life Insurance	696.40
Other Clients	54,731.60
Pension Funds	12,841.70
Retail	68,853.00

Assets under management by client domicile (as at 31 December 2019) - £m

US	85,868.60
UK	680.00
Europe ex-UK	3,185.20
Middle East	13.90
Pacific ex-Japan	1,854.00
Japan	10,206.50
Other	4,228.20

Staff analysis (as at 31 December 2019)

Portfolio Managers	36
Research Analysts	70

Dealing	18
Marketing	35
Investment Administration/Legal/ Compliance/Operations	1196
Total Investment Prof Staff	172
Total Non-Investment Prof Staff	1,183

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	9
North America	158
Pacific ex-Japan	5

ESG

Signatory to PRI: Yes

PYRFORD INTERNATIONAL LTD

Primary address

95 Wigmore Street, London W1U 1FD, UK

Website

www.pyrford.co.uk

Contact email

information@pyrford.co.uk

Contact telephone

0044 207 495 4641

Founding year

1987

Description of organisation

Pyrford is a provider of global asset management services for collective investment funds, investment management companies, local and state bodies, pension schemes, endowments and foundations. The company has been operating from its London, UK base since 1987.

Total assets under management (as at 31 December 2019) - \$m

11,985

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	3,177.20
Equity	8,267.20
Cash/Deposit	540.50

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	341.90
Life Insurance	71.50
Other Clients	2,478.90
Other Mutual	838.60
Pension Funds	7,695.60
Private Clients	3.10
Retail	555.30

Assets under management by client domicile (as at 31 December 2019) - \$m

US	3,977.40
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UK	4,208.30
Europe ex-UK	516.90
Pacific ex-Japan	435.70
Other	2,846.60

Staff analysis (as at 31 December 2019)

Portfolio Managers	11
Research Analysts	3
Dealing	2
Marketing	5
Investment Administration/Legal/ Compliance/Operations	17
Total Investment Prof Staff	14
Total Non-Investment Prof Staff	24

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	14
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ESG

Signatory to PRI: Yes

PZENA INVESTMENT MANAGEMENT, LLC

Primary address

34-37 Liverpool Street, London EC2M 7PP, UK

Website

www.pzena.com

Contact email

requests@pzena.com

Contact telephone

+44 (0) 203 696 8254

Founding year

1995

Total assets under management (as at 31 December 2019) - \$m

41,238

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	41,190.80
Other	46.90

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	261.00
Other Clients	25,773.10
Pension Funds	14,712.20
Private Clients	14.90
Retail	476.50

Assets under management by client domicile (as at 31 December 2019) - \$m

US	27,001.30
UK	4,145.10
Europe ex-UK	2,952.80
Middle East	62.60
Pacific ex-Japan	3,737.70
Japan	372.50
Other	2,965.70

Staff analysis (as at 31 December 2019)

Research Analysts	15
Combined Manager/Analysts	12
Dealing	2
Marketing	30
Investment Administration/Legal/ Compliance/Operations	56
Total Investment Prof Staff	29
Total Non-Investment Prof Staff	86

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	29
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ESG

Signatory to PRI: Yes



QBERA CAPITAL

Website

www.qberacapital.com

Contact email

alex.norton@qberacapital.com

Founding year

2017

Description of organisation

Qbera Capital is an independent advisory and asset management firm, facilitating and providing debt and equity solutions for real economy assets and companies specialising in energy, resources, agricultural and renewables sectors.

QMA LLC

Primary address

2 Gateway Center, 6th Floor, McCarter Highway & Market Street, Newark, NJ 07102, USA

Website

www.qma.com

Contact email

contactus@qma.com

Contact telephone

1+866-748-0643

Founding year

1975

Total assets under management (as at 31 December 2019) - \$m

127,227

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	68,584.20
Other	58,642.80

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	348.30
Other Clients	38,073.90
Other Mutual	88,804.80

Assets under management by client domicile (as at 31 December 2019) - \$m

US	122,277.20
UK	884.40
Europe ex-UK	2,033.60
Pacific ex-Japan	925.30
Japan	1,106.50

Staff analysis (as at 31 December 2019)

Portfolio Managers	37
Research Analysts	28
Dealing	3
Marketing	16
Investment Administration/Legal/Compliance/Operations	159
Total Investment Prof Staff	74
Total Non-Investment Prof Staff	169

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	74
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ESG

Signatory to PRI: Yes

QMA WADHWANI LLP**Primary address**

9th Floor, Orion House, 5 Upper St Martin's Lane, London WC2H 9EA, UK

Website

www.qmaw.com

Contact email

contactus@qmaw.com

Contact telephone

+44 20 7663 3414

Founding year

2002

Description of organisation

QMA Wadhvani LLP (QMAW) is an alternative investment management company that specialises in systematic/quantitative macro investing.

Total assets under management (as at 31 December 2019) - \$m

884

Assets under management by asset class (as at 31 December 2019) - \$m

Other	884.40
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Staff analysis (as at 31 December 2019)

Portfolio Managers	7
Research Analysts	15
Total Non-Investment Prof Staff	16

**RAM ACTIVE INVESTMENT****Website**

www.ram-ai.com

Contact email

investor.relations@ram-ai.com

Founding year

2007

ESG

Signatory to PRI: Yes

RARE INFRASTRUCTURE LIMITED**Website**

www.RAREinfrastructure.com

Contact email

RAREreporting@RAREinfrastructure.com

Founding year

2006

Total assets under management (as at 31**December 2019) - €m**

4,403

Assets under management by asset class (as at 31 December 2019) - €m

Equity	4,403.20
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Assets under management by client type (as at 31 December 2019) - €m

Life Insurance	185.80
Other Mutual	715.40
Pension Funds	863.40
Retail	2,638.60

Assets under management by client domicile (as at 31 December 2019) - €m

US	966.00
UK	656.10
Europe ex-UK	601.60
Pacific ex-Japan	1,954.40
Other	225.10

Staff analysis (as at 31 December 2019)

Portfolio Managers	6
Research Analysts	7
Dealing	2
Investment Administration/Legal/Compliance/Operations	26
Total Investment Prof Staff	13
Total Non-Investment Prof Staff	28

Geographical breakdown of Investment Professionals (as at 31 December 2019)

Pacific ex-Japan	13
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ESG

Signatory to PRI: Yes

RATHBONE UNIT TRUST MANAGEMENT**Primary address**

8 Finsbury Circus, London EC2M 7AZ, UK

Website

www.rathbonefunds.com

Contact email

rutm@rathbones.com

Contact telephone

020 7399 0399

Founding year

1999

Total assets under management (as at 31 December 2019) - £m

7,400

ESG

Signatory to PRI: Yes



REAMS ASSET MANAGEMENT, A DIVISION OF SCOUT INVESTMENTS

Primary address

227 Washington Street, PO Box 727,
Columbus, IN 47202-0727, USA

Website

www.reamsasset.com

Contact email

info@reamsasset.com

Contact telephone

1.812.372.6606

Founding year

1981

Description of organisation

Reams Asset Management is a fixed income investment management firm whose mission is to provide high-quality investment expertise and unmatched client service. We apply our consistent investment process across a range of strategies, seeking to take advantage of volatility and react opportunistically to price and valuation dislocation in the bond market. Reams offers clients customised solutions that seek to maximise risk-adjusted total returns over a full market cycle and across a range of fixed income strategies.

Total assets under management (as at 31 December 2019) - \$m

19,241

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	19,240.50
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	1,736.10
Non Life Insurance	526.00
Other Clients	6,525.10
Other Mutual	2,011.30
Pension Funds	8,442.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	18,865.70
Europe ex-UK	374.80

Staff analysis (as at 31 December 2019)

Portfolio Managers	7
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Research Analysts	6
Investment Administration/Legal/Compliance/Operations	22
Total Investment Prof Staff	13
Total Non-Investment Prof Staff	22

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	13
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ESG

Signatory to PRI: Yes

REAVES ASSET MANAGEMENT

Website

www.reavesassetmanagement.com

Contact email

marketing@whreaves.com

Founding year

1961

Description of organisation

The cornerstone of the firm is our proprietary research. Reaves' team of analysts average over 20 years of industry experience. Reaves seeks to utilise this resource to create an informational advantage among a defined set of complex industry sectors.

Total assets under management (as at 31 December 2019) - \$m

3,301

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	3,300.57
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Assets under management by client domicile (as at 31 December 2019) - \$m

US	3,297.50
Other	3.07

Staff analysis (as at 31 December 2019)

Research Analysts	1
Combined Manager/Analysts	4
Dealing	2
Marketing	2
Investment Administration/Legal/Compliance/Operations	10
Total Investment Prof Staff	7
Total Non-Investment Prof Staff	12

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	7
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REDWOOD INVESTMENTS LLC

Primary address

One Gateway Center, Suite 802, Newton, MA
02458, USA

Website

www.redwoodinv.com

Contact email

info@redwoodinv.com

Contact telephone

617.467.3000

Founding year

2004

Description of organisation

Redwood Investments is an SEC-registered firm that began managing assets in 2004. Founders Jennifer K Silver and Michael J Mufson worked at Putnam Investments in the 1990s, where they built highly competitive growth track records. Their performance, disciplined investment process and team-oriented approach enabled them to build several multi-billion growth products.

Total assets under management (as at 31 December 2019) - \$m

1,982

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	0.10
Equity	1,982.10

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	47.30
Other Clients	724.40
Pension Funds	1,112.10
Retail	98.40

Assets under management by client domicile (as at 31 December 2019) - \$m

US	1,875.60
UK	106.70

Staff analysis (as at 31 December 2019)

Research Analysts	3
Combined Manager/Analysts	7
Dealing	2
Marketing	3
Investment Administration/Legal/Compliance/Operations	2
Total Investment Prof Staff	12
Total Non-Investment Prof Staff	5

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	12
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ESG

Signatory to PRI: Yes

RIVER AND MERCANTILE GROUP

Website

www.riverandmercantile.com

Contact email

enquiries@riverandmercantile.com

Founding year

2006

Description of organisation

River and Mercantile Group ("RMG") is an asset management and advisory business with a broad range of services, from consulting and advisory to fully delegated and fund management. The group focuses on providing outcome-orientated propositions and delivering investment growth to all their clients.

Total assets under management (as at 31 December 2019) - £m

6,122

Assets under management by client type (as at 31 December 2019) - £m

Other Clients	4,924.90
Pension Funds	1,197.20

Staff analysis (as at 31 December 2019)

Portfolio Managers	9
Research Analysts	46
Combined Manager/Analysts	55
Dealing	2
Marketing	3
Investment Administration/Legal/Compliance/Operations	32
Total Investment Prof Staff	105
Total Non-Investment Prof Staff	60

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	221
North America	39
Pacific ex-Japan	1

ESG

Signatory to PRI: Yes

ROBECO**Primary address**

Weena 850, Rotterdam, Netherlands 3014 DA

Website

www.robeco.com

Contact email

consultant.relations@robeco.com

Contact telephone

+31 10 2241224

Founding year

1929

Total assets under management (as at 31 December 2019) - €m

173,482

ESG

Signatory to PRI: Yes

ROYAL LONDON ASSET MANAGEMENT**Primary address**

55 Gracechurch Street, London EC3V 0RL, UK

Website

www.rlam.co.uk

Contact telephone

020 327 2500

Founding year

1988

Total assets under management (as at 31 December 2019) - £m

138,793

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	68,439.11
Equity	42,249.77
Property	7,992.23
Cash/Deposit	15,772.30
Other	4,339.30

Assets under management by client domicile (as at 31 December 2019) - £m

UK	138,792.70
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Staff analysis (as at 31 December 2019)

Portfolio Managers	56
Research Analysts	43
Dealing	6
Marketing	24
Total Investment Prof Staff	104
Total Non-Investment Prof Staff	163

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	104
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ESG

Signatory to PRI: Yes

RUFFER LLP**Primary address**

80 Victoria Street, London SW1E 5JL, UK

Website

www.ruffer.co.uk

Contact email

ruffer@ruffer.co.uk

Contact telephone

020 7963 8100

Founding year

1994

Total assets under management (as at 31 December 2019) - £m

19,907

Assets under management by asset class (as at 31 December 2019) - £m

Other	19,837.00
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Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	1,629.00
Other Clients	2,047.00
Pension Funds	9,115.00
Private Clients	5,455.00
Retail	1,591.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	46
Research Analysts	34
Dealing	5
Marketing	6
Investment Administration/Legal/Compliance/Operations	151
Total Investment Prof Staff	106

ESG

Signatory to PRI: Yes

RUSSELL INVESTMENTS**Website**

www.russellinvestments.com

Founding year

1936

Total assets under management (as at 31 December 2019) - £m

307,397

RVX ASSET MANAGEMENT LLC**Website**

rvx-am.com

Founding year

2015

Total assets under management (as at 31 December 2019) - \$m

299

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	61.00
Equity	101.70
Other	136.30

Assets under management by client type (as at 31 December 2019) - \$m

Other Clients	136.40
Other Mutual	7.20
Pension Funds	155.50

Assets under management by client domicile (as at 31 December 2019) - \$m

US	194.50
Other	104.50

Staff analysis (as at 31 December 2019)

Research Analysts	3
Combined Manager/Analysts	4
Marketing	1
Investment Administration/Legal/Compliance/Operations	5
Total Investment Prof Staff	8
Total Non-Investment Prof Staff	5

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	8
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RWC

Primary address

Verde 4th Floor, 10 Bressenden Place, London SW1E 5DH, UK

Website

www.rwcpartners.com

Contact email

invest@rwcpartners.com

Contact telephone

+44 20 7227 6000

Founding year

2000

Total assets under management (as at 31 December 2019) - \$m

20,674,118,454

ESG

Signatory to PRI: Yes



S. W. MITCHELL

Website

www.swmitchellcapital.com

Contact email

andrew@swmitchellcapital.com

Founding year

2005

Total assets under management (as at 31 December 2019) - \$m

1,169

SAGE ADVISORY SERVICES, LTD CO

Website

www.sageadvisory.com

Founding year

1996

Total assets under management (as at 31 December 2019) - \$m

14,621

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	12,671.00
Equity	351.00
Other	1,599.00

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	621.00
Life Insurance	2,703.00
Other Clients	5,344.00
Pension Funds	2,305.00
Retail	3,648.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	14,621.00
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Staff analysis (as at 31 December 2019)

Portfolio Managers	8
Research Analysts	8
Marketing	14
Investment Administration/Legal/Compliance/Operations	18
Total Investment Prof Staff	18
Total Non-Investment Prof Staff	30

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	18
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ESG

Signatory to PRI: Yes

SAGE CAPITAL

Primary address

Level 26, 10 Connell Street, Sydney, NSW 2000, Australia

Website

www.sagecap.com.au

Contact email

Enquiries@sagecap.com.au

Contact telephone

610730097629

Founding year

2019

Description of organisation

Sage Capital is a Sydney-based, employee owned long/short equities manager that was established in June 2019 by Sean Fenton, one of Australia's longest-standing long/short investors, whose track record and investment process spans the last 20 years. Sage run two long/short Australian equities strategies that aim to generate return and control risk through a balanced investment process that uniquely combines quantitative and fundamental analysis with a focus on risk control framework and style neutrality.

Total assets under management (as at 31 December 2019) - AUD \$m

15

Assets under management by asset class (as at 31 December 2019) - AUD \$m

Other	14.80
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ESG

Signatory to PRI: Yes

SAILINGSTONE CAPITAL PARTNERS LLC

Primary address

125 E Sir Francis Drake Blvd, Larkspur, CA 94939, USA

Website

sailingstonecapital.com

Contact telephone

415-429-5198

Founding year

2013

Total assets under management (as at 31 December 2019) - \$m

687

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	687.00
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SANLAM INVESTMENTS UK**Primary address**

24 Monument Street, Monument Place,
London EC3R 8AJ, UK

Website

www.sanlaminvestments.co.uk

Contact email

sienquiries@sanlam.co.uk

Contact telephone

020 3116 4000

Founding year

2006

Total assets under management (as at 31 December 2019) - £m

5,401

SCHRODER INVESTMENT MANAGEMENT LIMITED**Primary address**

1 London Wall Place, London EC2Y 5AU, UK

Website

www.schroders.com

Contact email

ben.hajder@schroders.com

Contact telephone

020 7658 6000

Founding year

1804

Total assets under management (as at 31 December 2019) - £m

500,192

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	92,649.00
Equity	178,691.00
Other	228,852.00

ESG

Signatory to PRI: Yes

SCIO CAPITAL LLP**Primary address**

30 Broadwick St, London W1F8JB, UK

Website

www.scio-capital.com

Contact email

tim.riggins@scio-capital.com

Contact telephone

0044 20 3941 5880

Founding year

2009

Description of organisation

SCIO is an independent London-based asset manager specialising in European asset-backed credit. Founded in 2009, SCIO provides investment opportunities to qualified investors, and has compiled an exceptional track record by targeting the underserved €5-20m loan market segment characterised by limited competition and high barriers to entry.

Total assets under management (as at 31 December 2019) - €m

70

Assets under management by asset class (as at 31 December 2019) - €m

Fixed Income	70.00
--------------	-------

Assets under management by client type (as at 31 December 2019) - €m

Charity/Endowments	2.00
Non Life Insurance	1.00
Pension Funds	9.00
Private Clients	58.00

Assets under management by client domicile (as at 31 December 2019) - €m

UK	9.00
Europe ex-UK	61.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	5
Marketing	1
Investment Administration/Legal/Compliance/Operations	1
Total Investment Prof Staff	5
Total Non-Investment Prof Staff	2

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	5
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ESG

Signatory to PRI: Yes

SCOR INVESTMENT PARTNERS SE**Primary address**

5, avenue Kléber, Paris cedex 16, France
75795

Website

www.scor-ip.com

Contact telephone

+33153646526

Founding year

2008

Total assets under management (as at 31 December 2019) - €m

14,967

ESG

Signatory to PRI: Yes

SCOUT INVESTMENTS**Primary address**

1201 Walnut Street, 21st Floor, Kansas City, MO
64106, USA

Website

www.scoutinv.com

Contact email

datainfo@scoutinv.com

Contact telephone

800.521.1195

Founding year

1981

Description of organisation

Scout Investments' independent equity investment teams take a selective approach, using rigorous research and analysis to seek out high-quality companies and patiently pursue long-term capital appreciation for clients. Our thoughtful approach to asset management extends to cultivating lasting partnerships with our clients.

Total assets under management (as at 31 December 2019) - \$m

5,923

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	5,923.20
--------	----------

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	144.30
Other Clients	1,379.50
Other Mutual	3,963.40
Pension Funds	386.50
Retail	49.50

Assets under management by client domicile (as at 31 December 2019) - \$m

US	5,923.20
----	----------

Staff analysis (as at 31 December 2019)

Portfolio Managers	15
Research Analysts	10
Dealing	2
Marketing	3
Investment Administration/Legal/Compliance/Operations	23

Total Investment Prof Staff	27
Total Non-Investment Prof Staff	31

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	27
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ESG

Signatory to PRI: Yes



SEILERN INVESTMENT MANAGEMENT

Primary address

15-16 Buckingham Street, London WC2N 6DU, UK

Website

www.seilernfunds.com

Contact email

cberthoux@seilernfunds.com

Contact telephone

+44 (0)20 7494 1996

Founding year

2003

Description of organisation

Seilern Investment Management is a London-based asset manager that provides quality growth investment strategies. For over 30 years, we have been focused on delivering superior returns to long-term investors, through a range of high-conviction, low-turnover equity portfolios, which are rigorously researched by our investment team. We aim to identify quality businesses that have a long-term sustainable advantage and predictable growth.

Total assets under management (as at 31 December 2019) - £m

1,350

Assets under management by asset class (as at 31 December 2019) - £m

Equity	1,350.00
--------	----------

Staff analysis (as at 31 December 2019)

Research Analysts	1
Combined Manager/Analysts	6
Dealing	1
Marketing	3
Investment Administration/Legal/Compliance/Operations	4
Total Investment Prof Staff	8
Total Non-Investment Prof Staff	8

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	16
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ESG

Signatory to PRI: Yes

SEIX INVESTMENT ADVISORS LLC

Primary address

One Maynard Drive, Suite 3200, Park Ridge, NJ 07656, USA

Website

www.seixadvisors.com

Contact email

rehrhart@seixadvisors.com

Contact telephone

201-391-0300

Founding year

1992

Total assets under management (as at 31 December 2019) - \$m

18,071

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	18,070.78
--------------	-----------

Assets under management by client domicile (as at 31 December 2019) - \$m

US	16,868.80
Europe ex-UK	515.10
Pacific ex-Japan	318.20
Other	368.70

SILK INVEST LIMITED

Primary address

68 Lombard Street, London EC3V 9LJ, UK

Website

www.silkinvest.com

Contact email

info@silkinvest.com

Contact telephone

+44 208 088 1040

Founding year

2008

ESG

Signatory to PRI: Yes

SKAGEN FUNDS

Primary address

22A St. James's Square, London SW1Y 4JH, UK

Website

www.skagenfunds.co.uk

Contact email

contact@skagenfunds.com

Contact telephone

0047 51 21 38 58

Founding year

1993

Description of organisation

SKAGEN AS (part of the Storebrand Group) is a boutique fund management company whose sole business is active long-only equity and fixed income fund management. The company was established in 1993 and is headquartered in Stavanger, Norway. We pursue a value-based, bottom-up, long-term investment approach with broad, unconstrained mandates and a strong focus on ESG.

Total assets under management (as at 31 December 2019) - £m

5,619

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	384.72
Equity	5,084.44
Other	149.87

Staff analysis (as at 31 December 2019)

Research Analysts	5
Combined Manager/Analysts	11
Marketing	36
Investment Administration/Legal/Compliance/Operations	9
Total Investment Prof Staff	16
Total Non-Investment Prof Staff	78

Geographical breakdown of Investment Professionals (as at 31 December 2019)

Europe	11
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ESG

Signatory to PRI: Yes

SLATER INVESTMENTS LIMITED

Primary address

Nicholas House, 3 Laurence Pountney Hill, London EC4R 0EU, UK

Website

www.slaterinvestments.com

IN FOCUS

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Investment Director, UK Consulting firm



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Portfolio Manager, Global Asset Manager



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Business Development Manager, UK Asset Manager



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To find out more - **Natasha Silva** (Natasha.silva@camradata.com) would be delighted to speak to you.

Contact email

ralphb@slaterinvestments.com

Contact telephone

+44 (0)20 7220 9460

Founding year

1994

Description of organisation

Slater Investments Limited is a private limited company founded in 1994 by Mark Slater and Ralph Baber to provide quality UK equity investment management services to high-net-worth individuals. Today, Slater Investments manages pooled funds and segregated portfolios for institutional investors, pension funds, charities and high-net-worth individuals. The company also manages a long-biased long/short equity hedge fund.

Total assets under management (as at 31 December 2019) - £m

855

Assets under management by asset class (as at 31 December 2019) - £m

Equity	855.40
--------	--------

Assets under management by client domicile (as at 31 December 2019) - £m

UK	855.40
----	--------

Staff analysis (as at 31 December 2019)

Portfolio Managers	2
Research Analysts	3
Dealing	1
Marketing	2
Investment Administration/Legal/Compliance/Operations	8
Total Investment Prof Staff	5
Total Non-Investment Prof Staff	11

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	5
----	---

ESG

Signatory to PRI: Yes

SMEAD CAPITAL MANAGEMENT**Primary address**

1001 Fourth Avenue, Suite 4305, Seattle, WA 98154, USA

Website

www.smeadcap.com

Contact email

info@smeadcap.com

Contact telephone

+1 (877) 701-2883

Founding year

2007

Total assets under management (as at 31 December 2019) - \$m

2,302

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	2,302.00
--------	----------

Assets under management by client type (as at 31 December 2019) - \$m

Other Clients	731.10
Other Mutual	1,520.20
Pension Funds	10.00
Private Clients	40.60

Assets under management by client domicile (as at 31 December 2019) - \$m

US	1,894.10
Europe ex-UK	100.80
Other	307.10

Staff analysis (as at 31 December 2019)

Portfolio Managers	3
Marketing	8
Investment Administration/Legal/Compliance/Operations	4
Total Investment Prof Staff	3
Total Non-Investment Prof Staff	12

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	3
---------------	---

SOUTH AFRICA ALPHA CAPITAL MANAGEMENT**Website**

www.sa-alpha.com

Contact email

info@sa-alpha.com

Founding year

2008

Total assets under management (as at 31 December 2019) - \$m

577

SOUTHEASTERN ASSET MANAGEMENT**Website**

www.southeasternasset.com

Founding year

1975

Total assets under management (as at 31 December 2019) - \$m

12,713

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	12,712.70
--------	-----------

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	344.10
Other Clients	2,294.30
Other Mutual	6,761.20
Pension Funds	2,619.00
Retail	694.10

Assets under management by client domicile (as at 31 December 2019) - \$m

US	9,775.80
UK	116.10
Europe ex-UK	278.30
Middle East	21.20
Pacific ex-Japan	1,093.50
Japan	188.30
Other	1,239.40

Staff analysis (as at 31 December 2019)

Research Analysts	14
Dealing	4
Investment Administration/Legal/Compliance/Operations	7
Total Investment Prof Staff	68

ESG

Signatory to PRI: Yes

SOUTHERNSUN ASSET MANAGEMENT**Website**

southernsunam.com

Contact email

clientservice@southernsunam.com

Founding year

1989

Description of organisation

SouthernSun was founded by Michael Cook (CEO/CIO) in January 1989. Mr Cook remains the CIO for all three of its strategies (US Small Cap, US SMID Cap, and Global Opportunities), leads the investment team and is supported by six investment professionals. SouthernSun is a research-driven investment management firm. They are absolute return-oriented, investing with a value approach and long-term perspective through disciplined, bottom-up, fundamental analysis and on-site research.

Total assets under management (as at 31 December 2019) - \$m

1,252

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	1,251.90
--------	----------

Assets under management by client type

(as at 31 December 2019) - \$m

Charity/Endowments	14.60
Other Clients	396.20
Other Mutual	237.50
Pension Funds	386.80
Private Clients	216.70

Assets under management by client domicile (as at 31 December 2019) - \$m

US	1,130.70
Pacific ex-Japan	121.20

Staff analysis (as at 31 December 2019)

Portfolio Managers	1
Research Analysts	6
Dealing	2
Marketing	5
Investment Administration/Legal/Compliance/Operations	11
Total Investment Prof Staff	7
Total Non-Investment Prof Staff	18

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	7
---------------	---

SPARINVEST HOLDINGS SE**Primary address**

28 Boulevard Royal, Luxembourg 2449

Website

www.sparinvest.eu

Contact email

mail@sparinvest.lu

Contact telephone

+3522627471

Founding year

1968

Total assets under management (as at 31 December 2019) - €m

13,252

Assets under management by asset class (as at 31 December 2019) - €m

Fixed Income	5,082.60
Equity	4,442.80
Other	3,726.20

Staff analysis (as at 31 December 2019)

Research Analysts	8
Combined Manager/Analysts	17
Dealing	3
Marketing	7
Investment Administration/Legal/Compliance/Operations	7
Total Investment Prof Staff	25
Total Non-Investment Prof Staff	96

Geographical breakdown of Investment Professionals (as at 31 December 2019)

Europe 25

ESG

Signatory to PRI: Yes

STATE STREET GLOBAL ADVISORS LIMITED**Primary address**

20 Churchill Place, Canary Wharf, London E14 5HJ, UK

Website

www.ssga.co.uk

Contact email

ConsultantRelationsLondon@ssga.com

Contact telephone

+44 (0)20 3395 1325

Founding year

1978

Total assets under management (as at 31 December 2019) - \$m

3,116,421

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	472,382.00
Equity	1,990,357.90
Property	40,132.50
Cash/Deposit	320,141.50
Other	293,407.50

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	103,308.00
Non Life Insurance	112,293.60
Other Clients	187,231.80
Other Mutual	107,916.30
Pension Funds	2,600,568.00
Private Clients	5,103.80

Assets under management by client domicile (as at 31 December 2019) - \$m

US	2,155,607.20
UK	115,332.40
Europe ex-UK	213,179.80
Middle East	109,657.50
Pacific ex-Japan	181,263.80
Japan	288,248.30
Other	53,132.40

ESG

Signatory to PRI: Yes

STENHAM ASSET MANAGEMENT INC**Primary address**

Kingsway House, Havilland Street, St. Peter Port, Guernsey GY1 2QE, UK

Website

www.stenhamassetmanagement.com

Contact email

client@stenhamassetmanagement.com

Contact telephone

441481716387

Founding year

1901

STEWART INVESTORS**Website**

www.stewartinvestors.com

Contact email

communications@stewartinvestors.com

Founding year

1988

Total assets under management (as at 31 December 2019) - £m

17,912

Assets under management by asset class (as at 31 December 2019) - £m

Equity 17,912.00

Assets under management by client domicile (as at 31 December 2019) - £m

US	1,311.00
UK	11,382.00
Europe ex-UK	3,065.00
Pacific ex-Japan	2,154.00

ESG

Signatory to PRI: Yes

STEYN CAPITAL MANAGEMENT (PTY) LTD**Primary address**

Klein D'Aria Estate, Verdi House, 97 Jip de Jager Drive, Bellville, Cape Town, South Africa 7530

Website

www.steyncapitalmanagement.com

Contact email

jamie@steyncapitalmanagement.com

Contact telephone

+27219132846

Founding year

2008

Description of organisation

Steyn Capital Management is a value-oriented investment manager, focused

on Africa, which manages approximately \$500 million in long-only and long/short South African equities as well as long-only pan-African and frontier equities. All portfolios are run with the same value-orientated philosophy and research-intensive methodology, and have been among the top performers in their respective categories since inception. Investors include European family offices, insurance companies, pension funds, multi-managers, high-net-worth individuals, fund of funds and the investment team.

Total assets under management (as at 31 December 2019) - \$m

772

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	772.00
--------	--------

Assets under management by client type (as at 31 December 2019) - \$m

Life Insurance	22.00
Other Clients	197.00
Other Mutual	164.00
Pension Funds	361.00
Private Clients	28.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	16.00
Europe ex-UK	131.00
Other	625.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	1
Research Analysts	8
Dealing	1
Investment Administration/Legal/Compliance/Operations	4
Total Investment Prof Staff	10
Total Non-Investment Prof Staff	5

Geographical breakdown of Investment Professionals (as at 31 December 2019)

Other	10.00
-------	-------

STONE HARBOR INVESTMENT PARTNERS LP

Primary address

48 Dover Street, 5th Floor, London W1S 4FF, UK

Website

www.shiplp.com

Contact email

cmarciano@shiplp.com

Contact telephone

00 44 20 3205 4100

Founding year

2006

Description of organisation

We are a global fixed income investment manager specialising in credit and multi-sector credit strategies. We manage institutional clients' assets across strategies including emerging markets debt, core fixed income, securitised, high yield, bank loans, and multi-sector credit strategies. We seek to generate attractive risk-adjusted returns through disciplined fundamental credit analysis complemented by solid portfolio management skills and sound risk management.

Total assets under management (as at 31 December 2019) - \$m

20,423

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	20,423.30
--------------	-----------

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	396.40
Life Insurance	721.70
Other Clients	2,195.20
Other Mutual	252.10
Pension Funds	16,857.90

Assets under management by client domicile (as at 31 December 2019) - \$m

US	9,782.30
UK	2,832.50
Europe ex-UK	6,768.50
Middle East	28.70
Pacific ex-Japan	686.80
Japan	100.00
Other	224.50

Staff analysis (as at 31 December 2019)

Portfolio Managers	21
Research Analysts	20
Combined Manager/Analysts	7
Marketing	28
Investment Administration/Legal/Compliance/Operations	45
Total Investment Prof Staff	41
Total Non-Investment Prof Staff	73

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	8
North America	33

ESG

Signatory to PRI: Yes

STONEHAGE FLEMING INVESTMENT MANAGEMENT

Primary address

15 Suffolk Street, London SW1Y 4HG, UK

Website

www.stonehagefleminginvestments.com

Contact email

enquiries@stonehagefleming.com

Contact telephone

02070870173

Founding year

2015

Total assets under management (as at 31 December 2019) - £m

10,600

STOREBRAND ASSET MANAGEMENT AS

Primary address

Professor Kohts vei 9, Lysaker, Norway 1327

Website

www.storebrandfunds.co.uk

Contact email

ik@storebrand.no

Contact telephone

+47 22311200

Founding year

1981

Description of organisation

Storebrand Asset Management (SAM) is a Nordic pioneer and market leader within sustainable investments. SAM is a wholly owned subsidiary of Storebrand ASA, which is listed on the Oslo Stock Exchange (ticker: STB). Client assets are invested in funds and segregated accounts of equities, fixed income, real estate and private equity investments.

Total assets under management (as at 31 December 2019) - NOK krm

830,676

Assets under management by asset class (as at 31 December 2019) - NOK krm

Fixed Income	433,351.00
Equity	346,876.00
Property	27,087.00
Cash/Deposit	10,051.18
Other	13,311.46

Staff analysis (as at 31 December 2019)

Portfolio Managers	38
Research Analysts	10
Dealing	1
Marketing	29
Investment Administration/Legal/Compliance/Operations	83

Total Investment Prof Staff	49
Total Non-Investment Prof Staff	112

Geographical breakdown of Investment Professionals (as at 31 December 2019)

Europe	49
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ESG

Signatory to PRI: Yes

STRALEM & COMPANY, INC

Primary address

551 Madison Ave, 10th Floor, New York, NY 10022, USA

Website

www.stralem.com

Contact email

andrea.lustig@stralem.com

Contact telephone

212-888-8123

Founding year

1966

Total assets under management (as at 31 December 2019) - \$m

253

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	186.80
Other	66.10

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	6.70
Other Clients	165.50
Other Mutual	80.60

Assets under management by client domicile (as at 31 December 2019) - \$m

US	251.50
Other	1.40

Staff analysis (as at 31 December 2019)

Portfolio Managers	2
Research Analysts	1
Dealing	1
Marketing	1
Investment Administration/Legal/Compliance/Operations	3
Total Investment Prof Staff	5
Total Non-Investment Prof Staff	3

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	5
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SUMITOMO MITSUI DS ASSET

MANAGEMENT

Primary address

Atago Green Hills MORI Tower 28F, 2-5-1 Atago, Minato-ku, Tokyo, Japan 105-6228

Website

www.smd-am.co.uk

Contact email

ukmarketing@smd-am.co.jp

Contact telephone

020 7507 6400

Founding year

1973

Description of organisation

We are one of the largest investment management companies in Japan, offering discretionary investment management, investment trusts and advisory services to a range of institutional investors, pension funds, government agencies and retail investors worldwide. We offer a range of global equity, regional equity and fixed income solutions for the global market, through a range of investment vehicles.

Total assets under management (as at 31 December 2019) - \$m

140,763

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	56,253.80
Equity	30,965.50
Other	53,543.50

Assets under management by client type (as at 31 December 2019) - \$m

Non Life Insurance	39,536.10
Other Clients	31,070.40
Other Mutual	7,894.20
Pension Funds	27,174.30
Private Clients	1,403.30
Retail	33,684.70

Assets under management by client domicile (as at 31 December 2019) - \$m

Europe ex-UK	647.70
Middle East	3,193.10
Pacific ex-Japan	1,031.60
Japan	133,117.90
Other	2,772.60

Staff analysis (as at 31 December 2019)

Portfolio Managers	164
Research Analysts	112
Dealing	24
Marketing	287
Investment Administration/Legal/Compliance/Operations	484
Total Investment Prof Staff	303
Total Non-Investment Prof Staff	771

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	4
North America	4
Japan	261
Pacific ex-Japan	35

ESG

Signatory to PRI: Yes

SUSTAINABLE GROWTH ADVISERS LP

Primary address

301 Tresser Blvd, Ste 1310, Stamford, CT 06901, USA

Website

www.sgapvisers.com

Contact telephone

203-348-4742

Founding year

2003

Total assets under management (as at 31 December 2019) - \$m

14,891

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	14,891.40
--------	-----------

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	451.80
Non Life Insurance	355.20
Other Clients	9,476.30
Other Mutual	1,884.70
Pension Funds	2,723.40

Assets under management by client domicile (as at 31 December 2019) - \$m

US	9,281.50
UK	1,173.80
Europe ex-UK	1,200.70
Middle East	56.80
Pacific ex-Japan	1,447.60
Japan	41.20
Other	1,689.80

Staff analysis (as at 31 December 2019)

Research Analysts	9
Combined Manager/Analysts	3
Dealing	3
Marketing	5
Investment Administration/Legal/Compliance/Operations	9
Total Investment Prof Staff	15
Total Non-Investment Prof Staff	14

ESG

Signatory to PRI: Yes



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A: 5th Floor, 11 Strand
London WC2N 5HR

WWW.CAMRADATA.COM



SUSTAINABLE INSIGHT CAPITAL MANAGEMENT**Primary address**

261 Fifth Avenue, 8h Floor, New York, NY
10016, USA

Website

www.sicm.com

Contact email

info@sicm.com

Contact telephone

646.790.4800

Founding year

2012

Total assets under management (as at 31 December 2019) - \$m

208

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	208.40
--------	--------

Assets under management by client domicile (as at 31 December 2019) - \$m

US	198.10
Other	10.20

Staff analysis (as at 31 December 2019)

Portfolio Managers	2
Research Analysts	2
Investment Administration/Legal/Compliance/Operations	2
Total Investment Prof Staff	4
Total Non-Investment Prof Staff	2

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	1
North America	2
Pacific ex-Japan	1

ESG

Signatory to PRI: Yes

SVM ASSET MANAGEMENT LIMITED**Website**

www.svmonline.co.uk

Contact email

info@svmonline.co.uk

Founding year

1990

Total assets under management (as at 31 December 2019) - £m

592

SYDBANK A/S**Website**

www.sydbank.com/sydbank.com

Founding year

1970

Total assets under management (as at 31 December 2019) - \$m

14,126

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	5,338.80
Equity	2,645.60
Other	6,141.30

Assets under management by client type (as at 31 December 2019) - \$m

Other Clients	3,555.70
Private Clients	6,740.90
Retail	3,829.00

Assets under management by client domicile (as at 31 December 2019) - \$m

Europe ex-UK	14,125.70
--------------	-----------

ESG

Signatory to PRI: Yes

**T ROWE PRICE GROUP, INC.****Primary address**

60 Queen Victoria Street, London EC4N 4TZ,
UK

Website

www.troweprice.com

Contact email

Consultant_Database_Group@troweprice.com

Contact telephone

+44 207 651 8200

Founding year

1937

Description of organisation

At T. Rowe Price, we're solely focused on providing investment management and long-term results for clients. We're an independent investment management firm with clients in 49 countries, offering investors a full range of equity, fixed income and multi-asset strategies. Our experience through all market conditions contributes to a proven investment strategy designed to produce strong performance for the long term.

Total assets under management (as at 31 December 2019) - \$m

1,206,800

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	242,290.30
Equity	937,347.70
Other	27,162.00

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	4,814.50
Non Life Insurance	129,537.80
Other Clients	132,966.50
Other Mutual	46,940.00
Pension Funds	81,579.30
Private Clients	175,159.10
Retail	635,802.80

Assets under management by client domicile (as at 31 December 2019) - \$m

US	1,123,546.20
UK	4,560.50
Europe ex-UK	31,801.90
Middle East	1,816.50
Pacific ex-Japan	21,519.10
Japan	7,251.80
Other	16,304.10

Staff analysis (as at 31 December 2019)

Portfolio Managers	133
Research Analysts	292
Dealing	87
Marketing	1,179
Investment Administration/Legal/Compliance/Operations	5,560
Total Investment Prof Staff	661
Total Non-Investment Prof Staff	6,739

ESG

Signatory to PRI: Yes

TCW**Primary address**

25 Hanover Square, London W1S 1JF, UK

Website

www.tcw.com

Contact email

clive.crowe@tcw.com

Contact telephone

0203 817 3564

Founding year

1971

Total assets under management (as at 31 December 2019) - \$m

217,480

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	199,289.10
Equity	11,891.40
Other	6,299.50

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	1,364.70
Non Life Insurance	7,404.20
Other Clients	66,792.90
Other Mutual	14,761.30
Pension Funds	31,558.60
Private Clients	5,877.50
Retail	89,720.80

Assets under management by client domicile (as at 31 December 2019) - \$m

US	186,269.00
UK	1,111.00
Europe ex-UK	3,895.00
Middle East	7,902.00
Pacific ex-Japan	7,415.00
Japan	9,324.00
Other	1,564.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	23
Research Analysts	82
Marketing	120
Investment Administration/Legal/Compliance/Operations	352
Total Investment Prof Staff	151
Total Non-Investment Prof Staff	472

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	2
North America	149

ESG

Signatory to PRI: Yes

TEEWINOT CAPITAL ADVISERS, LLC**Website**

www.teewinotfunds.com

Contact email

teewinotir@teewinotfunds.com

Founding year

2003

Total assets under management (as at 31 December 2019) - \$m

393

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	393.00
--------	--------

Assets under management by client domicile (as at 31 December 2019) - \$m

US	393.00
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Staff analysis (as at 31 December 2019)

Portfolio Managers	1
Research Analysts	2
Dealing	3
Marketing	1
Investment Administration/Legal/Compliance/Operations	3
Total Investment Prof Staff	6
Total Non-Investment Prof Staff	4

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	6
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THIRD AVENUE MANAGEMENT LLC**Website**

www.thirdave.com

Contact email

rfpteam@thirdave.com

Founding year

1986

Description of organisation

Third Avenue is a boutique asset manager utilising an unconstrained, value-oriented approach to investments across market capitalisations and geographies. Our investment expertise includes Real Estate, Small-Cap and Global Value strategies.

Total assets under management (as at 31 December 2019) - \$m

2,083

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	990.50
Property	1,092.42

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	1.00
Other Clients	89.72
Other Mutual	1,977.00
Private Clients	15.20

Assets under management by client domicile (as at 31 December 2019) - \$m

US	1,857.90
Other	225.20

Staff analysis (as at 31 December 2019)

Research Analysts	5
Combined Manager/Analysts	5
Dealing	2
Investment Administration/Legal/Compliance/Operations	13
Total Investment Prof Staff	12
Total Non-Investment Prof Staff	13

Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	12
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THREADNEEDLE ASSET MANAGEMENT LTD**Primary address**

Cannon Place 78 Cannon Street London United Kingdom EC4N 6AG

Website

www.columbiathreadneedle.com

Contact email

camilla.crowe@columbiathreadneedle.com

Contact telephone

+44 (0)20 7464 5000

Founding year

1994

Description of organisation

Threadneedle Asset Management Ltd and Columbia Management Investment Advisers, LLC are the global asset management group of Ameriprise Financial, Inc. (NYSE: AMP), a leading US-based financial services firm. In 2012, Threadneedle and Columbia began working together to enhance both firms' capabilities in global asset classes; this led to the launch in 2015 of the global brand, Columbia Threadneedle Investments.

Total assets under management (as at 31 December 2019) - £m

106,074

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	26,169.80
Equity	55,597.50
Property	7,334.10
Cash/Deposit	4,352.10
Other	12,620.70

Assets under management by client type (as at 31 December 2019) - £m

Non Life Insurance	16,514.50
Other Clients	22,016.20
Pension Funds	21,823.30
Retail	45,720.20

Assets under management by client domicile (as at 31 December 2019) - £m

US	1,233.00
UK	80,319.80
Europe ex-UK	17,140.40
Middle East	4,186.90
Pacific ex-Japan	2,266.30
Japan	20.30
Other	907.60

Staff analysis (as at 31 December 2019)

Portfolio Managers	77
Research Analysts	51
Dealing	12
Total Investment Prof Staff	140
Total Non-Investment Prof Staff	675

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	132
Pacific ex-Japan	8

ESG

Signatory to PRI: Yes

TIETON CAPITAL MANAGEMENT**Primary address**4700 Tieton Drive, Suite C, Yakima, WA
98908, USA**Website**

www.TietonCapital.com

Contact email

Tieton@TietonCapital.com

Contact telephone

509-965-6488

Founding year

2005

Total assets under management (as at 31 December 2019) - \$m

150

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	149.60
--------	--------

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	35.50
Other Clients	34.10
Private Clients	80.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	149.60
Staff analysis (as at 31 December 2019)	
Portfolio Managers	1
Research Analysts	1
Investment Administration/Legal/Compliance/Operations	1

Total Investment Prof Staff	3
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Geographical breakdown of Investment Professionals (as at 31 December 2019)

North America	3
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TOKIO MARINE ASSET MANAGEMENT CO., LTD**Website**

www.tokiomarineam.com

Contact email

tmal@tokiomarine.co.uk

Founding year

1985

Total assets under management (as at 31 December 2019) - £m

48,946

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	13,067.10
Equity	6,342.50
Other	29,536.60

Assets under management by client type (as at 31 December 2019) - £m

Other Clients	14,437.20
Pension Funds	22,201.30
Retail	12,307.70

Assets under management by client domicile (as at 31 December 2019) - £m

Middle East	568.70
Japan	48,377.60

Staff analysis (as at 31 December 2019)

Portfolio Managers	77
Research Analysts	43
Marketing	51
Investment Administration/Legal/Compliance/Operations	64
Total Investment Prof Staff	120
Total Non-Investment Prof Staff	254

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	1
North America	9
Japan	102
Pacific ex-Japan	8

ESG

Signatory to PRI: Yes

TRINITY STREET ASSET MANAGEMENT**Website**

www.trinitystreetam.com

Contact email

Marketing@trinitystreetam.com

Founding year

2002

Description of organisation

TSAM is a boutique investment management firm focused on global & international equities.

Total assets under management (as at 31 December 2019) - \$m

4,776

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	4,776.27
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Assets under management by client domicile (as at 31 December 2019) - \$m

US	4,378.31
Europe ex-UK	50.50
Middle East	256.91
Other	90.55

Staff analysis (as at 31 December 2019)

Portfolio Managers	3
Research Analysts	3
Dealing	2
Marketing	5
Investment Administration/Legal/Compliance/Operations	10
Total Investment Prof Staff	6
Total Non-Investment Prof Staff	15

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	6
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TT INTERNATIONAL**Primary address**62 Threadneedle Street, London EC2R 8HP,
UK**Website**

www.ttint.com

Contact email

info@ttint.com

Contact telephone

02075091000

Founding year

1988

Description of organisation

Founded in 1988, TT International is a leading global asset manager specialising in long-only and alternative strategies. Our Long-Only strategies span Global, International ex-US, Emerging Market, Asian, Chinese, European and UK equities. Our Alternative strategies comprise Equity Long/Short and Global Macro.

Total assets under management (as at 31 December 2019) - \$m
8,436

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	7,460.90
Other	974.60

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	118.00
Other Clients	3,836.00
Pension Funds	4,467.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	3,232.40
UK	1,424.10
Europe ex-UK	1,086.40
Middle East	349.50
Pacific ex-Japan	2,141.60
Japan	75.40
Other	111.90

Staff analysis (as at 31 December 2019)

Research Analysts	9
Combined Manager/Analysts	16
Dealing	6
Marketing	12
Investment Administration/Legal/Compliance/Operations	20
Total Investment Prof Staff	32
Total Non-Investment Prof Staff	55

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	26
Pacific ex-Japan	6

ESG
Signatory to PRI: Yes

TWEEDY, BROWNE COMPANY LLC

Website
www.tweedy.com

Contact email
jminard@tweedy.com

Founding year
1920

Total assets under management (as at 31 December 2019) - \$m
14,864

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	14,863.80
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	421.40
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Other Clients	4,082.60
Pension Funds	1,122.80
Retail	9,237.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	11,304.40
UK	94.20
Europe ex-UK	501.90
Pacific ex-Japan	1,622.70
Other	1,340.50

Staff analysis (as at 31 December 2019)

Research Analysts	8
Combined Manager/Analysts	6
Dealing	5
Marketing	3
Investment Administration/Legal/Compliance/Operations	28
Total Investment Prof Staff	20
Total Non-Investment Prof Staff	31

TWENTYFOUR ASSET MANAGEMENT LLP

Primary address
8th Floor The Monument Building, 11 Monument Street, London EC3R 8AF, UK

Website
www.twentyfouram.com

Contact email
institutional@twentyfouram.com

Contact telephone
0207 015 8900

Founding year
2008

Total assets under management (as at 31 December 2019) - £m
16,123

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	16,122.60
--------------	-----------

Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	115.70
Non Life Insurance	234.10
Other Clients	5,086.10
Other Mutual	8,300.20
Pension Funds	2,386.50

Assets under management by client domicile (as at 31 December 2019) - £m

UK	16,122.60
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Staff analysis (as at 31 December 2019)

Portfolio Managers	16
Research Analysts	11
Combined Manager/Analysts	2
Marketing	16

Investment Administration/Legal/Compliance/Operations	21
Total Investment Prof Staff	28
Total Non-Investment Prof Staff	37

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	25
North America	3

ESG
Signatory to PRI: Yes



UBS ASSET MANAGEMENT

Primary address
5 Broadgate, London EC2M 2QS, UK

Website
www.ubs.com

Contact email
malcolm.gordon@ubs.com

Contact telephone
+44 (0) 20 7901 5418

Founding year
1998

Description of organisation
UBS Asset Management is a large-scale and diversified asset manager, with a presence in 22 countries. We offer investment capabilities and investment styles across major traditional and alternative asset classes as well as platform solutions and advisory support, to institutions, wholesale intermediaries and wealth management clients. We take a connected approach to find the answers to our clients' investment challenges.

Total assets under management (as at 31 December 2019) - \$m
902,864

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	151,362.36
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Equity	366,987.15
Property	85,398.02
Cash/Deposit	101,654.16
Other	197,462.20

Assets under management by client type (as at 31 December 2019) - \$m

Non Life Insurance	701.99
Other Clients	29,387.33
Pension Funds	867,439.68
Private Clients	59.08
Retail	5,275.82

Assets under management by client domicile (as at 31 December 2019) - \$m

US	187,330.12
UK	64,025.56
Europe ex-UK	440,493.68
Middle East	43,121.67
Pacific ex-Japan	94,073.68
Japan	25,130.90
Other	48,688.27

ESG

Signatory to PRI: Yes

UNIGESTION SA

Primary address

8C avenue de Champel, CP 387, 1211 Geneva 12, Switzerland

Website

www.unigestion.com

Contact email

consultants@unigestion.com

Contact telephone

+41 (0) 22 704 41 11

Founding year

1971

Description of organisation

Unigestion is an independent, specialist asset manager providing innovative, tailored solutions for investors worldwide. Today, we are responsible for £17.11 billion in assets under management across our four areas of expertise – equities, private equity, liquid alternatives and multi asset. (Source: Unigestion. All data as at 30.06.2020)

Total assets under management (as at 31 December 2019) - £m

17,310

Assets under management by asset class (as at 31 December 2019) - £m

Equity	8,782.20
Other	8,528.10

Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	326.70
Non Life Insurance	3,582.60
Other Clients	4,031.90
Pension Funds	8,080.60
Private Clients	1,288.50

Assets under management by client domicile (as at 31 December 2019) - £m

US	1,010.30
UK	2,290.10
Europe ex-UK	11,031.10
Middle East	0.10
Pacific ex-Japan	468.00
Other	2,509.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	24
Research Analysts	40
Marketing	55
Investment Administration/Legal/Compliance/Operations	57
Total Investment Prof Staff	139
Total Non-Investment Prof Staff	99

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	11
North America	4
Europe	57
Pacific ex-Japan	4

ESG

Signatory to PRI: Yes

UNIMAR FINANCIAL SERVICES, LLC

Primary address

1450 Brickell Avenue, Suite 2160, Miami, FL 33131, USA

Website

www.unimarfinancial.com

Contact email

valerie.gadala@unimarfinancial.com

Contact telephone

+1 (786) 245-4164

Founding year

2011

UNION BANCAIRE PRIVÉE, UBP SA

Primary address

96-98, rue du Rhône, PO Box 1320, Geneva, Switzerland

Website

www.ubp.com

Contact email

RFPteam@ubp.ch

Contact telephone

41 58 819 2111

Founding year

1969

Description of organisation

UBP is one of the leading Swiss private banks, and focuses on asset management for institutional clients and wealth management for private clients. UBP offers an extensive range of long-only and alternative products, both absolute and relative return strategies, which aim to grow client assets while applying a rigorous and disciplined risk management approach.

Total assets under management (as at 31 December 2019) - CHFm

140,271

Assets under management by asset class (as at 31 December 2019) - CHFm

Fixed Income	48,638.00
Equity	33,045.00
Cash/Deposit	25,831.00
Other	32,758.00

Assets under management by client type (as at 31 December 2019) - CHFm

Charity/Endowments	741.00
Life Insurance	3,981.00
Other Clients	17,649.00
Pension Funds	5,417.00
Private Clients	4,964.00
Retail	10,859.00

Assets under management by client domicile (as at 31 December 2019) - CHFm

US	73.00
UK	2,511.00
Europe ex-UK	28,534.00
Middle East	954.00
Pacific ex-Japan	4,092.00
Japan	7,331.00
Other	116.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	130
Research Analysts	49
Dealing	76
Marketing	727
Investment Administration/Legal/Compliance/Operations	761
Total Investment Prof Staff	479
Total Non-Investment Prof Staff	1,264

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	118
North America	25
Europe	1,229
Japan	17
Pacific ex-Japan	312
Other	42.00

ESG

Signatory to PRI: Yes

UNION INVESTMENT

Primary address

Weißfrauenstrasse 7, Frankfurt am Main,
Germany 60311

Website

www.union-investment.com

Contact email

institutional@union-investment.com

Contact telephone

+49 69 2567 0

Founding year

1956

Total assets under management (as at 31 December 2019) - €m

364,801

Assets under management by asset class (as at 31 December 2019) - €m

Fixed Income	96,622.90
Equity	60,889.90
Property	45,403.50
Other	161,884.60

Assets under management by client type (as at 31 December 2019) - €m

Charity/Endowments	1,902.30
Non Life Insurance	38,128.20
Other Clients	110,904.90
Other Mutual	48,282.50
Pension Funds	11,482.00
Retail	154,101.10

Assets under management by client domicile (as at 31 December 2019) - €m

UK	533.00
Europe ex-UK	335,183.80
Pacific ex-Japan	705.00
Japan	60.90
Other	28,318.20

Staff analysis (as at 31 December 2019)

Combined Manager/Analysts	170
Dealing	19
Marketing	766
Investment Administration/Legal/Compliance/Operations	2308
Total Investment Prof Staff	242
Total Non-Investment Prof Staff	3,025

Geographical breakdown of Investment Professionals (as at 31 December 2019)

Europe	242
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ESG

Signatory to PRI: Yes



VALUE PARTNERS HONG KONG LIMITED

Primary address

43rd floor, The Center, 99 Queen's Road
Central, Hong Kong

Website

www.valuepartners-group.com

Contact email

investservices@vp.com.hk

Contact telephone

(852) 2880 9263

Founding year

1993

Total assets under management (as at 31 December 2019) - \$m

14,870

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	6,794.70
Equity	8,019.20
Other	1,963.00

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	12.30
Non Life Insurance	257.10
Other Clients	14,342.00
Pension Funds	258.60

Assets under management by client domicile (as at 31 December 2019) - \$m

US	414.10
UK	79.30
Europe ex-UK	475.60
Middle East	0.50
Pacific ex-Japan	13,658.50
Japan	153.30
Other	88.60

VAN ECK ASSOCIATES CORPORATION

Primary address

666 Third Avenue, 9th Floor, New York, NY

10017, USA

Website

www.vaneck.com

Contact email

info@vaneck.com

Contact telephone

800-826-2050

Founding year

1955

Total assets under management (as at 31 December 2019) - \$m

55,555

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	14,621.10
Equity	40,334.70
Other	599.00

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	100.50
Non Life Insurance	1,908.30
Other Clients	644.10
Other Mutual	4,248.60
Pension Funds	103.50
Retail	48,549.80

Assets under management by client domicile (as at 31 December 2019) - \$m

US	49,421.20
Europe ex-UK	3,563.20
Pacific ex-Japan	2,503.90
Other	66.50

ESG

Signatory to PRI: Yes

VANGUARD ASSET MANAGEMENT

Primary address

The Walbrook Building, 25 Walbrook, London
EC4N 8AF, UK

Website

www.vanguard.co.uk

Contact email

Consultant_Relations_Europe@vanguard.
co.uk

Contact telephone

0203 753 5600

Founding year

1975

Total assets under management (as at 31 December 2019) - \$m

5,769,898

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	1,314,009.90
Equity	3,889,572.78
Other	566,315.54

ESG

Signatory to PRI: Yes

VICTORY CAPITAL MANAGEMENT INC.**Primary address**

15935 La Cantera Parkway, Building Two, San Antonio, TX 78256, USA

Website

www.vcm.com

Contact email

rfp@vcm.com

Founding year

1894

Total assets under management (as at 31 December 2019) - \$m

151,832

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	53,337.20
Equity	90,765.90
Other	7,728.50

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	749.60
Non Life Insurance	3,566.30
Other Clients	38,982.60
Other Mutual	104,916.40
Pension Funds	3,390.80
Private Clients	225.90

Assets under management by client domicile (as at 31 December 2019) - \$m

US	149,410.70
Europe ex-UK	29.60
Japan	985.20
Other	1,406.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	59
Research Analysts	58
Dealing	17
Marketing	12
Investment Administration/Legal/Compliance/Operations	177
Total Investment Prof Staff	117
Total Non-Investment Prof Staff	181

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	1
North America	111
Pacific ex-Japan	5

ESG

Signatory to PRI: Yes

VN CAPITAL MANAGEMENT, LLC**Website**

www.vncapital.net

Contact email

jtv@vncapital.net

Founding year

2002

Total assets under management (as at 31 December 2019) - \$m

129

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	128.92
--------	--------

VONTOBEL ASSET MANAGEMENT**Primary address**

22 Sackville Street, London W1S 3DN, UK

Website

www.vontobel.com/am

Contact email

rfp.requests@vontobel.com

Contact telephone

+44 207 255 8321

Founding year

1924

Description of organisation

Vontobel Asset Management ("VAM") is an active asset manager with global reach and a multi-boutique approach. Each of our boutiques draws on specialised investment talent, a strong performance culture and robust risk management. We deliver leading-edge solutions for both institutional and private clients.

Total assets under management (as at 31 December 2019) - CHFm

121,636

Assets under management by asset class (as at 31 December 2019) - CHFm

Fixed Income	44,081.00
Equity	47,603.00
Other	29,952.00

Assets under management by client type (as at 31 December 2019) - CHFm

Charity/Endowments	3,682.00
Other Clients	82,387.00

Pension Funds 35,566.00

Assets under management by client domicile (as at 31 December 2019) - CHFm

US	17,036.00
UK	19,460.00
Europe ex-UK	77,178.00
Middle East	218.00
Pacific ex-Japan	5,596.00
Japan	1,470.00
Other	677.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	78
Research Analysts	74
Total Investment Prof Staff	184
Total Non-Investment Prof Staff	250

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	26
North America	31
Europe	123
Pacific ex-Japan	4

ESG

Signatory to PRI: Yes

VOYA INVESTMENT MANAGEMENT**Primary address**

230 Park Avenue, New York, NY 10169, USA

Website

www.voyainvestments.com

Contact email

VoyalMInstitutionalDatabaseTeam@voya.com

Contact telephone

2123098200

Founding year

1972

Total assets under management (as at 31 December 2019) - \$m

229,962

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	162,611.00
Equity	57,438.60
Property	791.20
Other	9,121.50

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	139.60
Non Life Insurance	79,094.30
Other Clients	45,055.20
Other Mutual	36,125.00
Pension Funds	35,888.40
Retail	33,659.90

Assets under management by client domicile (as at 31 December 2019) - \$m

US	213,320.30
Europe ex-UK	9,661.30
Middle East	349.40
Pacific ex-Japan	3,606.00
Japan	817.80
Other	2,207.60

Staff analysis (as at 31 December 2019)

Portfolio Managers	75
Research Analysts	164
Dealing	10
Marketing	179
Investment Administration/Legal/Compliance/Operations	468
Total Investment Prof Staff	250
Total Non-Investment Prof Staff	647

ESG

Signatory to PRI: Yes

VULCAN VALUE PARTNERS**Website**www.vulcanvaluepartners.com**Contact email**adam@vulcanvaluepartners.com**Founding year**

2007

Total assets under management (as at 31 December 2019) - \$m

15,275

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	15,275.10
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Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	3,189.90
Non Life Insurance	21.00
Other Clients	3,865.90
Other Mutual	2,118.40
Pension Funds	3,214.40
Private Clients	2,865.60

Assets under management by client domicile (as at 31 December 2019) - \$m

US	11,880.40
UK	377.60
Europe ex-UK	2,619.50
Middle East	81.80
Pacific ex-Japan	6.80
Other	309.10

Staff analysis (as at 31 December 2019)

Portfolio Managers	1
Research Analysts	11
Combined Manager/Analysts	1
Dealing	3
Marketing	1

Investment Administration/Legal/Compliance/Operations	38
Total Investment Prof Staff	18
Total Non-Investment Prof Staff	39

ESG

Signatory to PRI: Yes

**WALTER SCOTT & PARTNERS LIMITED****Primary address**

One Charlotte Square, Edinburgh EH2 4DR, UK

Websitewww.walterscott.com**Contact email**clientservice@walterscott.com**Contact telephone**

+44 131 225 1357

Founding year

1983

Description of organisation

Our sole activity is to manage long-term equity portfolios for institutional investors around the world. Our in-house research team employs rigorous, bottom-up, fundamental analysis to invest in exceptional wealth-creating businesses on a long-term basis. We have applied the same investment philosophy to every portfolio we have managed since the inception of the company.

Total assets under management (as at 31 December 2019) - \$m

74,252

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	74,251.90
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Staff analysis (as at 31 December 2019)

Combined Manager/Analysts	21
Dealing	5
Marketing	23

Investment Administration/Legal/Compliance/Operations	82
Total Investment Prof Staff	42
Total Non-Investment Prof Staff	115

ESG

Signatory to PRI: Yes

WASATCH GLOBAL INVESTORS**Website**secure.wasatchfunds.com**Contact email**InstitutionalInfo@wasatchadvisors.com**Founding year**

1975

Description of organisation

Based in Salt Lake City, Utah, Wasatch Global Investors is a \$21 billion AuM investment management firm. Wasatch is a specialist in small-cap investing with dedicated strategies that include US Small Cap, Global Small Cap, Emerging Markets Small Cap, Emerging Markets Select, Frontier Emerging Small Countries and Emerging India. With nearly half of the firm's employees working as investment professionals, Wasatch relies upon intensive, fundamental research to seek out high-quality, long-duration growth companies.

Total assets under management (as at 31 December 2019) - \$m

20,842

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	358.80
Equity	20,482.70

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	700.20
Other Clients	3,616.20
Pension Funds	5,891.00
Private Clients	311.00
Retail	10,323.00

Assets under management by client domicile (as at 31 December 2019) - \$m

US	16,974.60
UK	1,556.20
Europe ex-UK	620.10
Middle East	219.40
Pacific ex-Japan	420.60
Other	1,050.70

Staff analysis (as at 31 December 2019)

Portfolio Managers	20
Research Analysts	15
Dealing	4
Marketing	6

Investment Administration/Legal/Compliance/Operations	38
Total Investment Prof Staff	39
Total Non-Investment Prof Staff	44

ESG

Signatory to PRI: Yes

WAVEFRONT GLOBAL ASSET MANAGEMENT CORP**Primary address**

36 Toronto Street, Suite 750, Toronto, Ontario M5C 2C5, Canada

Website

www.wavefrontgam.com

Contact email

info@wavefrontgam.com

Contact telephone

+1 416 933 8261

Founding year

1998

WAVERTON INVESTMENT MANAGEMENT**Website**

www.waverton.co.uk

Contact email

jross@waverton.co.uk

Founding year

1986

Total assets under management (as at 31 December 2019) - £m

6,208

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	717.06
Equity	3,597.69
Cash/Deposit	2.48
Other	1,891.04

Staff analysis (as at 31 December 2019)

Portfolio Managers	31
Research Analysts	14
Dealing	4
Marketing	8
Total Investment Prof Staff	44

ESG

Signatory to PRI: Yes

WELLINGTON MANAGEMENT INTERNATIONAL LIMITED**Primary address**

Cardinal Place, 80 Victoria Street, London SW1E 5JL, UK

Website

www.wellington.com

Contact email

mig@wellington.com

Contact telephone

44-20-7126-6000

Founding year

1928

Description of organisation

Tracing our history to 1928, Wellington Management is one of the largest independent investment management firms in the world. We serve as a trusted adviser for institutions in over 63 countries. Our innovative investment solutions are built on the strength of rigorous, proprietary research and span nearly all sectors of the liquid, global securities markets. As a private firm whose sole business is investment management, our long-term view and interests are aligned with those of our clients.

Total assets under management (as at 31 December 2019) - £m

871,652

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	342,889.00
Equity	374,470.00
Other	154,293.00

Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	31,446.00
Life Insurance	76,790.00
Other Clients	77,538.00
Other Mutual	491,498.00
Pension Funds	193,280.00
Private Clients	1,100.00

Assets under management by client domicile (as at 31 December 2019) - £m

US	712,535.00
UK	25,527.00
Europe ex-UK	58,610.00
Middle East	7,796.00
Pacific ex-Japan	44,434.00
Japan	8,844.00
Other	13,906.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	148
Research Analysts	501
Combined Manager/Analysts	54
Dealing	61
Marketing	531
Investment Administration/Legal/	

Compliance/Operations	1396
Total Investment Prof Staff	826
Total Non-Investment Prof Staff	1,652

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	125
North America	609
Europe	1
Japan	14
Pacific ex-Japan	77

ESG

Signatory to PRI: Yes

WELLS FARGO ASSET MANAGEMENT**Primary address**

525 Market Street, 10th Floor, San Francisco, CA 94105, USA

Website

www.wellsfargoassetmanagement.com & www.wellsfargoworldwidefund.com

Contact email

consultantrelations@wellsfargo.com

Contact telephone

415-396-8000

Founding year

1981

Description of organisation

At Wells Fargo Asset Management (WFAM), we have a strong foundation, a rich history of innovation, and a commitment to helping our clients succeed at every financial stage. Our mission is to deliver superior investment solutions to our clients. We have the resources and backing of our parent company, Wells Fargo & Company, and the operational freedom to manage our business as a leading institutional investment manager.

Our values centre on:

- Specialised investment teams with proven processes and deep expertise.
- Rigorous risk management.

Total assets under management (as at 31 December 2019) - \$m

419,141

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	314,337.90
Equity	93,374.30
Other	11,428.70

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	13,301.50
Non Life Insurance	53,899.90
Other Clients	42,003.70

Other Mutual	255,876.40
Pension Funds	51,677.90
Private Clients	2,381.50

Assets under management by client domicile (as at 31 December 2019) - \$m

US	372,030.00
UK	722.30
Europe ex-UK	12,181.00
Middle East	6,768.70
Pacific ex-Japan	4,522.80
Japan	2,424.80
Other	20,491.30

Staff analysis (as at 31 December 2019)

Portfolio Managers	143
Research Analysts	168
Dealing	34
Marketing	456
Investment Administration/Legal/Compliance/Operations	555
Total Investment Prof Staff	492
Total Non-Investment Prof Staff	1,016

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	55
North America	429
Europe	2
Pacific ex-Japan	3
Other	3.00

ESG

Signatory to PRI: Yes



WESTERN ASSET MANAGEMENT

Primary address

10 Exchange Square, Primrose Street,
London EC2A 2EN, UK

Website

www.westernasset.com

Contact email

info@westernasset.com

Contact telephone

44-207-422-3000

Founding year

1971

Total assets under management (as at 31 December 2019) - £m

327,956

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income 327,955.87

Assets under management by client type (as at 31 December 2019) - £m

Non Life Insurance	41,480.80
Other Clients	286,475.00

Assets under management by client domicile (as at 31 December 2019) - £m

US	240,356.59
UK	2,799.48
Europe ex-UK	15,499.17
Middle East	9,135.28
Pacific ex-Japan	20,787.38
Japan	26,312.72
Other	13,065.24

ESG

Signatory to PRI: Yes

WESTFIELD CAPITAL MANAGEMENT COMPANY, LP

Website

www.westfieldcapital.com

Contact email

dbarnard@wcmgmt.com

Founding year

1989

Description of organisation

Westfield Capital was founded in 1989 and is an SEC-registered investment adviser dedicated to providing quality investment management for institutions and wealthy individuals. Westfield supervises growth equity portfolios with products across the capitalisation spectrum, employing in-depth, fundamental research and analysis.

Total assets under management (as at 31 December 2019) - \$m

14,463

Assets under management by asset class (as at 31 December 2019) - \$m

Equity	14,417.70
Other	45.30

Assets under management by client type (as at 31 December 2019) - \$m

Other Clients	970.50
Pension Funds	13,492.50

Assets under management by client domicile (as at 31 December 2019) - \$m

US	13,526.00
UK	44.00
Europe ex-UK	525.60
Pacific ex-Japan	367.50

Staff analysis (as at 31 December 2019)

Research Analysts 3

Combined Manager/Analysts	16
Dealing	3
Marketing	10
Investment Administration/Legal/Compliance/Operations	25
Total Investment Prof Staff	23
Total Non-Investment Prof Staff	35

ESG

Signatory to PRI: Yes

WESTWOOD MANAGEMENT CORP.

Primary address

200 Crescent Court, Suite 1200, Dallas, TX
75201, USA

Website

www.westwoodgroup.com

Contact email

edowden@westwoodgroup.com

Contact telephone

214.756.6976

Founding year

1983

Description of organisation

Westwood Management Corp. is a focused investment management boutique offering high-conviction equity and outcome-oriented solutions. Our investment services can address a wide range of investment objectives and challenges for institutional investors, financial intermediaries and private clients. Westwood has three distinct investment capabilities in US Value Equity, Multi-Asset and Emerging Markets with offices in Dallas, Texas; Boston, Massachusetts; and Toronto, Canada.

Total assets under management (as at 31 December 2019) - \$m

11,810

Assets under management by asset class (as at 31 December 2019) - \$m

Fixed Income	545.10
Equity	8,341.60
Property	23.80
Other	2,899.60

Assets under management by client type (as at 31 December 2019) - \$m

Charity/Endowments	244.30
Non Life Insurance	11.60
Other Clients	5,643.10
Other Mutual	2,175.40
Pension Funds	3,735.70

Assets under management by client domicile (as at 31 December 2019) - \$m

US 8,915.80

Europe ex-UK	1,752.70
Pacific ex-Japan	634.20
Japan	153.00
Other	354.40

Staff analysis (as at 31 December 2019)

Portfolio Managers	7
Research Analysts	13
Combined Manager/Analysts	12
Dealing	6
Marketing	28
Investment Administration/Legal/ Compliance/Operations	91
Total Investment Prof Staff	46
Total Non-Investment Prof Staff	119

ESG

Signatory to PRI: Yes

WHEB GROUP**Primary address**

7 Cavendish Square, London W1G 0PE, UK

Website

www.whebgroup.com

Contact email

info@whebgroup.com

Contact telephone

+44 203 219 3411

Founding year

2002

Description of organisation

WHEB Asset Management is an independent specialist boutique entirely focused on sustainable and impact investing. WHEB runs a single investment strategy called the FP WHEB Sustainability fund, a global growth mid-cap fund which aims to invest exclusively in companies that are providing solutions to sustainability challenges.

Total assets under management (as at 31 December 2019) - £m

387

Assets under management by asset class (as at 31 December 2019) - £m

Equity	387.00
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Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	4.50
Life Insurance	1.20
Other Clients	15.80
Pension Funds	32.00
Private Clients	33.60
Retail	299.90

Assets under management by client domicile (as at 31 December 2019) - £m

UK	305.00
Europe ex-UK	62.00
Pacific ex-Japan	20.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	2
Research Analysts	2
Marketing	2
Investment Administration/Legal/ Compliance/Operations	3
Total Investment Prof Staff	5
Total Non-Investment Prof Staff	4

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	5
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ESG

Signatory to PRI: Yes

WHITEHELM CAPITAL PTY LIMITED**Primary address**

5th Floor, 17 St Swithin's Lane, London EC4N 8AL, UK

Website

www.whitehelmcapital.com

Contact email

generalenquiries@WhitehelmCapital.com

Contact telephone

+44 207 183 6120

Founding year

1998

Description of organisation

Whitehelm Capital Pty Ltd and Whitehelm Capital Ltd (together "Whitehelm") is one of the world's most experienced infrastructure investors, active across the full spectrum of the asset class including:

- Unlisted infrastructure equity,
- Listed infrastructure, and
- Infrastructure debt.

Whitehelm has €3.0 billion of funds under management and €11.8 billion (AU\$18.6 billion, £10.6 billion) of funds under advice.

Total assets under management (as at 31 December 2019) - £m

3,076

Assets under management by asset class (as at 31 December 2019) - £m

Other	3,076.01
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Assets under management by client type (as at 31 December 2019) - £m

Life Insurance	170.90
Other Clients	13.00
Other Mutual	112.00
Pension Funds	2,773.20

Private Clients	7.00
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Assets under management by client domicile (as at 31 December 2019) - £m

US	1,163.65
UK	276.53
Europe ex-UK	1,129.50
Pacific ex-Japan	122.73
Japan	275.61
Other	107.97

ESG

Signatory to PRI: Yes

WILLIAM BLAIR INTERNATIONAL, LIMITED**Primary address**

The William Blair Building, 150 North Riverside Plaza, Chicago, IL 60606, USA

Website

sicav.williamblair.com

Contact telephone

001-312-236-1600

Founding year

1935

Total assets under management (as at 31 December 2019) - £m

44,106

Assets under management by asset class (as at 31 December 2019) - £m

Fixed Income	789.10
Equity	42,078.00
Other	1,238.70

Assets under management by client type (as at 31 December 2019) - £m

Charity/Endowments	3,262.20
Other Clients	6,237.60
Pension Funds	24,284.90
Private Clients	60.90
Retail	10,260.20

Assets under management by client domicile (as at 31 December 2019) - £m

US	38,159.10
UK	104.10
Europe ex-UK	3,488.90
Pacific ex-Japan	152.90
Japan	272.20
Other	1,928.60

Staff analysis (as at 31 December 2019)

Portfolio Managers	27
Research Analysts	41
Dealing	14
Marketing	29
Investment Administration/Legal/ Compliance/Operations	197
Total Investment Prof Staff	94
Total Non-Investment Prof Staff	226

Geographical breakdown of Investment Professionals (as at 31 December 2019)

UK	12
North America	85
Europe	1
Pacific ex-Japan	1

ESG

Signatory to PRI: Yes

WINTON CAPITAL MANAGEMENT LTD.

Primary address

Grove House, 27 Hammersmith Grove,
London W6 0NE, UK

Website

www.winton.com

Contact email

info@winton.com

Contact telephone

+44 (0)20 8576 5800

Founding year

1997

Description of organisation

Winton is a research-based investment management company with a single-minded focus on statistical and mathematical inference in financial markets. Founded in 1997 by CEO David Harding, the firm manages approximately \$20 billion of assets for many of the world's largest pension funds, sovereign wealth funds, banks and fund platforms.

Total assets under management (as at 31 December 2019) - \$m

19,815

Assets under management by asset class (as at 31 December 2019) - \$m

Other	19,815.20
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Assets under management by client type (as at 31 December 2019) - \$m

Non Life Insurance	830.20
Other Clients	7,663.60
Pension Funds	6,562.70
Private Clients	4,758.70

Assets under management by client domicile (as at 31 December 2019) - \$m

US	5,127.50
UK	4,072.90
Europe ex-UK	2,330.20
Middle East	2,665.70
Pacific ex-Japan	3,287.40
Japan	359.50
Other	1,972.00

Staff analysis (as at 31 December 2019)

Portfolio Managers	1
Research Analysts	87
Dealing	7
Marketing	39
Investment Administration/Legal/Compliance/Operations	65
Total Investment Prof Staff	129
Total Non-Investment Prof Staff	146

ESG

Signatory to PRI: Yes

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